# Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector

# by

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## **Declaration**

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## Overview of the thesis

Age-related macular degeneration (AMD) is the leading cause of visual loss in people over 50 years of age in developed countries. AMD is a degenerative disorder affecting the macula and is characterized by the presence of specific clinical findings. Conventionally AMD is divided into two main types, dry (non-exudative) AMD and wet (exudative/neovascular) AMD. Dry AMD is the most common form with geographic atrophy (GA) a sign of advanced stage of disease. Neovascular AMD is associated with more rapid progression to advanced sight loss but is less common.

For neovascular AMD (nAMD) the standard of care at present are inhibitors of vascular endothelial growth factor (anti-VEGF), of which there are three currently on the market. Bevacizumab (trade name Avastin) is a recombinant humanized monoclonal antibody that blocks angiogenesis by inhibiting vascular endothelial growth factor-A, and is used off-label for nAMD. Ranibizumab (trade name Lucentis) is a monoclonal antibody fragment (Fab) created from the same parent mouse antibody as bevacizumab and has U.S. Food and Drug Administration (FDA) approval for the treatment of neovascular AMD. Aflibercept (trade name Eylea) is a soluble decoy receptor that binds vascular endothelial growth factor-A and placental growth factor-And also has FDA approval for the treatment of nAMD. Not only do the anti-VEGF drugs maintain vision they also improve vision which was not possible before their introduction onto the market around 2007, which was a major step forward in the management of nAMD patients.

Bevacizumab is by far much more cost effective option as it is marketed for certain metastatic cancers including metastatic colon cancer which was approved by the FDA in 2004. Using only one vial, one can treat at least twenty patients with nAMD. The intravitreal injection is prepared in compounding pharmacies from the vial of bevacizumab. Bevacizumab has been proven to be as effective and safe as Ranibizumab in major prospective randomized clinical trials and in the resource limited public health sector bevacizumab is the drug of choice for nAMD.

The purpose of the study was to determine if the clinical outcomes of patients using intravitreal bevacizumab with a treat-and-extend regimen (TER) for nAMD resulted in and maintained the same visual gains as a monthly regimen does as was determined in major prospective randomized clinical trials. The benefit of a TER is that it can drastically decrease the number of clinic visits and the number of injections received by patients. In a resource limited sector, such as the public health sector, this would drastically save costs and decrease the burden on the health practitioners as well as the whole public health system when managing these patients.

At present there are three treatment regimen options for nAMD using bevacizumab: Fixed monthly injection regimen (FMR), pro re nata (PRN) regime with monthly follow-up, and TER.

FMR is the regimen adopted in the initial major landmark trials. Patients are seen every month and injected every month. This regimen has proven to give the best visual outcome and is seen as the gold standard. Many different dosing options have been tried in multiple clinical trials to attempt to decrease the burden on the health sector. Quarterly dosing, injecting every three months, and PRN with less than monthly follow-up were shown to be inferior to fixed monthly. PRN regime with monthly follow-up was shown to have results similar to fixed monthly but was still inferior and very importantly still requires patients to be seen monthly. TER is an alternative type of PRN regime that entails administering three initial injections at monthly intervals and then to continue monthly injections until the retina is dry as determined using optical coherence tomography (OCT). After the retina is dry the period between injections are gradually increased by 2 weeks at a time and continued injections at every visit regardless if retina is dry or not. If fluid accumulates again the period between injections is decreased by 2 weeks at a time until a stable interval is determined for each individual patient. This regimen cuts down on clinic visits and the number of injections and maintains vision according to results from past and ongoing clinical trials.

A retrospective chart review was undertaken of all patients with nAMD that presented to Inkosi Albert Luthuli Central Hospital (IALCH) since records were kept. 53 eyes of 48 patients met the entry criteria from June 2011 until June 2016. The main outcome measures were: mean change from baseline visual acuity at year 1 and 2, mean change from baseline central macular thickness at year 1 and 2, mean number of intravitreal bevacizumab injections at year 1 and 2 and mean period of extension between intravitreal bevacizumab injections.

In reviewing the charts it was ascertained that patient's vision did indeed improve significantly from baseline at year 1 and 2. It was noticed during review of the charts that many patients did not follow an absolute and strict TER, either due to poor follow-up due to social circumstances, such as finance or transport issues, or clinician error when deciding on intravitreal injection frequency. This resulted in a mixture of TER and PRN regimen being followed in many patients, which could reveal a more real world experience.

This study has shown that our current treatment for nAMD is resulting in significant visual gains but our implementation of the TER can be followed more strictly. Areas identified where intervention would make a difference include, education of treating Ophthalmologists, especially the junior registrar's and medical officers, on the TER protocol, educating patient on the importance of follow-up on correct clinic appointments and possible down referring patients back to base ophthalmology clinics with the adequate staff and equipment for management and follow-up. This would be much more convenient for patients as IALCH

ophthalmology clinic is one of only two clinics in Kwa-Zulu-Natal currently providing this service and many patients travel a great distance for their clinic appointments.

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# Part 1: The Review of Literature

#### Introduction

Age-related macular degeneration (AMD) is the leading cause of visual loss in people over 50 years of age in developed countries [1]. AMD is a degenerative disorder predominantly affecting the macula. [6]

AMD is divided into two main types, dry (non-exudative) AMD and wet (exudative/neovascular) AMD. [2] Dry AMD is the most common form with geographic atrophy (GA) a sign of advanced stage of disease.

Neovascuar AMD (nAMD) only found in 10% to 20% of patients with AMD but accounts for 90% of vision loss from AMD and is associated with more rapid progression to advanced vision loss.[7] The main manifestations of nAMD are choroidal neovascularization (CNV). CNV are new abnormal blood vessels that grow in the choroid and break through the barrier between the choroid and the retina into the sub-retinal pigment epithelium (type 1) or subretinal (type 2) space. [2] Terminology used to describe CNV on fundus fluorescein angiography (FFA) was derived from the Macular Photocoagulation Study. Classic CNV fills with dye in a well-defined 'lacy' pattern during early transit of the dye. With Occult CNV the limits cannot be fully defined on FA. Predominantly or minimally classic CNV is defined as the classic element of the lesion on FFA is greater or less than 50% of the total lesion. [2] Untreated CNV forms scar tissue that eventually replaces normal retina tissue, causing irreversible visual loss.

The aetiology of AMD is not fully understood. Genetic risk factors and environmental risk factors, such as smoking, may contribute to the development and progression of AMD. Smoking roughly doubles the risk of AMD[1] while genetic factors, such as complement factor H gene and complement component 3 genes, are consistent with an inflammatory basis for the development of AMD .[6] Age is another major risk factor. AMD is also more common in Caucasians than other races. Hypertension, high fat intake and obesity, cataract surgery, blue iris colour, high sunlight exposure, and female gender are suspected. [2]

Treatment for dry AMD at intermediate or advanced stage is high dose of micronutrient supplementation as determined by the Age-related Eye Disease Study 1 ( AREDS 1) and Age-related Eye Disease Study 2 (AREDS 2)[7] In AREDS 1 progression to advanced AMD occurred in 28% of placebo group and 20% of micronutrient group, which included 500 mg vitamin C, 400 IU vitamin E, and 15 mg  $\beta$ — carotene, 80 mg Zn and 2 mg copper.[ 8] In AREDS 2 because of the

increased risk of lung cancer in smokers who take  $\beta$ -carotene,  $\beta$ -carotene was substituted by lutein and zeaxanthin. The evidence from AREDS 2 on the beneficial and adverse effects of substituting lutein and zeaxanthin for B-carotene suggests it could be more appropriate than  $\beta$ -carotene in AREDS-type supplements. [9 ] It is therefore suggested that current and previous smokers use the AREDS formula substituting lutein and zeaxanthin for  $\beta$ -carotene. Current management of dry AMD include annual surveillance of patients above 60 years and cessation of smoking. No evidence supports supplements for early dry AMD but patients with intermediate or advanced dry AMD should self-monitor vision at home with an Amsler grid and should take AREDS supplements. Therapies that inhibit complement and alter visual cycle are being tested and for severe disease stem cell therapy is being tested.[7]

When patients progress to advanced AMD with CNV under the fovea, they are classified as having nAMD. [1] For nAMD the standard of care at present are inhibitors of vascular endothelial growth factor (anti-VEGF), of which there are three currently on the market. Bevacizumab (trade name Avastin) is a recombinant humanized monoclonal antibody that blocks angiogenesis by inhibiting vascular endothelial growth factor-A, and is used off-label for nAMD. Ranibizumab (trade name Lucentis ) is a monoclonal antibody fragment (Fab) created from the same parent mouse antibody as bevacizumab and has U.S. Food and Drug Administration (FDA) approval for the treatment of nAMD. Aflibercept (trade name Eylea) is a soluble decoy receptor that binds vascular endothelial growth factor-A and placental growth factor, and also has FDA approval for the treatment of nAMD.

Not only do the anti-VEGF drugs maintain vision they also improve vision which was not possible before their introduction onto the market around 2007, which was a major step forward in the management of nAMD patients.

# History of the treatment of nAMD

## **Photocoagulation**

The first effective treatment of CNV was thermal laser photocoagulation. In 1982 the Macular Photocoagulation Study Group reported that patients receiving argon laser treatment to extrafoveal and juxtafoveal CNV lesions had a decreased rate of visual decline compared to untreated control group. Note that because this treatment however caused irreversible damage to the overlying neurosensory retina it was not appropriate for subfoveal lesions. This treatment also had a recurrence rate of more than 50% [1].

# Photodynamic therapy

Photodynamic therapy (PDT) introduced in 2000 offered an alternative to thermal laser photocoagulation. PDT selectively targets CNV without damaging the overlying retinal tissue. Verteporfin, an intravenously injected photosensitizing dye, preferentially accumulates within the neovascular tissue. Non-thermal laser light of 689nm for 83 seconds activates the verteporfin which forms free radicals causing endothelial damage and thrombosis of the choroidal vessels. [1] The Therapy of Age-related macular degeneration with Photodynamic therapy (TAP) Study Group conducted a randomized trial comparing PDT with placebo and analysed visual acuity loss between the 2 groups. At 2 years of follow-up after randomization, 53% of PDT patients lost 15 or fewer letters of visual acuity, compared to 38% of placebo patients which was statistically significant. PDT was however less effective in some CNV types and it was recommended that verteporfin therapy be used for the treatment of patients with subfoveal predominantly classic I CNV from nAMD. [11]

By 2000 we had two options for the treatment of CNV in nAMD: Thermal laser photocoagulation for extrafoveal and juxtafoveal CNV lesions and PDT for subfoveal predominantly classic I CNV. PDT is less destructive method of treatment compared to laser but was not associated with any visual acuity gain.[1] We also did not have effective treatment for subfoveal minimally classic and occult CNV.

# Introduction of anti-vascular endothelial growth factor

The development of pharmacologic agents that specifically target angiogenesis, such as anti-VEGF drugs, has revolutionized the treatment of nAMD.[3] VEGF increases the growth of abnormal blood vessels and augments vascular permeability. VEGF is up-regulated by hypoxia, and its levels are increased in both the vitreous and retina of patients with retinal vascular disease.

Pegaptanib sodium (trade name Macugen) was the first drug directed against VEGF. Pegaptanib is an aptamer that directly binds to VEGF<sub>165</sub> and prevents it from activating DNA transcription. Pegaptanib is administered by intravitreal injection every six weeks. [1] The VEGF Inhibition Study In Ocular Neovascularization (VISION), studied patients with all angiographic choroidal neovascularization lesion compositions of nAMD and received either intravitreal pegaptanib sodium (0.3 mg, 1 mg, 3 mg) or sham injections every 6 weeks for 54 weeks. The results in the VISION trial showed that pegaptanib had a beneficial effect for all subtypes of neovascularisation. We now had an effective and safe treatment for subfoveal minimally classic and occult CNV as well .The majority of patients in the pegaptanib clinical trials still lost vision but at a slower rate, and the treatment is considered one that slows the disease rather than one that improves visual acuity.[10] Because newer more effective anti-VEGF soon followed, pegaptanib was no longer used for the treatment of CNV due to AMD.

# Fixed monthly regimen

Ranibizumab (Lucentis) is a recombinant anti-VEGF monoclonal antibody fragment that is capable of inhibiting all active forms of VEGF in the eye. Two major studies have examined the effects of ranibizumab in eyes with nAMD. The phase III Minimally Classic/Occult Trial of the Anti-VEGF Antibody Ranibizumab in the Treatment of Neovascular Age-Related Macular Degeneration (MARINA) trial, and The Anti-VEGF Antibody for the Treatment of Predominantly Classic Choroidal Neovascularization in Age-Related Macular Degeneration (ANCHOR) trial. MARINA compared ranibizumab with sham injection for treatment of minimally classic and occult nAMD. ANCHOR compared ranibizumab with PDT in patients with classic CNV. MARINA and ANCHOR trials were both 2-year, multicentre, double blind, randomized control trials, and demonstrated that visual acuity outcomes when treating nAMD with fixed monthly regimen(FMR) of intravitreal ranibizumab was far superior to any other treatment to date. [4]

MARINA and ANCHOR trials demonstrated significant visual gain for the first time in the history of treatment of nAMD. Ranibizumab was licenced by the FDA in 2006 and in Europe in 2007.[6]

# Less than monthly follow-up

Subsequent studies followed MARINA and ANCHOR which aimed at reducing frequency of injections. Monthly intravitreal injection is a major burden on patients and the health system. Alternative fewer fixed scheduled injections have not resulted in visual outcomes that were as favourable as fixed monthly injection.

Efficacy and safety of ranibizumab on subfoveal CNV with or without classic CNV secondary to AMD (PIER) trial showed quarterly dosing of ranibizumab after 3 monthly doses inferior to monthly dosing.[5] Efficacy and safety of monthly versus quarterly ranibizumab treatment in

neovascular age-related macular degeneration (EXCITE) trial also showed FMR to be superior to three monthly injections and then quarterly injections. [12]

The open-label extension trial of ranibizumab for choroidal neovascularization secondary to age-related macular degeneration (HORIZON) trial showed visual acuity decreased when patients from the MARINA and ANCHOR trial switched to PRN dosing followed 6 monthly then changed to 3 monthly follow-up. [13] Safety of Ranibizumab in Subjects with Neovascular Agerelated Macular Degeneration (SAILOR) trial also showed FMR to be superior to *pro re nata* with less than monthly follow-up. [5]

#### Pro re nata (PRN) with monthly follow-up

Prospective optical coherence tomography imaging of patients with neovascular age-related macular degeneration treated with intraocular ranibizumab (PrONTO) study was the first prospective trial evaluating the use of ranibizumab in a *pro re nata* (PRN) approach with monthly follow-up for nAMD.

At 2 years, the mean visual acuity improved by 11.1 letters (P < .001) and the OCT-CRT decreased by 212  $\mu$ m (P < .001). These VA and OCT outcomes were achieved with an average of 9.9 injections over 24 months. The visual acuity outcomes were similar to the landmark pivotal MARINA and ANCHOR trials while using fewer injections. [14] In the MARINA study at 2 years the 0.5mg ranibizumab group's mean visual acuity improved by 6.6 letters (p<0.001) and in ANCHOR study at 2 years the ranibizumab group's mean visual acuity improved between 8.1 and 10.7 letters (p<0.001).[15]

The Pronto study results lead to two more major clinical trials the comparison of AMD treatment trials (CATT) and the inhibit VEGF in age-related choroidal neovascularization (IVAN) trial. CATT and IVAN were designed to answer the question of which regimen was superior, FMR of anti-VEGF or PRN regimen of anti-VEGF with monthly follow-up. CATT and IVAN were also deigned to compare ranibizumab and bevacizumab.

Bevacizumab (Avastin) is an anti-VEGF monoclonal complete antibody and is very much cheaper than ranibizumab. It was approved by the FDA for treatment of metastatic colon cancer in 2004. The agent has been used off-label as a treatment option for nAMD having been shown to be as safe and effective in several retrospective and prospective studies including CATT and IVAN. Bevacizumab is by far much more cost effective option compared to ranibizumab and aflibercept, which was introduced later. Using only one vial of bevacizumab you can treat at least twenty patients with nAMD. The intravitreal injection is prepared in compounding pharmacies from the vial of bevacizumab.[1]

## Fixed monthly versus *Pro re nata* with monthly follow-up.

CATT was a randomized, prospective, head-to-head, non-inferiority trial comparing ranibizumab to bevacizumab has shown that both anti-VEGF agents have equivalent effects on visual acuity when administered according to the same regime. [3]

At 1 year bevacizumab given monthly gained 8.0 letters, was as effective as ranibizumab given monthly with 8.5 letters gained. Bevacizumab given as PRN with monthly follow-up gained 5.9 letters and was as effective as ranibizumab given PRN with monthly follow-up with 6.8 letters gained.[16]

Ranibizumab given PRN with monthly follow-up was equal to ranibizumab monthly at 1 year but at 2 years monthly ranibizumab was superior to PRN with monthly follow-up with 2.4 letter difference between groups. At 2 years the gain in visual acuity from baseline was 8.8 letters in the ranibizumab group following a FMR and 7.8 letters in the bevacizumab given monthly group. In the ranibizumab PRN with monthly follow-up group it was 6.7 letters gained and 5.0 letters gained in the bevacizumab PRN with monthly follow-up group.[21]

Also switching from FMR to PRN treatment at the start of year 2 resulted in greater mean decrease in vision during year 2. Comparison between bevacizumab monthly and bevacizumab PRN with monthly follow-up was inconclusive. [15]

IVAN was a randomized, prospective, non-inferiority trial comparing ranibizumab to bevacizumab given either monthly or PRN with monthly follow-up. At 1 year comparison between ranibizumab and bevacizumab was inconclusive. FMR was equivalent to PRN with monthly follow-up. [15]

Importantly bevacizumab was equally as effective as ranibizumab in both CATT and IVAN.

VEGF trap-eye: Investigation of efficacy and safety in wet AMD (VIEW 1 and 2) compared monthly and every 2 monthly dosing of aflibercept with monthly ranibizumab. Aflibercept (Eylea) or VEGF Trap-Eye binds all forms of VEGF-A to D as well as Placental Growth Factor. VIEW 1 and 2 trials showed all doses of aflibercept dosing of 2mg every month, 0.5mg every month and 2mg every 2 months non-inferior to ranibizumab 0.5mg every month. [15]

To summarize monthly ranibizumab, bevacizumab or aflibercept give similar results. PRN with monthly follow-up is an option but was inferior to monthly treatment at 2 years in the CATT study and still requires monthly follow-up. Aflibercept 2 monthly may be an alternative to FMR ranibizumab and bevacizumab. Aflibercept remains extremely expensive and is not a treatment option for the public health sector.

As stated earlier, bevacizumab has been proven to be as effective and safe as ranibizumab in major prospective randomized clinical trials and in the resource limited public health sector bevacizumab is the drug of choice for nAMD.

# Treatment regimen options for bevacizumab

At present there are three treatment regimen options for nAMD using bevacizumab: FMR, PRN regime with monthly follow-up and TER. FMR is the regimen adopted in the initial major trials. Patients are seen every month and injected every month. This regimen has proven to give the best visual outcome and is seen as the gold standard. Many different dosing options have been tried in multiple clinical trials to attempt to decrease the burden on the health sector. Quarterly dosing, injecting every three months, and PRN with less than monthly follow-up were shown to be inferior to FMR. PRN regime with monthly follow-up was shown to have results similar to fixed monthly but was still inferior and very importantly still requires patients to be seen monthly.

TER is an alternative type of PRN regime that entails administering three initial injections at monthly intervals and then to continue monthly injections until the retina is dry as determined using optical coherence tomography (OCT). After the retina is dry the period between injections are gradually increased by 2 weeks at a time and continued injections at every visit regardless if retina is dry or not. Continue to extend the interval, 12 weeks usually being the maximum interval period of extension. If fluid accumulates again, the period between injections is decreased by 2 weeks at a time until a stable interval is determined for each individual patient. This regimen cuts down clinic visits and reduces the number of injections while it maintains vision similar to FMR according to results from past and ongoing clinical trials.

#### <u>Treat-and-extend versus PRN dosing</u>

In a study by Oubraham et al, TER had better gain in letters compared to PRN regimen with a few more injections of 8 vs 5 in the PRN group.[5]

In a systemic review of as needed PRN regimen versus TER of ranibizumab or bevacizumab for nAMD, including 8 studies meeting the TER protocol and 62 studies meeting the PRN protocol were included. The mean visual acuity gain was 5.4 letters in the PRN group and 10.4 letters in the TER group. The PRN group received an average of 5.6 injections in year 1 and the TER group 8.09. Central retinal thickness improved on average by 100.3  $\mu$ m in the PRN group compared with 87.7  $\mu$ m in the TER group. [17]

#### <u>Treat-and-extend versus fixed monthly dosing</u>

Two studies by Gupta et al and Shienbaum et al employed a TER of ranibizumab and bevacizumab respectively for treatment of nAMD with favourable visual acuity results with significantly fewer visits and intravitreal injections compared with treatment in a FMR.[3 and 4]

In a prospective TER study published in Retina in 2014 the mean visual acuity change from baseline was +9.5 and +8.0 letters after 12 months and 24 months respectively with a mean of 8.6 injections in the first year and 5.6 in the second year.[18]

The CANTREAT trial (Canadian Treat and Extend Analysis Trial with Ranibizumab) is a multicentre, randomized trial designed to evaluate and compare a FMR of ranibizumab to the TER approach in achieving and maintaining a maximum visual acuity. It is theorized that the TER will be non-inferior to monthly dosing in terms of mean change in visual acuity from baseline to 1 year while decreasing the number of injections in the TER. It is expected that the TER approach will allow for proactive, individualized treatment compared to PRN reactive treatment, giving better results than PRN regimen in terms of visual acuity. [19]

Interim data from the CANTREAT trial showed the mean visual acuity gain was 6.8 letters in the FMR arm and 7.2 letters in the TER arm at 1 year. Patients in the FMR arm and TER arms received an average of 11.9 and 9.9 injections respectively at 12 months. [20]

## What other benefits are there of fewer intravitreal injection of Anti-VEGF?

Endophthalmitis is a major risk factor with intravitreal injection with devastating visual consequences. Retrospective studies have reported that the incidence of post intravitreal injection endophthalmitis ranges from 0.02 to 1.6%. Multiple recent large meta-analysis reports indicate the incidence rates between 0.049 to 0.056%. Although the rate of endophthalmitis is low for any individual patient the potential incidence rises when receiving repeated monthly injections.[22]

It is a logical assumption if we can decrease the number of intravitreal injections needed by a patient we can decrease the risk of endophthalmitis.

Other ocular complications include non-infectious inflammation to the biologic anti-VEGF agents, retinal tears and detachment, retinal pigment epithelium rip, elevated intraocular pressure post intravitreal injection and cataract. [23]

Evidence suggesting that receiving more anti-VEGF injections is associated with geographic atrophy progression comes from numerous studies. Geographic atrophy was the main reason of decreased vision in patients receiving intravitreal anti-VEGF in the MARINA trial. [23] The SEVEN-UP study observed geographic atrophy progression in nAMD patients treated with ranibizumab over a mean of 7.4 years. The CATT trial found that patients treated with monthly anti-VEGF intravitreal injection had higher rate of geographic atrophy progression than the patients treated with the PRN regimen with monthly follow-up. [24]

Systemic safety profile of intravitreal anti-VEGF is not known to date with confounding evidence and persistently unanswered questions. As is well known, VEGF is a potent promoter of vascular hyper-permeability. Anti-VEGF agents significantly reduce vascular hyper-permeability, and when given systemically can raise systemic arterial blood pressure. Systemically delivered anti-VEGFs are known to promote the development of arterial thrombotic events but this has been observed at much larger doses than intravitreal injection of anti-VEGF. [23]

There have been no statistically significant evidence derived from the numerous studies that intravitreal injection of anti-VEGF leads to increased development of arterial thrombotic events however these clinical trials were not statistically powered to answer this question. Subgroup analysis and pharmacokinetic data points to the possibility of a risk of arterial thrombotic events from intravitreal anti-VEGF drugs. The populations at the highest risk for arterial thrombotic events based upon available clinical trial data, clinical experience and theoretical factors are diabetics, patients 85 years and older and patients with history of prior strokes. [23] It is therefore reasonable to want to decrease the number of intravitreal anti-VEGF injections and extend the period between intravitreal anti-VEGF injections.

#### Conclusion

While clinical trials are ongoing with novel therapies to decrease the number of office visits and intravitreal injections a TER has been shown in other clinical trials to be as effective as the gold standard of FMR and may be superior to PRN regime. TER reduces the number of office visits as well as the number of intravitreal injections and at the same time potentially decreasing the risk of complications and side-effect from intravitreal injection of anti-VEGF. Bevacizumab off label is far cheaper than ranibizumab and it is essential to investigate the visual outcomes and economic impact of this treatment strategy for nAMD in our resource limited setting. [3].

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# Part 2: A submission ready manuscript.

# **Cover Page**

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# **Summary**

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# Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector

**Objective:** To obtain the clinical outcomes of patients using intravitreal bevacizumab with a treat-and-extend regimen for neovascular age-related macular degeneration (nAMD) and determine if this regime will benefit resource limited sectors in managing these patients.

**Design:** A retrospective chart review of patients who received intravitreal bevacizumab for nAMD between June 2011 and June 2016.

**Subjects:** 53 eyes of 48 patients, 1 year follow-up (n=53) and 2 year follow-up (n=24).

**Methods:** Patients were diagnosed with nAMD using fundus fluorescein angiography and optical coherence tomography at the first visit. Patients initiated on treatment followed a treat-and-extend treatment protocol.

Main outcome measures: Main outcome measures was mean change from baseline visual acuity (VA) at year 1 and 2, mean change from baseline central retinal thickness (CRT) at year 1 and 2, mean number of intravitreal bevacizumab injections at year 1 and 2 and mean period of extension between intravitreal bevacizumab injections.

**Results:** Mean VA at start of treatment was 0.21 and improved to 0.38 year 1 and 0.45 year 2. The mean CRT at the start of the study was 333.46  $\mu$ m and improved to 265.35  $\mu$ m at year 1 and 245.79 $\mu$ m at year 2. The mean number of injections in year 1 was 9.64 and 6 in year 2. The mean number of weeks between injections year 1 was 5.59 and 8.58 in year 2.

**Conclusion:** In this study it was ascertained that patient's VA did improve significantly from baseline but our implementation of the treat-and-extend regimen can be followed more strictly.

#### Introduction

The current standard of care for neovascular age-related macular degeneration (nAMD) is intravitreal injection of an anti-vascular endothelial growth factor (anti-VEGF). Monthly injection places an enormous burden on the health system and on the patients themselves.

It has long been known that patients respond differently to anti-VEGF therapy and not all patients need monthly injection. In an attempt to decrease the burden on the health sector and patients many different treatment regimens have been tried and tested in clinical trials to decrease clinic visits as well as the number of injections received by patients with varying results.

Intravitreal injection of anti-VEGF is not without risk, such as the risk of endophthalmitis, serious adverse systemic events and evidence of progression of geographic atrophy with repeated injections.[1,2]

At present there are three treatment regimen options for nAMD using bevacizumab. Fixed monthly injection regimen (FMR), *Pro re nata* (PRN) regime with monthly follow-up, and treat-and-extend regimen (TER).

FMR was adopted in the initial major trials. Patients are seen every month and injected every month. This regimen has proven to give the best visual outcome and is seen as the gold standard. Many different dosing options have been tried in multiple clinical trials to attempt to decrease the burden on the health sector but were shown to be inferior to a FMR. PRN with monthly follow-up was shown to have results similar to FMR but was still inferior and very importantly still requires patients to be seen monthly. TER is an alternative type of PRN regime that entails administering three initial injections at monthly intervals and then to continue monthly injections until the retina is dry as determined using optical coherence tomography (OCT). After the retina is dry the period between injections are gradually increased by 2 weeks

at a time and continued injections at every visit regardless if retina is dry or not. Continue to extend the interval between injections, 12 weeks is usually the maximum interval period of extension. If fluid accumulates again the period between injections is decreased by 2 weeks at a time until a stable interval is determined for each individual patient.

The reason for this study was to determine the clinical outcomes of patients using intravitreal bevacizumab (BVZ) with a TER for nAMD and determine if this regime will benefit resource limited sectors in managing these patients. Evidence in the literature is growing that a TER is as effective in restoring and maintaining vision as the current gold standard of FMR and more effective than a PRN with monthly follow-up, with fewer injections and fewer office visits. Interim 1 year data from the Canadian Treat and Extend Analysis Trial with Ranibizumab (CANTREAT), showed the mean visual acuity gain was equivalent in the TER arm compared to the FMR despite fewer injections.[3]

A retrospective chart review was undertaken of all patients with nAMD that presented to Inkosi Albert Luthuli Central Hospital (IALCH) where electronic patient records were kept. 53 eyes of 48 patients met the entry criteria from June 2011 until June 2016. In this paper we are able to show that a TER may be a safe and cost-effective alternative to the current gold standard of fixed monthly injection of an anti-VEGF for nAMD adding to the growing body of evidence in favour of this treatment regimen.

# Research methods and design

#### Patient Selection

Charts of all patients diagnosed with nAMD at IALCH during the period of June 2011 until June 2016 with at least 1 year follow-up were reviewed and included in this study.

The inclusion criteria included all patients with nAMD diagnosed using fluorescein angiography (FA) and optical coherence tomography (OCT) and treated according to the TER using the anti-VEGF bevacizumab.

All other causes of choroidal neovascularization such as dominant drusen, angioid streaks, polypoidal choroidal vasculopathy etc. were excluded from the patient cohort.

Fifty three eyes from forty eight patients, with fifty three having 1 year follow-up and twenty four having 2 year follow-up were included.

Visual acuity (VA) and central retinal thickness (CRT) was obtained at the first visit, at year 1 and at year 2. Total number of injections in year 1 and year 2 was obtained as well as the interval period between each injection.

Mean change from baseline VA at year 1 and 2, mean change from CRT at year 1 and 2, mean number of intravitreal bevacizumab injections in year 1 and 2 and mean period of between intravitreal bevacizumab injections was determined.

# **Statistical Analysis**

Data were entered into Statistical Packages for the Social Sciences for analysis (SPSS). A one-sample t-test was used to assess the differences in VA at year 1 and year 2 and central retinal thickness at year 1 and year 2.

# **Ethical considerations**

As this was a retrospective chart review, informed consent was not obtained from patients included in this study. No patient contact was made and site permission was obtained from Inkosi Albert Luthuli Hospital management. Institutional Review Board and Ethics committee approval was obtained from the University of KwaZulu-Natal Biomedical Research Ethics Committee (BREC), BE017/15. The described research adhered to the Declaration of Helsinki.

# **Results**

Of the 48 patients in the study more than 90% were above the age of 70y. [Table 1] Age is one of the most important risk factors for the development of nAMD.[4]

| Age (years) | Frequency | Percent |
|-------------|-----------|---------|
| < 70        | 4         | 7.5     |
| 70 - 74     | 9         | 17.0    |
| 75 - 79     | 13        | 24.5    |
| 80 - 84     | 16        | 30.2    |
| ≥ 85        | 11        | 20.8    |
| Total       | 53        | 100.0   |

Table 1: Age

Of the 53 study eyes, 29 were from male patients.[Table 2]

| Sex    | Frequency | Percent |
|--------|-----------|---------|
| Female | 24        | 45.3    |
| Male   | 29        | 54.7    |
| Total  | 53        | 100.0   |

Table 2: Sex

| Race   | Frequency | Percent |
|--------|-----------|---------|
| Black  | 3         | 5.7     |
| Indian | 8         | 15.1    |
| White  | 42        | 79.2    |
| Total  | 53        | 100.0   |

Table 3: Race

The majority of patients were of European descent. [Table 3] nAMD has a strong genetic association and is almost exclusively found in Caucasians.[4]

|          |           |           |           |           | Std.      |           |       |
|----------|-----------|-----------|-----------|-----------|-----------|-----------|-------|
|          | N         | Minimum   | Maximum   | Mean      | Deviation | Skewi     | ness  |
|          |           |           |           |           |           |           | Std.  |
|          | Statistic | Statistic | Statistic | Statistic | Statistic | Statistic | Error |
| VA_Start | 53        | 0.01      | 0.80      | 0.21      | 0.174     | 1.637     | 0.327 |
| VA_1year | 53        | 0.10      | 1.00      | 0.38      | 0.267     | 0.846     | 0.327 |
| VA_2year | 24        | 0.10      | 1.00      | 0.45      | 0.266     | 0.519     | 0.472 |
|          |           |           |           |           |           |           |       |
|          |           |           |           |           |           |           |       |

**Table 4: Visual Acuity** 

VA\_Start = Visual acuity at the Start of Treatment.

VA\_1year/2year = Visual Acuity at Year 1 and Year 2.

The visual acuity was recorded in the decimal system for ease of recording and statistical analysis. A VA of counting fingers was given a decimal score of 0.01. Data on VA at the start of treatment was available for 53 eyes, 53 eyes at year 1 and 24 eyes at year 2.

The best VA recorded was 1.0 and 0.01 the worst VA recorded. Mean VA at start of treatment was 0.21 and improved to 0.38 at year 1 and 0.45 at year 2.[Table 4] Final mean visual acuity converted to a visual acuity score (VAS) was done to be able to determine number of letters gained. Mean VAS at the start of treatment was 66, at year 1 was 79 with 13 letters gained and 83 at year 2 with 17 letters gained.

|           |           |           |           |           | Std.      |           |            |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
|           | N         | Minimum   | Maximum   | Mean      | Deviation | Skev      | vness      |
|           | Statistic | Statistic | Statistic | Statistic | Statistic | Statistic | Std. Error |
| CRT_Start | 48        | 215.0     | 611.0     | 333.46    | 85.443    | 1.160     | 0.343      |
| CRT_1year | 48        | 134.0     | 774.0     | 265.35    | 93.555    | 3.528     | 0.343      |
| CRT_2year | 24        | 172.0     | 349.0     | 245.79    | 49.773    | 0.494     | 0.472      |
|           |           |           |           |           |           |           |            |

**Table 5: Central Retinal Thickness** 

CRT\_Start = Central Retinal Thickness at the Start of Treatment.

 $CRT_1/2 = Central Retinal Thickness at Year 1 and Year 2.$ 

Central retinal thickness (CRT) was measured using optical coherence tomography (OCT). Data on central retinal thickness of 48 eyes was available at the start of treatment and 48 eyes at year 1 and 24 eyes at year 2. The mean CRT at start of the study was 333.46  $\mu m$  and improved to 265.35  $\mu m$  at year 1 and 245.79  $\mu m$  at year 2. Table[5]

|        | Total Number of Injections | Number of Eyes | Mean Number of Injections |
|--------|----------------------------|----------------|---------------------------|
| Year 1 | 511                        | 53             | 9.64                      |
| Year 2 | 144                        | 24             | 6                         |

**Table 6: Number of Injections** 

The mean number of injections in year 1 was 9.64 per eye and in year 2 the mean number of injections was 6 per eye.[Table 6]

The mean number of weeks between injections in year 1 was 5.59 and 8.58 in year 2. [Table 7]

|        | Mean Number of Weeks Between Injections | Std. Deviation |
|--------|---|----------------|
| Year 1 | 5.59                                    | 1.18           |
| Year 2 | 8.58                                    | 3.13           |

**Table 7: Number of Weeks between Injections** 

#### **Discussion**

In this study it was ascertained that patient's vision did improve significantly from baseline at year 1 and 2. Central retinal thickness improved significantly at year 1 and year 2 and the average number of injections was less than with FMR and similar to other TER regimens.

Because this was a retrospective chart review a decimal system was used to record vision in the patients charts using a standard Snellen chart and this visual acuity was used in our study. Most, if not all studies conducted in the literature, uses the Early Treatment of Diabetic Retinopathy Study (ETDRS) chart and ETDRS protocol. This is considered to represent the gold standard for VA measurements.[5]

The ETDRS protocol was not followed in our study but to be able to compare our results with the landmark clinical trials we converted our final mean VA into a visual acuity score (VAS). With the VAS we are able to determine the number of letters gained in VA and compare our results to other trials where the VAS scale was calculated on ETDRS charts, where 1 point is credited for each letter read correctly.

The Minimally classic/occult trial of the anti VEGF antibody Ranibizumab in the treatment of Neovascular ARMD (MARINA) and Anti-VEGF antibody for the treatment of predominantly classic choroidal neovascularization in AMD (ANCHOR) trials were the landmark clinical trials and demonstrated significant visual gain for the first time in the history of treatment of nAMD. In MARINA and ANCHOR patients followed a FMR with injections every month for two years.

#### Fixed Monthly Regimen

In the MARINA study at 2 years the 0.5mg ranibizumab group's mean VA improved by 6.6 letters (p<0.001) and in ANCHOR study at 2 years the ranibizumab group's mean VA improved between 8.1 and 10.7 letters (p<0.001).[10].

Subsequent studies followed MARINA and ANCHOR which aimed at reducing frequency of injections. Monthly intravitreal injection is a major burden on patients and the health system.

## Less than monthly follow-up

Alternative fewer fixed scheduled injections have not resulted in visual outcomes that were as favourable as a FMR.

Efficacy and safety of ranibizumab on subfoveal CNV with or without classic CNV secondary to AMD (PIER) trial showed quarterly dosing of ranibizumab after 3 monthly doses inferior to a FMR.[6]

Efficacy and safety of monthly versus quarterly ranibizumab treatment in neovascular agerelated macular degeneration (EXCITE) trial also showed a FMR to be superior to three monthly injections and then quarterly injections. [12]

The open-label extension trial of ranibizumab for choroidal neovascularization secondary to age-related macular degeneration (HORIZON) trial showed VA decreased when patients from the MARINA and ANCHOR trial switched to PRN dosing. [13]

Safety of Ranibizumab in Subjects with Neovascular Age-related Macular Degeneration (SAILOR) trial also showed FMR to be superior than PRN with less-than-monthly follow-up.[6]

#### *Pro re nata* with monthly follow-up

Prospective optical coherence tomography imaging of patients with neovascular age-related macular degeneration treated with intraocular ranibizumab (PrONTO) study was the first prospective trial evaluating the use of ranibizumab in a PRN approach with monthly follow-up

for nAMD. At 2 years, the mean visual acuity improved by 11.1 letters (P < .001) and the OCT-CRT decreased by 212  $\mu$ m (P < .001). These VA and OCT outcomes were achieved with an average of 9.9 injections over 24 months. The visual acuity outcomes were similar to the pivotal landmark MARINA and ANCHOR trials while using fewer injections. [14]

## Fixed monthly versus Pro re nata with monthly follow-up

The Pronto study results lead to two more major clinical trials, the comparison of AMD treatment trials (CATT) and the inhibit VEGF in age-related choroidal neovascularization (IVAN) trial.

CATT and IVAN were designed to answer the question of which regimen was superior, FMR or PRN with monthly follow-up. CATT and IVAN were also deigned to compare the therapeutic efficacy of the molecules ranibizumab and bevacizumab.

Bevacizumab (Avastin) is an anti-VEGF monoclonal complete antibody and is very much cheaper than ranibizumab. It was approved by the FDA for treatment of metastatic colon cancer in 2004. The agent has been used off-label as a treatment option for nAMD having been shown to be as safe and effective in several retrospective and prospective studies including CATT and IVAN. Bevacizumab is by far much more cost effective option; using only one vial of bevacizumab you can treat at least twenty patients with nAMD. The intravitreal injection is prepared in compounding pharmacies from the vial of bevacizumab.[4]

CATT was a randomized, prospective, head-to-head, non-inferiority trial comparing ranibizumab to bevacizumab has shown that both anti-VEGF agents have equivalent effects on visual acuity when administered according to the same regime. [8]

At 1 year bevacizumab given monthly gained 8.0 letters, was as effective as ranibizumab given monthly with 8.5 letters gained. Bevacizumab given as PRN with monthly follow-up gained 5.9

letters and was as effective as ranibizumab given PRN with monthly follow-up with 6.8 letters

gained.[15]

Ranibizumab given PRN with monthly follow-up was equal to ranibizumab given with a FMR at

1 year but at 2 years monthly ranibizumab was superior to PRN with monthly follow-up with a

2.4 letter difference between groups.

At 2 years the gain in visual acuity from baseline was 8.8 letters in the ranibizumab given with a

FMR and 7.8 letters in the bevacizumab given with a FMR. In the ranibizumab given PRN with

monthly follow-up group it was 6.7 letters gained and 5.0 letters gained in the bevacizumab

given PRN with monthly follow-up.[16] Also switching from a FMR to a PRN treatment regimen

in year 2 resulted in greater mean decrease in vision during year 2. [10]

Treat-and-Extend: IALCH

In our study the mean VAS at the start of treatment was 66, at year 1 it was 79 with 13 letters

gained and 83 at year 2 with 17 letters gained. The mean number of injections in year 1 was

9.64 per eye and in year 2 the mean number of injections was 6. The mean number of weeks

between injections in year 1 was 5.59 and 8.58 in year 2. These results are very comparable to

results in a prospective treat-and-extend study published in Retina in 2014.[11] The mean visual

acuity change from baseline was 9.5 at year 1 and 8.0 letters at year 2 with a mean of 8.6

injections in year 1 and 5.6 injections in year 2 in this study.

Treat-and-extend versus pro re nata with monthly follow-up

In a study by Oubraham et al [6], a TER had better gain in letters compared to a PRN regimen

with a few more injections of 8 in the TER group versus 5 in the PRN group.

XXXV

In a systemic review meta-analysis of as needed PRN regimen versus TER's of ranibizumab or bevacizumab for nAMD, 8 studies met the TER protocol and 62 studies met the PRN protocol were included. The mean VA gain was 5.4 letters in the PRN group and 10.4 letters in the TER group in year 1. The PRN group received an average of 5.6 injections in year 1 and the TER group 8.09. This compared to our gain of 13 letters in year 1 and to our mean number of injections in year 1 of 9.64. Central retinal thickness improved on average by 100.3  $\mu$ m in the PRN group compared with 87.7  $\mu$ m in the TER group compared to our study of 68.1  $\mu$ m at year 1. [7]

# Treat-and-extend versus fixed monthly regimen

Two studies by Gupta et al and Shienbaum et al [8 and 9] employed a TER of ranibizumab and bevacizumab respectively for treatment of nAMD with favourable VA results with significantly fewer visits and intravitreal injections compared with treatment in a FMR.

The Canadian Treat and Extend Analysis Trial with Ranibizumab (CANTREAT) is the first multicentre, randomized trial designed to evaluate and compare head-to-head a FMR dosing of ranibizumab to the TER approach in achieving and maintaining maximum VA.

Interim data from the CANTREAT trial showed the mean visual acuity gain was 6.8 letters in the FMR dosing arm and 7.2 letters in the TER dosing arm at 1 year. Patients in the FMR dosing arm and TER dosing arms received an average of 11.9 and 9.9 injections respectively at 12 months. [3]

# What other benefits of fewer intravitreal injection of Anti-VEGF?

Endophthalmitis is a major risk factor with intravitreal injection with devastating visual consequences. Retrospective studies have reported that the incidence of post intravitreal injection endophthalmitis ranges from 0.02 to 1.6 %. Recent large meta-analyses report the

incidence rates between 0.049 to 0.056 %. Although the rate of endophthalmitis is low for any individual patient the potential incidence rises when receiving repeated monthly injections.[17] Other ocular complications include non-infectious inflammation to the biologic anti-VEGF agents, retinal tears and detachment, retinal pigment epithelium rip, elevated intraocular pressure post intravitreal injection and cataract. [19]

Evidence suggesting that receiving more frequent anti-VEGF injections is associated with geographic atrophy progression comes from numerous studies. Geographic atrophy was the main reason of decreased vision in patients receiving intravitreal anti-VEGF in the MARINA trial.[18]

The SEVEN-UP study observed geographic atrophy progression in nAMD patients treated with ranibizumab over a mean of 7.4 years. The CATT trial found that patients treated with monthly anti-VEGF intravitreal injection had higher rate of geographic atrophy progression than the patients treated with the PRN with monthly follow-up regimen. [19]

Systemic safety profile of intravitreal anti-VEGF is not known to date with confounding evidence and persistently unanswered questions. As known VEGF is a potent promoter of vascular hyper-permeability. Anti-VEGF agents significantly reduce vascular hyper-permeability, and when given systemically can raise systemic arterial blood pressure. Systemically delivered anti-VEGF is known to promote the development of arterial thrombotic events but this has been observed at much larger doses than intravitreal injection of anti-VEGF. [19]

There have been no statistically significant evidence derived from the numerous studies that intravitreal injection of anti-VEGF leads to increased development of arterial thrombotic events, however these clinical trials were not statistically powered to answer this specific question. Subgroup analysis and pharmacokinetic data points to the possibility of a risk of arterial thrombotic events from intravitreal anti-VEGF drugs.

The populations at the highest risk for arterial thrombotic events based upon available clinical trial data, clinical experience and theoretical factors are diabetics, patients 85 years and older and patients with history of prior strokes. [19]

It is therefore reasonable to want to decrease the number of intravitreal anti-VEGF injections and extend the period between intravitreal anti-VEGF injections.

#### Limitations of the study

Being a retrospective chart review is a limitation in itself. It was also noticed while collecting the data that there was poor compliance with the TER protocol at IALCH, resulting in many patients not following an absolute and strict TER. One reason that contributed to this was most probable due to poor follow-up by patients due to social circumstances, such as finance or transport issues. More importantly also determined from review of the charts was clinician error when deciding on intravitreal injection frequency.

This resulted in a mixture of a TER and PRN regimen being followed in many patients at IALCH. The results are a more realistic real world experience which has shown that our treatment is effective in treating nAMD however following a TER is not difficult and should easily be adhered to with possible better visual acuity results, fewer clinic visits and fewer injections of intravitreal anti-VEGF.

Areas identified where intervention would make a difference include education of treating ophthalmologists, especially the junior registrars and medical officers, on the TER protocol: Educating patients on the importance of follow-up on correct clinic appointments and the need for close monitoring of patients' response to treatment. The possibility of down referring patients back to base ophthalmology clinics with the adequate staff and equipment for management of nAMD patients. This would be more convenient for the patients as IALCH ophthalmology clinic is only one of two clinics in KwaZulu-Natal currently providing intravitreal

injection of bevacizumab for nAMD and many patients travel a great distance for their clinic appointments.

The results from our study show our VA gain being better than most other studies. The reason is that we did not use the ETDRS charts and ETDRS protocol as most prospective studies did. The VA in our study was recorded by the nursing staff using standard Snellen charts by different nursing staff at each visit in a relatively uncontrolled environment.

In a study comparing VA determined by an ETDRS chart versus a Snellen chart the visual acuity scores were significantly better with the ETDRS charts when compared to Snellen charts. The greatest difference was with poor visual acuity and in patients with nAMD. [5]

Potentially the ETDRS patients in the prospective studies had better initial mean VA and therefore had less of an improvement or gain in letters as seen in our study.

#### Conclusion

This retrospective chart review has shown that our current treatment for nAMD is resulting in significant visual gains comparable to major prospective trials.

Interim data form the first head-to-head study comparing a TER to the gold standard of a FMR, CANTREAT, has shown very promising results showing a TER is at least effective as a FMR.

At IALCH implementation of a TER protocol was done early because of paucity of resources and personnel and this study has shown that IALCH in not delivering sub-standard care for patients with nAMD but our implementation of the TER can be followed more strictly.

This was a small study but VA gains are similar to that with a FMR with fewer injections and fewer follow-up visits, adding to the growing body of evidence in favour of a TER for the treatment of nAMD.

| Study Outcomes | Fixed Monthly Dosing Studies |        |          |          |          |  |  |
|----------------|------------------------------|--------|----------|----------|----------|--|--|
|                | ANCHOR                       | MARINA | CATT-RBZ | CATT-BVZ | CANTREAT |  |  |
|                | [10]                         | [10]   | [15][16] | [15][16] | [3]      |  |  |
| Mean VA_1y     | 11.3                         | 7.2    | 8.5      | 8.0      | 6.8      |  |  |
| Mean VA_2y     | 10.7                         | 6.6    | 8.8      | 7.8      | -        |  |  |
| Number Inj_1y  | 13                           | 13     | -        | -        | 11.9     |  |  |
| Number Inj_2y  | 12                           | 12     | -        | -        |          |  |  |
| CRT_1y         | -                            | -      | 196      | -        | -        |  |  |
| CRT_2y         | -                            | -      | -        | -        | -        |  |  |

**Table 8: Fixed Monthly Dosing Studies** 

A TER not only benefits the health sector but the patient as well, saving both money and time.

With fewer injections we can also potentially decrease the risk of complications such as endophthalmitis, cataract, progression of geographic atrophy and arterial thrombotic events from intravitreal injection of anti-VEGF.

| Study Outcomes | PRN Dosing With Monthly Follow-up Studies |          |          |               |  |  |  |
|----------------|---|----------|----------|---------------|--|--|--|
|                | CATT-RBZ                                  | CATT-BVZ | PRONTO   | Meta-Analysis |  |  |  |
|                | [15][16]                                  | [15][16] | [10][14] | [7]           |  |  |  |
| Mean VA_1y     | 6.8                                       | 5.9      | 9.3      | 5.4           |  |  |  |
| Mean VA_2y     | 6.7                                       | 5.0      | 11.1     | -             |  |  |  |
| Number Inj_1y  | -   | -        | 5.6      | 5.6           |  |  |  |
| Number Inj_2y  | -   | -        | -        | -             |  |  |  |
| CRT_1y         | -   | -        | 178      | 100.3         |  |  |  |
| CRT_2y         | -   | -        | -        | -             |  |  |  |

**Table 9: PRN Dosing With Monthly Follow-up Studies** 

BVZ – Bevacizumab. RBZ – Ranibizumab.

| Study Outcomes | TER Studies   |        |          |       |  |  |  |  |
|----------------|---------------|--------|----------|-------|--|--|--|--|
|                | Meta-Analysis | RETINA | CANTREAT | IALCH |  |  |  |  |
|                | [7]           | [11]   | [3]      |       |  |  |  |  |
| Mean VA_1y     | 10.4          | 9.5    | 7.2      | 13    |  |  |  |  |
| Mean VA_2y     | -             | 8.0    | -        | 17    |  |  |  |  |
| Number Inj_1y  | 8.1           | 8.6    | 9.9      | 9.64  |  |  |  |  |
| Number Inj_2y  |               | 5.6    | -        | 6     |  |  |  |  |
| CRT_1y         | 87.7          | -      | -        | 68.1  |  |  |  |  |
| CRT_2y         | -             | -      | -        | 87.7  |  |  |  |  |

**Table 10: TER Studies** 

## Acknowledgements

The authors declare that they have no financial or personal relationship(s) that may have inappropriately influenced them in writing this article.

Dr Carl-Heinz Kruse - MMED Supervisor, Head of Ophthalmology, Greys Hospital

Dr Linda Visser - Head of Department Ophthalmology, KZN

Prof. Satorius - Department Public Health, KZN

Aldine Oosthuyzen - Manager Information Technology, NWU

Prof. Colleen Aldous - Medical Research Scientist - UKZN

#### **Authors' contributions**

Dr E.P. Le Roux was the project leader. Dr E.P. Le Roux and Dr C-H Kruse were responsible for the project design. Calculations were performed by Ms. Aldine Oosthuysen.

#### References

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## **Appendix 1: The final Study Protocol**

## Appendix 2: The Guidelines for Authorship for the submission Journal

Include the author guidelines in order that the examiner can assess adherence to the journal requirements.

## **Appendix 3: Ethical approvals**

Included hospital and provincial approvals as well as the BREC approval (or waiver if appropriate).

## Appendix 4: Raw data

# The Administrator, Biomedical Research Ethics Committee, Govan Mbeki Building, University Road, Westville Campus, Tel: 031-260 4769 / 2486 Email: BREC@ukzn.ac.za

Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector.

DR EP LE ROUX (MBChB)

Ophthalmology Registrar

University of KwaZulu-Natal

| PRC | PTOCOL NUMBER:     |
|-----|--------------------|
|     | or office use only |



# BIOMEDICAL RESEARCH ETHICS COMMITTEE EXPEDITED APPLICATION FORM<sup>1</sup>

Application to the UKZN Research Ethics Committee for ethics review of new research projects (For research on human participants)

RESEARCH OFFICE CONTACT DETAILS: Biomedical Research Ethics Administration, Westville Campus, Govan Mbeki Building, Private Bag X 54001, Durban, 4000, Website: http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics asnx

| APPLICANT/PRINCIP                                       | PAL INVESTIGA      | TOP           | SECT        | TION A:    |             |     |                  |               |            |
|---|--------------------|---------------|-------------|------------|-------------|-----|------------------|---------------|------------|
| Title: Mr   | Ms                 | ATOR:         |             |            |             | *=  |                  |               |            |
| Name : Etienne Phili                                    | n I o D            | Mrs           |             | r DR       | Prof        | For | JKZN statistical | I reporting p | urposes    |
| *Gender: Male   | h re Konx          |               |             |            | FIOI        |     | (Select opti     | ion)          |            |
| *Race: White  |                    |               |             |            |             |     |                  |               |            |
| UKZN College:   |                    |               |             |            |             |     |                  |               |            |
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| School/Discipline:                                      | School of Me       | dicine - C    | phthalmol   | oav        |             |     |                  |               |            |
| Hospital/Institution                                    |                    |               |             | -97        |             |     |                  | 1             |            |
| where employed:   | King Edward        |               |             |            |             |     |                  | NA            | 1          |
| Professional status: Re                                 |                    |               |             |            |             |     |                  | A14           |            |
| Re  | gistrar            |               |             |            |             |     |                  | NA            |            |
| Postal address as                                       |                    |               |             |            |             |     |                  |               |            |
| Postal address: <b>405 Un</b><br>Contact phone Number   | nhlanga Rocks,     | Durban.       | 4320        |            |             |     |                  |               |            |
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|   |                    | f application | 70 <i>1</i> |            |             |     |                  |               |            |
| urpose of research: If p                                | ostgraduate        | Hons          | MMedSc      |            |             |     |                  |               |            |
| lease tick)   |                    |               | Willeage    | MMed       | MSc         | MFa | amMed Me         | ChB PhD       | NI         |
|   |                    |               |             |            |             |     |                  |               | N/A        |
| her degree not listed al                                | DOVE.              |               |             |            |             |     |                  |               |            |
| Ident Number and voc                                    |                    |               |             |            |             |     |                  |               |            |
| udent Number and year                                   | of study: (if appl | licable) 213  | 574210      |            |             |     |                  |               |            |
|   |                    |               |             |            |             |     |                  |               |            |
| proved by your school's                                 | Academic Lead      | der (Rese     | arch).      | on has bee | en reviewed | and | Yes              |               | NI.        |
|   |                    |               |             |            |             |     | 103              |               | No         |
| es, provide approval da<br>I <b>be provided by Prof</b> | ate and attach a   | pproval let   | tter:       |            |             |     |                  |               |            |
|   | Adnikari           |               |             |            |             |     |                  |               |            |
| me and qualifications of                                | Supervisor         |               |             |            |             |     |                  |               |            |
| Williams Kinge MB(                                      | HB, FC Ophth       | almology      |             |            |             |     |                  |               |            |
|   |                    |               |             |            |             |     |                  |               |            |
| ne and qualifications of                                | Cocupani           |               |             |            |             |     |                  |               |            |

<sup>&</sup>lt;sup>1</sup> Note: This application must be self-sufficient. Sections marked "see protocol" are unacceptable and will be returned to the applicant.

| If not for degree purposes, state other (example, self-initiated research):  |  |                  | ***                |
|--|--|------------------|--------------------|
| Has this study been, or is it likely to be, submitted to any other Research Ethics Committee?  | S  | No               | N/A                |
| If yes, please name the Committee/s and or institution and give outcome - i.e. appr (If approved, attach approval letter)                          | oved/reje  | cted/pendin      | g/not applicable?  |
| Please state number of Co-investigators in project: <sup>2</sup> (if additional space is required for more investigators details please add to the | e end of   | application      |                    |
| CO-INVESTIGATOR/S ROLE IN PROJECT  |  |                  | reporting purposes |
| Name:  | , 0, 0,  | LETT OLULIOLICUT | reporting purposes |
| Faculty:   | ×  |                  |                    |
| Department:  |  |                  |                    |
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| *Race:   |  | To yet the same  |                    |
| Role:  | William  |                  |                    |
| Signature of Co-Investigator:  |  |                  |                    |
| Name:  | II and the contract of the con |                  |                    |
| Faculty:   |  |                  | -                  |
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| *Gender:   |  |                  |                    |
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| Name:  | 18.  |                  |                    |
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| *Race:   |  |                  |                    |
| Role:  |  |                  |                    |
| Signature of Co-Investigator:  |  |                  |                    |
|  |  |                  |                    |
| Has the Principal Investigator or any of the co-investigators been previously/or are   | Yes  |                  | \                  |
| presently being investigated for alleged research misconduct?  | 169  |                  | No                 |
| (If yes, please provide details and dates)   |  |                  |                    |
| FUNDING OF THE RESEARCH:   |  |                  |                    |
| Has funding been secured?  | V <sub>2</sub> -   |                  |                    |
|  | Yes  |                  | No                 |
|  |  |                  |                    |

<sup>&</sup>lt;sup>2</sup> Please note that because of conflict of roles and interests that can arise, academic supervisors and co-investigators should be separate individuals.

| Amount: R   |   |  |  |                      |   |                         |  |
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| Name of funder: (i  | full details)   |  |  |                      | 1000 1000 1000 1000 1000 1000 1000 100            |                         |  |
| •   | •   |  |  |                      |   |                         |  |
| Is this project fund  | ded from a US DHHS  | funding source?  | ,  | Yes                  | No  |                         |  |
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| Can this project project progression (give a brief explanation)   | roceed without funding  | g?   |  | Yes                  | S No  |                         |  |
| Has an application  | Has an application for funds been made to other sources to support this project?  |  |  |                      |   |                         |  |
| If yes, state name  | s of funding agency a   | and amount requested:  |  |                      |   |                         |  |
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| If Yes, is the study  | covered by your Cen   | tre/Unit's annual levy fee to B  | REC?   | Yes                  | No  |                         |  |
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| NATURE OF STU   | DY  | SECTION B:   |  |                      |   |                         |  |
| Quantitative  |   |  |  |                      |   |                         |  |
| Type of Study: (please tick)  | Epidemiological   | Observational clinical study   | Experimenta  | I                    | Observat  | ional                   |  |
| u   | Retrospective<br>Chart Review   | Prospective<br>Chart Review  | Laboratory<br>study on store<br>samples                                    |                      | udit Otl  | ner:(Specify)           |  |
| Qualitative   |   |  |  |                      |   |                         |  |
|   |   |  |  |                      |   |                         |  |

#### THE PROTOCOL FOR STUDY

1.1 Full title of research project: (Please DO NOT use abbreviations or acronyms)

Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector.

1.2 Where will the Research be carried out? (Hospital, clinic etc.). IALCH Eye Clinic

1.3 Aims (what you hope to achieve) and objectives (how you will achieve your aims) of study:

#### Aim of study

To obtain the clinical outcomes of patients using intravitreal Bevacizumab with a treat-and-extend regimen for neovascular age-related macular degeneration (AMD) and determine if this regime will benefit resource limited sectors in managing these patients.

#### Specific objectives

With a monthly regimen of intravitreal Bevacizumab for treatment of patients with neovascular agerelated macular degeneration (AMD), the patient burden, especially in the resource limited public setting can be overwhelming to the health system. By using a treat-and-extend regimen we want to achieve the same clinical outcomes for the patients but reduce the number of patient visits to the clinic and reduce the number of intravitreal Bevacizumab injections.

## Main objectives

Mean change from baseline visual acuity.

## Other objectives

- Mean change from baseline central macular thickness.
- Mean number of intravitreal Bevacizumab injections.
- Mean maximum period of extension between intravitreal Bevacizumab injections.
- 1.4 Hypothesis to be tested, or Research Question to be answered:

A treat-and-extend regime has been shown in other clinical trials to be as effective as the gold standard of monthly intravitreal injection of Bevacizumab and may be superior to p.r.n. regime. Treat-and-extend reduces the number of office visits as well as the number of intravireal injections of Bevacizumab and it is important to investigate the visual outcomes and economic impact of this treatment strategy for neovascular AMD in our resource limited setting.

1.5 Summary of the proposed research (restrict to 100 words)

## Specific objectives

With a monthly regimen of intravitreal Bevacizumab for treatment of patients with neovascular agerelated macular degeneration (AMD), the patient burden, especially in the resource limited public setting can be overwhelming to the health system. By using a treat-and-extend regimen we want to achieve the same clinical outcomes for the patients but reduce the number of patient visits to the clinic and reduce the number of intravitreal Bevacizumab injections.

1.6 Keywords (for database):

Bevacizumab, Treat-and-extend, Neovascular age related macular degeneration, visual acuity, central macular thickness.

1.7 Background and Literature Review (maximum 1 page):

#### Introduction

Age-related macular degeneration (AMD) also known as age-related maculopathy (ARM) is the leading cause of visual loss in people over 50 years of age in developed countries [1]. AMD is a degenerative disorder affecting the macula and is characterized by the presence of specific clinical findings.

Conventionally AMD is divided into two main types, dry (non-exudative) AMD and wet (exudative/neovascular) AMD. [2] Dry AMD is the most common form with geographic atrophy (GA) a sign of advanced stage of disease. Neovascuar AMD is associated with more rapid progression to advanced sight loss. The main manifestations are choroidal neovascularization (CNV). Untreated CNV forms scar tissue that eventually replaces normal retina tissue, causing irreversible visual loss.

#### Treatment

The advent of pharmacologic agents that specifically target angiogenesis, such as anti-VEGF, has revolutionized the treatment of neovascular AMD [3]. VEGF increases the growth of abnormal blood vessels and augments vascular permeability. VEGF is up-regulated by hypoxia, and its levels are increased in both the vitreous and retina of patients with retinal vascular disease.

Ranibizumab (Lucentis) is a recombinant anti-VEGF monoclonal antibody fragment that is capable of inhibiting all active forms of VEGF in the eye. Two major studies have examined the effects of ranibizumab in eyes with neovascular AMD. The phase III Minimally Classic/Occult Trial of the Anti-VEGF Antibody Ranibizumab in the Treatment of Neovascular Age-Related Macular Degeneration (MARINA)Study [4] and The Anti-VEGF Antibody for the Treatment of Predominantly. Classic Choroidal Neovascularization in Age-Related Macular Degeneration (ANCHOR) trial [4] was a 2-year, multicenter, double blind, randomized control trial. MARINA and ANCHOR trials demonstrated that visual acuity outcomes in treating neovascular AMD with fixed monthly intravitreal ranibizumab was far superior to any other treatment to date. [4]

The PRONTO study was the first prospective trial evaluating the use of ranibizumab in a pro re nata (prn) approach to neovascular AMD. The visual outcomes where similar to the to the phase III trials using less than half the injections over the first year. [4] CATT also showed at 1 year that ranimizumab given as needed was not inferior to ranimizumab given monthly. [4]

Bevacizumab (Avastin), is an anti-VEGF monoclonal complete antibody and is very much cheaper than ranibizumab. It is FDA-approved for treatment of metastatic colon cancer. The agent has been used off-label as a treatment option for neovascular AMD having been shown to be relatively safe and effective in several retrospective and prospective studies. [1] The Comparison of AMD Treatment Trials (CATT), a randomized, prospective, head-to-head, non-inferiority trial comparing ranibizumab to bevacizumab has shown that both anti-VEGF agents have equivalent effects on visual acuity when administered according to the same regime. [3]

Treat-and-extend is an alternative type of prn regime that entails administering three initial injections at monthly intervals and then continue monthly injections until the retina is dry. Gradually increasing the period between injections by 2 weeks and continue injections at every visit regardless if retina dry. Continue to extend interval, 12 weeks usually the maximum interval. Able to cut down office visits from 25% to 50% compared to monthly regimen. In a study by Oubraham et al [5], Treat and extend regime had better gain in letters compared to PRN regimen with a few more injections of 8 vs 5 in the PRN group. Two studies by Gupta et al and Shienbaum et al [3 and 4] employed a treat and extend regime of ranibizumab and bevacizumab respectively for treatment of neovascular AMD with favourable visual acuity results with significantly fewer visits and intravitreal injections compared with treatment in a fixed monthly fashion. While clinical trials are ongoing with novel therapies to decrease the number of office visits and intravitreal injections a treat and extend regime has been shown in other clinical trials to be as effective as the gold standard of monthly intravitreal injection and may be superior to prn regime. Treat and extend reduces the number of office visits as well as the number of intravireal injections and bevacizumab off label is far cheaper than ranibizumab and it is essential to investigate the visual outcomes and economic impact of this treatment strategy for neovascular AMD in our resource limited setting. [3]

#### 1.8 Key References:

(Give approximately 5 key references)

- 1- Do D, Fallano K, Adyanthaya R et al. Neovascular Age-Related Macular Degeneration. Focal Points. 2010; Module 12: 1-14.
- 2- Kanski J, Bowling B. Clinical Ophthalmology: A Systemic Approach. 2011; 7th Edition:611-627.
- 3- Shienbaum G, Gupta O, Fecarotta C et al. Bevacizumab for Neovascular Age Related Macular Degeneration Using a Treat and Extend Regimen: Clinical and economic Impact. Ophthalmology 2012; 153:468-473.
- 4- Gupta O, Shienbaum G, Patel A et al. A Treat and Extend Regimen using Ranibizumab for Neovascular Age-Related Macular Degeneration. Ophthalmology. 2010; 117:2134-2140.
- 5- Zarbin M, Lim J. Macular Degeneration/Macular Oedema. Audio Digest Ophthalmology. 2014; 52(08):1-4.

#### 2. PLAN OF INVESTIGATION FOR STUDY

\* In the case of Higher Degrees, please state name and School of person consulted regarding the design:

| 2.1 | Is this a retrospective chart review with no human contact? | Yes | No |
|-----|---|-----|----|
| 2.2 | Is this a study of stored tissue?                           | Yes | No |
| 2.3 | Are host genetic factors being studied?                     | Yes | No |

2.4 How many hours per week will the PI devote to this project? **5 Hours per week** (Timetable the project in terms of the resources and time available)

#### 3. STATISTICAL PLANNING AND DATA ANALYSIS

- 3.1 Has this project been approved by a professional statistician?

  If No, please justify.

  Yes

  No
- 3.2 If answered "yes" to (3.1), provide the name of the statistician: Meeting arranged with

## Prof Benn Sartorius, Department Public Health, UKZN

Please provide a brief overview of statistical and data analytic considerations, including:

How was the number of participants determined? Please include assumptions made in any power analysis (e.g. control incidence or mean and standard deviation of primary outcome variable, desired or anticipated effect of treatment or intervention, level of statistical significance and desired power), and list all planned statistical methods to be used. For descriptive studies list statistical operations to be performed.

#### Sample size

At present around 50 patients will have completed a 2 year follow-up at the end of the study period.

A priori power estimate: Based on a paired t-test assuming a pre-post-test for change in the mean score, a sample size of 50 subjects, assuming a two-tailed alpha level of 0.05 and power of 80% (β = 0.2) will be able to detect a moderate effect size or a change in visual acuity score /corneal thickness of 0.3 standard deviation units. A Cohen's effect size (or d) [Cohen, 1998] of ≤0.5 is generally considered a moderate effect size.

Cohen, J. (1988). Statistical power analysis for the behavioral sciences (2nd ed.). Hillsdale, NJ: Lawrence Earlbaum Associates.

## Data analysis plan

Data will be analysed using Stata 13.0. Continuous variables will be summarized using mean, standard deviation and range (minimum-maximum). If data are skewed then medians and interquartile ranges will be presented. Box plots will also be employed to graphically summarize continuous variables. Categorical data will be represented using frequency tables. Visual acuity will be converted to a logarithm of the minimal angle of resolution score for statistical analysis. A paired T-tests will be used to identify significant change in visual acuity score and central macular thickness based on various pairwise comparisons follow up times points compared to baseline. If these variables are not normal then the non-parametric equivalent of the paired t-test, namely the Wilcoxon test for paired samples, will be used instead. Repeated measures ANOVA may also be employed if significant differences in visual acuity score and central macular thickness comparing baseline to multiple follow up time points. If this data are again not normal then the non-parametric equivalent of the Repeated measures ANOVA, namely the Friedman test, will be employed instead. Correlation between time between injections and impact on visual acuity and central macular thickness change will be assessed using correlation coefficient for paired samples. A p-value of less than 0.05 will be deemed statistically significant in the inferential analyses. Survival analysis will also be employed, namely Kaplan Meier curves, to show the rate of improvement (based on a dichotomous classification of visual acuity from baseline to normal) over the 2-year period.

3.4 For qualitative studies: What is the framework/approach to be used for analysis of the data?

| 4.        | PARTICIPANTS IN THE S  | TUDY                   |                         |                    |         |                   |          |                 |
|-----------|--|------------------------|-------------------------|--------------------|---------|-------------------|----------|-----------------|
| 4.1       | Is this a multi-national study (If yes, state collaborating countrie |                        |                         | Yes                |         |                   | No       |                 |
| 4.2       | List all sites in South Africa type of place (e.g. hospital,         | in which the pr        | roject will be ca       | rried i.e. geo     | graphic | location (        | e.g. Kwa | Zulu-Natal) and |
| Kw        | aZulu Natal, Inkosi Alb  | ert Luthuli            | Academic H              | łospital, O        | phtha   | lmology           | Clinic   |                 |
| 4.3       | Source:<br>Please indicate number per group)                         | Inpat                  | ients                   | Outp               | atients | 5                 | \        | /olunteers      |
|           |  |                        |                         | +/                 | - 50    |                   |          |                 |
| 1.1       | A (1   |                        |                         |                    |         |                   |          |                 |
| 4.4<br>(F | Age (human studies) Please indicate number per group)                | Neonates<br>(<28 days) | Infants<br>(1-11 month) | Childr<br>(1-12 ye |         | Adoles<br>(13-17) |          | Adults          |
|           |  |                        |                         |                    |         |                   |          | All             |
| 4.5       | Is there a control group(s)?   |                        |                         | Yes                |         | No                |          |                 |
| 4.6       | Demographic profile of   | participants (plea     | ase tick ALL approp     | oriate boxes belo  | ow.)    |                   |          |                 |
| 4.6.      |  | nale                   | Male                    |                    |         |                   |          |                 |
| 4.6.2     | Population Group: Blac   | ck V                   | Coloured                | India              | n v     | Whit              | te       |                 |

|  | tion bet | ween ma     | le and  |      |
|--|----------|-------------|---------|------|
| 4.7 Describe the recruitment process in detail for all groups.   |          |             |         |      |
| All patients that present to IALCH with neovascular AMD in a fixed with suspected neovascular AMD have a fundus fluorescein angion   | d time p | eriod. All  | patient | S    |
| 4.8 Will incentives be offered to facilitate recruitment?  (If yes, describe in detail)  | Yes      | No          | N/A     | 515. |
| 4.9 Will participants be reimbursed in some way for participation? (If yes, describe in detail) See SA DoH Guidelines on BREC Website  | Yes      | No          | N/A     |      |
| <ul> <li>4.10 Will reimbursement for participants and investigators be in accordance with: (If no, please explain)</li> <li>Guidelines for Good Practice in the Conduct of Clinical Trials in Human Participants in South Africa: Department of Health (2006) and;</li> <li>Ethics in Health Research: Principles, Structures and Processes: (2004)?</li> <li>Current SA DoH Guidance on reimbursement (See BREC website)</li> </ul> | Yes      | No          | N/A     |      |
| 4.11 Will participants be insured against research related injury?  (If yes, please provide details; If no, please provide rationale)  Mandatory for Clinical Trials   | Yes      | No          | N/A     |      |
| Inclusion criteria include: Treatment naïve subfoveal choroidal neove to neovascular AMD that where treated with a treat-and-extend regime bevacizumab and followed-up for a minimum of 6 months.  Exclusion criteria include: Any previous treatment for neovascular A vitrectomy surgery.  5. POTENTIAL RISKS OR DISCOMFORT  | using ir | ntravitreal | 8 8     |      |
| 5.1 Can the project have any potential risks or discomfort on participants,  | Yes      | No          | )       |      |
| members of the public, researchers, field staff or the physical environment?   |          |             | F I     |      |

Language Group/s: Specify...English, Afrikaanse, Zulu.....

4.6.3

#### 6. INFORMED CONSENT: GIVEN TO PARTICIPANTS

See SAMPLE INFORMATION SHEET AND CONSENT FORM ON UKZN BREC WEBSITE at http://research.ukzn.ac.za/Libraries/Notices2011/BREC\_Informed\_consent\_form\_sflb.sflb.ashx

Other consent forms are acceptable provided that they contain at least the essential elements outlined in the current UKZN BREC Terms of Reference (ToR) and Standard Operating Procedures (SoP) available at <a href="http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx">http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx</a>

If necessary, information sheets and consent forms, after ethics approval of the English version, must be translated into appropriate local languages and submitted to BREC for further approval prior to implementation, with a copy of the translator's certificate, and back translations if applicable.

The correct and complete contact details for the UKZN Biomedical Research Ethics Committee should be in the information sheets and consent forms as follows:

BIOMEDICAL RESEARCH ETHICS ADMINISTRATION Research Office, Westville Campus Govan Mbeki Building University of KwaZulu-Natal Private Bag X 54001, Durban, 4000 KwaZulu-Natal, SOUTH AFRICA Tel: 27 31 2602486 - Fax: 27 31 2604609

Email: BREC@ukzn.ac.za

| 7. DECLARATION OF PRINCIPAL INVESTIGATOR   |
|--|
| Conflict of Interest:  |
| I declare that all potential conflicts of interest regarding my application for ethics approval to conduct this study have been declared in accordance with UKZN and BREC Terms of Reference and Standard Operating Procedures.  |
| Undertaking:   |
| I understand and accept that I will be required to submit a yearly recertification application, failing which authorisation to continue the study lapses.  I undertake to request permission for any changes/amendments to the study from BREC in advance of implementing any such changes, unless they are emergencies required to prevent harm or save life. In such cases BREC must be notified urgently.   |
| I agree to provide monitoring data if and when required.   |
| I expect the project to be completed by DATE08/12/2015   |
| I agree to abide by the guidance contained in the SA Department of Health (2004) Ethics in Health Research: Principles, structures and processes and the (2006) South African Good Clinical Practice Guidelines and the current UKZN Biomedical Research Ethics Committee Terms of Reference and Standard Operating Procedures. These are available at <a href="http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx">http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx</a> |
| I understand and accept that all information pertaining to this application is a true reflection of the project proposed and I take full responsibility should there be any transgression.   |
| FULL NAME OF PRINCIPAL INVESTIGATOR FTTE WINE P. LE DOUL   |
| FULL NAME OF PRINCIPAL INVESTIGATOR ETTE WALF P. LE MULL   |
| DATE 05/4/2014   |
| 8. DECLARATION AND APPROVAL FROM SUPERVISOR AND CO-SUPERVISOR (if applicable) (I HAVE READ AND CHECKED THE PROPOSAL AND IT IS READY FOR SUBMISSION;  |
| Remarks:   |
| SIGNATURE OF SUPERVISOR  |
| FULL NAME OF SUPERVISORDr. C. 149115E  |
| DATE 05/11/2014  |
| DATE   |
| SIGNATURE OF CO-SUPERVISOR   |
| FULL NAME OF CO-SUPERVISOR   |
| DATE   |
| If applicable, attach a signed copy of the Supervision Agreement between the student, supervisor and any co-<br>supervisor.  |
|  |

| 9. DECLARATION AND APPROVAL OF LINE MANAGER (Must include verification of interdepartmental agreements and co-operation) |
|--|
| Remarks:   |
| FULL NAME OF LINE MANAGER VISSER (Ho D)  |
| DATE 12/11/2014  |
| NB: If applicant is ACADEMIC LEADER/DEAN/HOS, the ACADEMIC LEADER'S/DEAN'S/HOS's Line Manager (DVC) must sign.           |
| SIGNATURE OF ACADEMIC LEADER'S/ HOS'S/DEAN'S Line Manager  |
| FULL NAME OF ACADEMIC LEADER's, HOS's/DEAN's Line Manager  |
| DATE   |
|  |

## SUGGESTED CURRICULUM VITAE FORMAT

## (3 COPIES MAXIMUM 4 PAGES)

CURRICULUM VITAE (of Principal Investigator and all Co-Investigators) (CVs to be completed and signed for each member of the research team)

| Full name:   |
|--|
| Date of birth:   |
| Male/Female:   |
| Telephone (Home):  |
| Telephone (Business):  |
| Cell:  |
| Fax No:  |
| E-mail Address:  |
| Current HPCSA No: (or equivalent statutory health council registration No. as appropriate)                   |
| Present position:  |
| Institution:   |
| Department/Section:  |
| Nationality/Permanent residency:   |
| Previous positions held (last 10 years):   |
| Qualifications:  |
| University where obtained/year:  |
| Area of study:   |
| Number of Postgraduate theses supervised (Masters and Doctoral):   |
| Publication list over the past 3 years:  |
| Details of all other research studies presently being conducted:   |
| Certificate of recent (past 3 years) research ethics and/or GCP training (GCP required for clinical trials): |

# CHECKLIST FOR BIOMEDICAL RESEARCH ETHICS APPLICATIONS NB: DO NOT BIND SUBMISSIONS (STAPLE ONLY)

Applications to be addressed to: The Administrator, Biomedical Research Ethics Committee, Govan Mbeki Building, University Road, Westville Campus, Tel: 031-260 4769 / 2486 Email:

BREC@ukzn.ac.za

## **Note to Students:**

PLEASE NOTE THAT ONLY **ONE** COPY OF APPLICATION AND SUPPORTING DOCUMENTS NEED BE SUBMITTED IF STUDY IS FOR DEGREE PURPOSES. ALL APPLICATIONS FOR DEGREE PURPOSES MUST BE SUBMITTED VIA THE COLLEGE POST-GRADUATE OFFICE WITH AN APPROVAL LETTER ATTACHED.

IF STUDY IS FOR **NON-DEGREE PURPOSES THEN 3 COPIES** MUST BE SUBMITTED TO BREC.

INCOMPLETE SUBMISSIONS MAY RESULT IN DELAYED REVIEW OF THE APPLICATION

## For all expedited review applications:

- 3 TYPEWRITTEN COPIES OF APPLICATION (Back-to-back (double-sided) copies preferred)
- 3 COPIES OF THE PROTOCOL
- 3 COPIES OF CURRENT CV/s (abbreviated max 4 PAGES)
- 3 COPIES OF EVIDENCE OF CURRENT GCP / RESEARCH ETHICS TRAINING
- 3 COPIES OF ALL QUESTIONNAIRES TO BE USED IN THE STUDY
- 3 COPIES OF THE INFORMED CONSENT FORMS (See BREC templates)
- 3 COPIES OF THE PATIENT INFORMATION LEAFLET (See BREC templates)
- HAVE YOU FAMILIARISED YOURSELF WITH THE BREC TERMS OF REFERENCE? (See http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx)
- DETAILS OF ALL FUNDING SUPPORT?
- ALL PERSONAL INFORMATION?
- ANSWERED ALL QUESTIONS?
- GIVEN DETAILS OF ALL RESEARCH PRESENTLY BEING UNDERTAKEN?
- DELETED UNNECESSARY BLANK SPACES IN THE DOCUMENT?
- IS DECLARATION PAGE SIGNED BY PI/SUPERVISOR AND ACADEMIC LEADER/HOS/DEAN/LINE MANAGER?

# **Protocol**

Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector.

DR EP LE ROUX (MBChB)

Ophthalmology Registrar

University of KwaZulu-Natal

## Title of study

Bevacizumab in a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited sector.

## Aim of study

To obtain the clinical outcomes of patients using intravitreal Bevacizumab with a treat-and-extend regimen for neovascular age-related macular degeneration (AMD) and determine if this regime will benefit resource limited sectors in managing these patients.

## **Specific objectives**

With a monthly regimen of intravitreal Bevacizumab for treatment of patients with neovascular agerelated macular degeneration (AMD), the patient burden, especially in the resource limited public setting can be overwhelming to the health system. By using a treat-and-extend regimen we want to achieve the same clinical outcomes for the patients but reduce the number of patient visits to the clinic and reduce the number of intravitreal Bevacizumab injections.

#### Main objectives

Mean change from baseline visual acuity.

#### Other objectives

- Mean change from baseline central macular thickness.
- Mean number of intravitreal Bevacizumab injections.
- Mean maximum period of extension between intravitreal Bevacizumab injections.

## **Background and Literature**

#### Introduction

Age-related macular degeneration (AMD) also known as age-related maculopathy (ARM) is the leading cause of visual loss in people over 50 years of age in developed countries [1]. AMD is a degenerative disorder affecting the macula and is characterized by the presence of specific clinical findings.

Conventionally AMD is divided into two main types, dry (non-exudative) AMD and wet (exudative/neovascular) AMD. [2] Dry AMD is the most common form with geographic atrophy (GA) a sign of advanced stage of disease. Neovascuar AMD is associated with more rapid progression to advanced sight loss. The main manifestations are choroidal neovascularization (CNV). Untreated CNV forms scar tissue that eventually replaces normal retina tissue, causing irreversible visual loss.

The aetiology of macular degeneration is not fully understood. Genetic risk factors and environmental risk factors, such as smoking, may contribute to the development and progression of AMD. Smoking roughly doubles the risk of AMD.[1] Age is another major risk factor. Late ARM is more common in Caucasians than other races, despite a similar prevalence of early ARM.

Hypertension, high fat intake and obesity, cataract surgery, blue iris colour, high sunlight exposure, and female gender are suspected. [2]

Micronutrient supplementation is recommended in eyes with intermediate or advanced AMD. When individuals progress to advanced AMD with CNV under the fovea, treatment with intravitreal vascular endothelial growth factor (VEGF) inhibitors is the current standard of care. [1]

#### Treatment

The first effective treatment of CNV was thermal laser photocoagulation. In 1982 the Macular Photocoagulation Study Group [1] reported that patients receiving argon laser treatment to extrafoveal lesions had a decreased rate of visual decline compared to untreated control group. Evidence that laser photocoagulation was better than observation alone for juxtafoveal and subfoveal CNV was also observed. This treatment however caused irreversible damage to the overlying neurosensory retina, as well as a recurrence rate of more than 50% of CNV.

Photodynamic therapy (PDT) in the late 1990s offered an alternative to thermal laser photocoagulation. PDT selectively targets CNV without damaging the overlying retinal tissue. Verteporfin, an intravenously injected photosensitizing dye, preferentially accumulates within the neovascular tissue. Non-thermal laser light activation then follows, leading to free radical formation, endothelial damage, and thrombosis of the choroidal vessels. The Therapy of Age-Related Macular Degeneration With Photodynamic Therapy (TAP) Study Group [1] conducted a randomized trial comparing PDT with placebo and analyzed visual acuity loss between the 2 groups. At 2 years of follow-up after randomization, 53% of PDT patients lost 15 or fewer letters of visual acuity, compared to 38% of placebo patients which was statistically significant. PDT was however less effective in some CNV types. PDT is less destructive method of treatment compared to thermal photocoagulation but was not beneficial in all CNV types and was not associated with visual acuity gain.

The advent of pharmacologic agents that specifically target angiogenesis, such as anti-VEGF, has revolutionized the treatment of neovascular AMD.[3] VEGF increases the growth of abnormal blood vessels and augments vascular permeability. VEGF is up-regulated by hypoxia, and its levels are increased in both the vitreous and retina of patients with retinal vascular disease.

Pegaptanib sodium (Macugen) was the first drug directed against VEGF. Pegaptanib is an aptamer, a small molecule of RNA attached to polyethylene glycol that directly binds to  $VEGF_{165}$  and prevents it from activating DNA transcription. Pegaptanib is administered by intravitreal injection every six weeks. While the initial results in the **VISION trials** [1] were promising, the majority of patients in the pegaptanib clinical trials still lost vision, and the treatment is considered one that slows the disease rather than one that improves visual acuity.

Ranibizumab (Lucentis) is a recombinant anti-VEGF monoclonal antibody fragment that is capable of inhibiting all active forms of VEGF in the eye. Two major studies have examined the effects of ranibizumab in eyes with neovascular AMD. The phase III Minimally Classic/Occult Trial of the Anti-VEGF Antibody Ranibizumab in the Treatment of Neovascular Age-Related Macular Degeneration (MARINA)Study [4] and The Anti-VEGF Antibody for the Treatment of Predominantly. Classic Choroidal Neovascularization in Age-Related Macular Degeneration (ANCHOR) trial [4] was a 2-year,

multicenter, double blind, randomized control trial. MARINA and ANCHOR trials demonstrated that visual acuity outcomes in treating neovascular AMD with fixed monthly intravitreal ranibizumab was far superior to any other treatment to date. [4]

Alternative fewer fixed scheduled injections have not resulted in visual outcomes that were as favorable. PIER trial [5] showed quarterly dosing of Ranibizumab after 3 monthly doses inferior to monthly dosing. EXCITE trial [5] also showed monthly fixed injection to be superior to three monthly injections and then quarterly injections. HORIZON trial [5] showed visual acuity decreased when patients from the MARINA and ANCHOR trial switched to prn dosing followed 6 monthly then changed to 3 monthly follow-up. SAILOR trial [5] also showed monthly dosing to be superior.

The PRONTO study was the first prospective trial evaluating the use of ranibizumab in a pro re nata (prn) approach to neovascular AMD. The visual outcomes where similar to the to the phase III trials using less than half the injections over the first year. [4] CATT also showed at 1 year that ranimizumab given as needed was not inferior to ranimizumab given monthly. [4]

Bevacizumab (Avastin), is an anti-VEGF monoclonal complete antibody and is very much cheaper than ranibizumab. It is FDA-approved for treatment of metastatic colon cancer. The agent has been used off-label as a treatment option for neovascular AMD having been shown to be relatively safe and effective in several retrospective and prospective studies. [1] The Comparison of AMD Treatment Trials (CATT), a randomized, prospective, head-to-head, non-inferiority trial comparing ranibizumab to bevacizumab has shown that both anti-VEGF agents have equivalent effects on visual acuity when administered according to the same regime. [3]

Aflibercept (Eylea) or VEGF Trap-Eye binds all forms of VEGF-A to D as well as Placental Growth Factor. **VIEW 1 and 2 trials** [5] showed all doses of aflibercept dosing of 2mg q 4wk/ 0.5mg q 4wk/ 2mg q 8wk non-inferior to ranibizumab 0.5mg q 4wk.

#### Treatment options of bevacizumab:

- **Fixed monthly injection** is the regimen adopted in initial major trials. Overall, around 95% of patients maintain vision regardless of lesion type, and 35–40% significantly improved, most markedly during the first 3 months.
- Pro re nata (prn) regime with three initial monthly injections followed by monthly review with re-injection when deterioration occurs as assessed by VA and OCT. Very important is that patients must continue being followed-up monthly as not to miss recurrences. Even with monthly follow-up patients treated prn may have multiple recurrences and may lose vision over time.
- Treat-and-extend is an alternative type of prn regime that entails administering three initial injections at monthly intervals and then continue monthly injections until the retina is dry. Gradually increasing the period between injections by 2 weeks and continue injections at every visit regardless if retina dry. Continue to extend interval, 12 weeks usually the maximum interval. Able to cut down office visits from 25% to 50% compared to monthly regimen. In a study by Oubraham et al [5], Treat and extend regime had better gain in letters compared to PRN regimen with a few more injections of 8 vs 5 in the PRN group. Two studies by Gupta et al and Shienbaum et al [3 and 4] employed a treat and extend regime of ranibizumab and bevacizumab respectively for treatment of neovascular AMD

with favourable visual acuity results with significantly fewer visits and intravitreal injections compared with treatment in a fixed monthly fashion.

While clinical trials are ongoing with novel therapies to decrease the number of office visits and intravitreal injections a treat and extend regime has been shown in other clinical trials to be as effective as the gold standard of monthly intravitreal injection and may be superior to prn regime. Treat and extend reduces the number of office visits as well as the number of intravireal injections and bevacizumab off label is far cheaper than ranibizumab and is essential to investigate the visual outcomes and economic impact of this treatment strategy for neovascular AMD in our resource limited setting. [3]

## **Key References**

- 1- Do D, Fallano K, Adyanthaya R et al. Neovascular Age-Related Macular Degeneration.
   Focal Points. 2010; Module 12: 1-14.
- 2- Kanski J, Bowling B. Clinical Ophthalmology: A Systemic Approach. 2011; 7th Edition:611-
- 3- Shienbaum G, Gupta O, Fecarotta C et al. Bevacizumab for Neovascular Age Related Macular Degeneration Using a Treat and Extend Regimen: Clinical and economic Impact.
   Ophthalmology 2012; 153:468-473.
- 4- Gupta O, Shienbaum G, Patel A et al. A Treat and Extend Regimen using Ranibizumab for Neovascular Age-Related Macular Degeneration. Ophthalmology. 2010; 117:2134-2140.
- 5- Zarbin M, Lim J. Macular Degeneration/Macular Oedema. Audio Digest Ophthalmology. 2014; 52(08):1-4.

## Study design

A retrospective chart review.

A retrospective study uses existing data that have been recorded for reasons other than research.

## Study population

All patients with neovascular age related macular degeneration (AMD) that present at Inkosi Albert Luthuli Central Hospital (IALCH) in a fixed time period. AMD is more common in the elderly Caucasian population.

## Sampling strategy

All patients that present to IALCH with neovascular AMD in a fixed time period. All patients with suspected neovascular AMD have a fundus fluorescein angiogram to confirm diagnosis.

## Sample size

At present around 50 patients will have completed a 2 year follow-up at the end of the study period.

A priori power estimate:

Based on a paired t-test assuming a pre-post-test for change in the mean score, a sample size of 50 subjects, assuming a two-tailed alpha level of 0.05 and power of 80% ( $\beta$  = 0.2) will be able to detect a moderate effect size or a change in visual acuity score /corneal thickness of 0.3 standard deviation units. A Cohen's effect size (or d) [Cohen, 1998] of  $\leq$ 0.5 is generally considered a moderate effect size.

Cohen, J. (1988). Statistical power analysis for the behavioral sciences (2nd ed.). Hillsdale, NJ: Lawrence Earlbaum Associates.

## Inclusion / exclusion criteria

Included are all patients that presented to IALCH with neovascular AMD in a fixed time period and complete a fixed follow-up in that period.

Inclusion criteria include: Treatment naïve subfoveal choroidal neovascular membrane (CNV) due to neovascular AMD that where treated with a treat-and-extend regime using intravitreal bevacizumab and followed-up for a minimum of 6 months.

Exclusion criteria include: Any previous treatment for neovascular AMD or any prior vitrectomy surgery.

## Data collection methods and tools

All patients with the diagnosis of neovascular AMD in a fixed time period will have their files analysed. All patient records are already in electronic format at IALCH. I will review the relevant patient charts and enter them onto an Excel spreadsheet. To check data for accuracy I will perform random checks on data entered. All patient records are stored at IALCH in electronic format and will be easy to trace data back to the original patient charts.

The following data will be collected: sex, age, date of neovascular AMD diagnosis, best corrected visual acuity at time of diagnosis and at every visit, analysis of pre-treatment fluorescein angiogram to establish CNV lesion size, OCT analysis and evaluation of central retinal thickness, intraretinal fluid and subretinal fluid at time of diagnosis and at every visit, number of intravitreal Bevacizumab injections over a fixed time period and mean maximum period between injections.

## Data analysis plan

Data will be analysed using Stata 13.0. Continuous variables will be summarized using mean, standard deviation and range (minimum–maximum). If data are skewed then medians and interquartile ranges will be presented. Box plots will also be employed to graphically summarize continuous variables. Categorical data will be represented using frequency tables. Visual acuity will be converted to a logarithm of the minimal angle of resolution score for statistical analysis. A paired

T-tests will be used to identify significant change in visual acuity score and central macular thickness based on various pairwise comparisons follow up times points compared to baseline. If these variables are not normal then the non-parametric equivalent of the paired t-test, namely the Wilcoxon test for paired samples, will be used instead. Repeated measures ANOVA may also be employed if significant differences in visual acuity score and central macular thickness comparing baseline to multiple follow up time points. If this data are again not normal then the non-parametric equivalent of the Repeated measures ANOVA, namely the Friedman test, will be employed instead. Correlation between time between injections and impact on visual acuity and central macular thickness change will be assessed using correlation coefficient for paired samples. A p-value of less than 0.05 will be deemed statistically significant in the inferential analyses. Survival analysis will also be employed, namely Kaplan Meier curves, to show the rate of improvement (based on a dichotomous classification of visual acuity from baseline to normal) over the 2-year period.

## **Study location**

**IALCH Eye Clinic** 

## Study period

From the initiation of the treat and extend regime at IALCH eye clinic until final data collection is completed in 2015.

## Limitations to the study

- Retrospective chart review
- Lack of control group
- Use of Snellen chart and not EDTRS chart for visual acuity
- Relative small sample size

## **Ethical considerations**

No patient names or identifying details will be used in the data collection or publication of the information.

DR EP LE ROUX (MBChB)

Ophthalmology Registrar

University of KwaZulu-Natal

## **CURRICULUM VITAE - Supervisor**

## CURRICULUM VITAE (of Principal Investigator and all Co-Investigators) (CVs to be completed and signed for each member of the research team)

Full name: Dr Carl-Heinz Kruse

Date of birth: 1975-06-29

Male/Female: Male

Telephone (Home): 031 811 8130

Telephone (Business): 033 897 3345

Cell: 084 011 0767

Fax No: 033 897 3111

E-mail Address: ruraleye@gmail.com

Current HPCSA No: MP 0532851

Present position: Head of Clinical Unit

Institution: Grey's Hospital

Department/Section: Ophthalmology

Nationality and Permanent residency: RSA

Previous positions held (last 10 years):

Principal Specialist: Ngwelezana Hospital (2008 - 2010)

Registrar: St Aidan's Hospital (2004 - 2007)

MO: Ermelo Hospital, Edendale Hospital (2002 - 2004)

**Oualifications:** 

MBChB: University of Pretoria 2000

MMed(Ophth): UKZN 2008

FCOphth: CMSA 2007

Area of study: Ophthalmology

Number of Postgraduate theses supervised (Masters): 4

Publication list over the past 3 years:

• Kruse C. What is the ideal treatment of diabetic macular oedema? S Afr J Diabetes Vasc Dis 2013;10:120-121

 Kruse C. The effects of systemic medication on diabetic retinopathy. S Afr J Diabetes Vasc Dis 2014;11:113-114

Details of all other research studies presently being conducted: -

| <b>CURRICULUM VITAE</b> |
|-------------------------|
| ETIENNE PHILIP LE ROUX  |

A STATE OF THE STA

| ETIENNE PHILIP LE ROUX       |   |  |
|------------------------------|---|--|
| RESIDENTIAL ADDRESS          | 79 William Campbell Street                              |  |
|                              | La Lucia,   |  |
|                              | Durban 4051   |  |
| TELEPHONE NUMBERS            | South Africa Cell: +27 (0) 828550907                    |  |
| E-MAIL ADDRESS               | epleroux@yahoo.com                                      |  |
| 1 DEDGOVAL DATA              |   |  |
| 1. PERSONAL DATA             |   |  |
| SURNAME                      | Le Roux   |  |
| FIRST NAMES                  | Etienne Philip  |  |
| DATE OF BIRTH                | 19 October 1980   |  |
| IDENTITY NUMBER              | 8010195098083   |  |
| PASSPORT NUMBER              | M00019877   |  |
|                              | Republic of South Africa                                |  |
| MARITAL STATUS               | Married   |  |
| DEPENDANTS                   | 2 - Male D.O.B 22/02/2011                               |  |
|                              | Male D.O.B. 27/03/2012                                  |  |
| LANGUAGE PROFICIENCY         | English – Fluent  |  |
|                              | Afrikaans – Fluent                                      |  |
| DRIVERS LICENCE              | Code B & own transport                                  |  |
| HEALTH                       | Excellent (Non-Smoker)                                  |  |
| RELIGION                     | Christian   |  |
| 2 ACADEMIC OUALIEICATIONS    |   |  |
| 2. ACADEMIC QUALIFICATIONS   |   |  |
| EDUCATION                    | 0.1.1   |  |
| PRIMARY SCHOOL ATTENDED      | Nooitgedacht Primary School                             |  |
|                              | Gauteng Province (1987-1993)                            |  |
| SPECIAL ACHIEVEMENTS         | Provincial Cross Country colours                        |  |
|                              | Provincial Judo colours                                 |  |
| HIGH SCHOOL ATTENDED         | Hyde Park High School                                   |  |
|                              | Gauteng Province (1993-1998)                            |  |
| HIGHEST GRADE PASSED         | Grade 12 with full exemption                            |  |
| HIGHER EDUCATION             |   |  |
| UNIVERSITY                   | University of Pretoria                                  |  |
| UNIVERSITI                   | Faculty of Health Sciences                              |  |
| DECDEE                       | MBCHB   |  |
| DEGREE<br>SUBJECTS           | Complied with all the requirements for the degree MBCHB |  |
|                              |   |  |
| COLLEGE OF MEDICINE OF SOUTH |   |  |
| AFRICA                       | TG C L(I (GA) D :                                       |  |
|                              | FC Ophth(SA) Primary 1A – September 2011                |  |
|                              | Diploma in Ophthalmology March 2012                     |  |
|                              | FC Ophth(SA) Intermediate 1(B) - 2013                   |  |
|                              |   |  |
|                              |   |  |
|                              |   |  |

| B. PREVIOUS EMPLOYMENT |   |
|------------------------|---|
| OSITION                | Gauteng Department of Health: Leratong Hospital Period Employed: 01/01/2005-31/12/2005                              |
|                        | Job Description: Intern Medical Officer  Responsibilities:  |
|                        | Internal Medicine - 2 months Obstetrics and Gynecology - 4 months   |
|                        | Pediatrics - 2 months   |
|                        | Surgery - 4 months Anesthesiology - 2 weeks   |
| POSITION               | Mpumalanga Department of Health: Kwamhlanga Hospital  |
|                        | Period Employed: 01/01/2006-31/12/2006  |
|                        | Job Description: Community Service M.O. Responsibilities:   |
|                        | Obstetrics and Gynecology - 2 months  |
|                        | Pediatrics - 2 months   |
|                        | Surgery and Orthopedics - 4 months  Cognetty and Rural Clinics - 4 months   |
|                        | Casualty and Rural Clinics - 4 months   |
| POSITION               | Republic of Ireland:<br>St Lukes Hospital   |
|                        | Pariod Employed: 17/01/2007 - 30/06/2007  |
|                        | Lob Description: Senior House Officer A&E   |
|                        | Responsibilities: Treatment of trauma and surgical related emergencies presenting to the accidents and emergencies  |
|                        | department.   |
|                        |   |
| POSITION               | Gauteng Department of Health:   |
|                        | Tembisa Hospital Period Employed: 23/07/2007-30/11/2007   |
|                        | Leb Description: Senior House Officer Surgery   |
|                        | Responsibilities: Management of surgical patients, daily ward rounds, out patients, casualty, assisting in theater, |
|                        | minor surgical procedures, ICU.   |
| POSITION               | Democratic Republic of the Congo:   |
|                        | Crusader Health DRC - KCC Mine Period Employed: 01/12/2007-30/09/2009   |
|                        | Lab Description: General Practitioner   |
|                        | Pasponsibilities: Out patients mine employees and their   |
|                        | dependants, emergencies and tropical medicine.  Occupational health for a copper mine and process plant             |
|                        | and underground mining operations including   |
|                        | annual medicals, risk based medical surveillance, statistic   |
|                        | reporting and risk assessments.   |
| POSITION               | Zambia:   |
|                        | Crusader Health Zambia – Frontier Mine<br>Period Employed: 01/10/2009-15/10/2010                                    |
|                        | Job Description: Clinic Administrator  Responsibilities: The opening and administration of a new                    |
|                        | 1   |

|                                      | 14 bed mine clinic. Out patients department, child health, anti-natal and maternity, family planning, ARV clinic, maternity, emergency room and occupational health.  |
|--------------------------------------|---|
| POSITION                             | Gauteng Department of Health: Tshwane District Hospital  Period Employed: 15/10/2010-31/05/2011  Job Description: Supernumerary position in Ophthalmology  Responsibilities: Ophthalmology clinic, academic rounds  |
| POSITION                             | Mpumalanga Department of Health: Witbank Hospital  Period Employed: 01/06/2011-30/06/2013  Job Description: M.O. Ophthalmology  Responsibilities: - Ophthalmology Call's - Ward consultations - Academic Rounds at Steve Biko - Theatre                                       |
| CURRENT POSITION                     | KZN Department of Health: King Edward Hospital Period Employed: 01/07/2013-present Job Description: Registrar Ophthalmology Responsibilities: - Ophthalmology clinic - Ophthalmology Call's - Ward consultations - Academic Rounds - Theatre                                  |
| 4. HOBBIES AND LESIURE<br>ACTIVITIES | Long distance running, golf, reading, judo  |
| 5. ADDITIONAL COURSES                | Basic Life Support 2006,2008,2010 Advanced Cardiac Life Support 2006,2008,2010 Advanced Trauma Life Support 2006 Advanced Pediatric Life Support 2008 Basic Surgical Skills 2009 Ethics in Trauma 2010 Micro-Surgical Skills Course 2011 Alcon Introductory Phaco Course 2011 |
| 5. SYMPOSIUMS                        | Jacaranda Symposium 2011<br>SAGS 2012   |
| 6. CATARACT TOURS                    | Moses Kotane Hospital October 2012  |

| BODIES   |   |
|--|---|
| ODIAS  | Health Profession Council of South Africa |
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|  | Medical Protection Society                |
| The state of the s | South African Medical Association         |
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| 7 DEFEDENCES   |   |
| 7. REFERENCES  | 70  |
|  | +27 11 4113500                            |
| Dr Baltazaar   | +2/ 11 4113300                            |
| Consultant General Surgery   | *   |
| Leratong Hospital  |   |
|  | +27 13 9479100                            |
| Prof GA Ogunbanjo  | +2/13 94/9100                             |
| Academic Coordinator Mpumalanga  |   |
| Kwamhlanga Hospital  |   |
|  | 1252 567795000                            |
| Mr F. O'Dywer  | +353 567785000                            |
| Consultant Accidents and Emergencies   |   |
| St Luke's Hospital   |   |
| •  | 05 501250054                              |
| Mike Clifford  | + 27 721359854                            |
| Director Crusader Health   |   |
|  | 27.2225.62270                             |
| Dr Musutwana   | +27 828569270                             |
| Consultant Department Ophthalmology  |   |
| Witbank Hospital   |   |
| TT ALL WALLA CASE PARTY  |   |

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On behalf of the Faculty of Health Sciences

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FIRST NAMES: ETIENNE PHILIP

**REGISTRATION NUMBER:** 

MP 0609587

QUALIFICATIONS:

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20 October 2011

Dr EP le Roux 45 Maldon Road LYNNWOOD GLEN 0081

Dear Doctor

### FC Ophth(SA) Primary 1A

I have pleasure in confirming your success in the recent CMSA examination, and in congratulating you on behalf of the President and the Members of Senate of the CMSA.

Yours faithfully



Prof MM Sathekge HONORARY REGISTRAR

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# South Africa

We declare

# Etienne Philip le Roux

by reason of academic and professional attainments a

Diplomate in Ophthalmology

of the

College of Ophthalmologists of South Africa

President

Aratus

Chairman, Examinations and Credentials Committee

Member of Senate

24 May 2012

Date

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FORENAMES ETIENNE PHILIP

COUNTRY OF BIRTH SOUTH AFRICA DATE OF BIRTH 1980-10-19



2014-11-0

DATE ISSUED 2014-02-28

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# The Guidelines for Authorship for African Vision and Eye Health Journal

#### Submit original work to the *African Vision and Eye Health* ↑

We ask our authors to ensure that they submit original work that:

- have been honestly carried out according to rigorous scientific standards that has not been obtained fraudulently or dishonestly, or fabricated or falsified
- present an accurate account of the research performed and the results obtained and offer an objective discussion of the significance thereof
- present sufficient detail and reference to public sources of information in order to permit peers to repeat the work if needed
- report data accurately and never 'fudged', with any problematic data also treated accordingly
- cite all relevant references; it is the duty of the author to check the references that are cited very carefully to ensure that the details are accurate and in the correct format
- declare any (potential) conflicts of interest
- do not claim originality if others have already reported similar work in part or as a whole
- give credit to the work and findings of others that have led to your findings or influenced them in some way
- identify any hazards inherent in conducting the research
- do not contain plagiarised material or anything that is libellous, defamatory, indecent, obscene or otherwise unlawful and that the work does not infringe on the rights of others
- provide all the statements required by the journal in order to prove that the experimental
  protocols were approved appropriately and that they meet all the guidelines of the agency
  involved, including obtaining informed consent where required if investigations have
  involved animals or human subjects
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- avoid fragmenting research to maximise the number of articles submitted, also known as 'salami publishing'
- have not been submitted to multiple journals or other publication media.

Although an experimental or theoretical study may sometimes justify criticism of the work of another scientist, in no circumstances is personal criticism appropriate. Do not present work, or use language, in a way that detracts from the work or ideas of others.

**Cover page:** The format of the compulsory cover letter forms part of your submission and is located on the first page of your manuscript and should always be presented in English. You should provide all of the following elements:

- **Article title:** Provide a short title of 50 characters or less.
- **Significance of work:** Briefly state the significance of the book being reported on.
- **Full author details:** Title(s), Full name(s), Position(s), Affiliation(s) and contact details (postal address, email, telephone and cellphone number) of each author.
- **Corresponding author:** Identify to whom all correspondence should be addressed to.

- **Authors' contributions:** Briefly summarise the nature of the contribution made by each of the authors listed.
- **Summary:** Lastly, a list containing the number of words, pages, tables, figures and/or other supplementary material should accompany the submission.

**Formatting requirements:** Please use British English, that is, according to the Oxford English Dictionary. Avoid Americanisms (e.g. use 's' and not 'z'). Consult the Oxford English Dictionary when in doubt and remember to set your version of Microsoft Word to UK English.

- Language: Manuscripts must be written in British English.
- **Line numbers:** Insert continuous line numbers.
- Font:
- o **Font type:** Palatino
- o **Symbols font type:** Times New Roman
- o **General font size:** 12pt
- Line spacing: 1.5
- **Headings:** Ensure that formatting for headings is consistent in the manuscript.
- o First headings: normal case, bold and 14pt
- o Second headings: normal case, underlined and 14pt
- o Third headings: normal case, bold and 12pt
- o Fourth headings: normal case, bold, running-in text and separated by a colon.

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- Microsoft Word (.doc): We cannot accept Word 2007 DOCX files. If you have created your manuscript using Word 2007, you must save the document as a Word 2003 file before submission.
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## **Publisher House Style for authors**

Please select the applicable link below:

- Language usage
- Tables, figures and photographs
- Guidelines for Math
- Unicode fonts

Fonts: Please use standard (UNIcode) fonts such as Palatino, Times New Roman, Helvetica and Symbol. Fonts that have not been embedded will usually be replaced by Courier, resulting in character loss or realignment.

Creatives: Please supply images as the size intended for final publication. Resizing of images is time consuming and can result in loss of quality.

### 

#### **General elements**

- **Quotations:** Use single quotation marks for quotations. For quotations within quotations, use double quotation marks. Quotations of more than 30 words are to be indented. Do not use quotation marks for indented quotations unless it is direct speech (e.g. interviewee responses).
- En dashes and hyphens: Use an en dash (i.e. extended hyphen that can be found in the Insert box under Symbols in Microsoft Word) in ranges of numbers and dates. Use hyphens only for words that are hyphenated.
- **Dates:** Format dates as '02 October 2006', except at the beginning of sentences where numerals and dates should either be spelt out or the sentence should be rearranged.
- **Percentage:** The per cent symbol (%) is used in conjunction with all numbers (e.g. 12%). Numbers that have been written out will appear with 'per cent' (e.g. five per cent). 'Percentage' is used in a general sense.
- **Numbers:** Numbers from one to nine must be written out. Numbers from 10 onwards, must be used as numerals, except at the beginning of a sentence.
- **Spacing and punctuation:** There should be one space (and not two) between sentences; one space before unit terms (e.g. 5 kg, 5 cm, 5 mmol, 5 days, 5 °C, etc.), but no space before the percentage symbol (%). Thousands and millions are marked with a space and *not* a comma (e.g. 1000, 1000 000). Ranges are expressed with an extended hyphen (i.e. en dash), not with a short hyphen (e.g. 1990–2000).
- Units: The use of units should conform to the SI convention and be abbreviated accordingly. Metric units and their international symbols are used throughout, as in the decimal point (not the decimal comma), and the 24-hour clock.
- **Foreign language:** Foreign language words should be italicised, unless these words are part of normal usage. Consult the Oxford English Dictionary if in doubt.
- **Acronyms:** If a phrase with an established acronym or abbreviation is used and appears more than five times in your article, please include the acronym or abbreviation in brackets after first mention of the phrase, and then use the acronym or abbreviation only. Please note that you should not define acronyms or abbreviations in any of your headings. If either has been used in your abstract, you need to define them again on their first usage in the main text.

### Sensitive and political terms

- Race and ethnicity: Try to avoid terms such as 'Blacks' and 'Whites' (please note the use of uppercase letters); use instead 'Black *people*', 'White *people*', etc. 'Caucasian', 'Mongoloid', 'Negroid', etc. are generally to be avoided except in human population studies. 'Mixed race' is preferable to 'half-caste' or 'Coloured'.
- **Disabilities:** Avoid using 'the disabled', 'the handicapped', and instead use 'people with disabilities not 'the disabled' or 'people with learning difficulties', not 'mentally handicapped'.
- Disease
- Avoid health-determined categorisation.
- Use 'people with diabetes'; not 'diabetics'.
- Use 'people with cancer'; not 'cancer sufferers'.
- Use 'sexually transmitted infection (STI)' and not 'sexually transmitted disease (STD)'.
- o Avoid phrasing that dehumanises a patient. Many authors use case (instance of a disease) when they mean patient (i.e. the person or individual who is ill with the (disease).
- AIDS

- Ensure that 'AIDS' is used for the disease and 'HIV' for the virus, e.g. do not use 'AIDS carrier', 'AIDS positive', 'AIDS virus' or 'catching AIDS or HIV/AIDS' (avoid using the solidus here).
- o 'AIDS sufferer/victim' is inappropriate; use 'people with AIDS'.
- o Refer to 'people who practise high-risk activities' and not 'high-risk groups'.
- o The expression 'full-blown AIDS' is unnecessary if the correct distinction has been made between HIV and AIDS.

#### • Male versus Female

- o 'Male' and 'female' are *adjectives*, so be careful to use them as such (i.e. a *male* patient and a *female* frog, but a 35-year-old *man*, a French *woman* and a group of 25 *men* and 35 *women*).
- **Sexuality:** Avoid the terms 'homosexual activities' (if achievable within the manuscript's context, specify which activity is being referred to, especially when dealing with medical research.) Avoid using 'homosexuals' (specify homosexual men or homosexual women).
- **Gender:** Use gender neutral nouns. Avoid the use of 'man' if not specifically referring to men; for example:
- o for 'man' use 'humans'
- o for 'man-kind' use 'the human race'
- o for 'man-power' use 'workforce'
- o for 'man-made fibre' use 'synthetic fibre'
- 'He/she', 'him/her' and 'his/hers': For 'he/she', 'him/her' and 'his/hers' rather use 'he or she', 'her or him', 'his or hers' (without a solidus) or change to plural 'they'. Use inclusive pronouns: use 'he or she', or rephrase the sentence (rephrasing to the plural form often works):
  - X ... Any observer of changes in publishing technology will perceive that he has need of...
  - ✓ ... Observers of... will perceive that they have...

Beware of referring to people with stereotypical pronouns (e.g. 'the doctor treated *his* patient'; 'the secretary tidied *her* desk').

#### Geography

- o The terms *Third World*, *poor countries* and *underdeveloped countries* should be avoided.
- Developing or non-developed country/society is better, but it is best to specify countries or regions instead.
- o Western society and Western World should only be used in relation to geography; otherwise, use developed world/society or, even better, specify the countries themselves or the region.

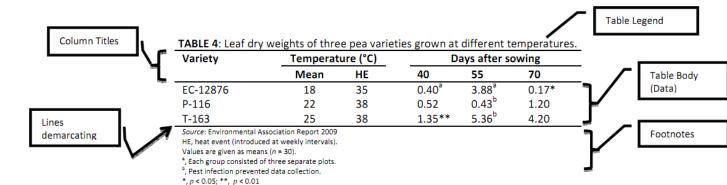
### Tables, figures and photographs 1

In Step 4 of the online submission process, upload all tables, figures, images, and supplementary files. Tables should be saved and uploaded as separate Excel (.xls) files with no more than 10 figures and tables in total per article. Ensure that all personal identifying information is removed from the supplementary files as indicated in the provided instructions. All captions should be provided together on a separate page. Tables and figures should use numerical numbers.

- Organise your visual presentation: Once you have read through the analyses and decided how best to present each table or figure, think about how you will arrange them within the article. The analyses should tell a story' that leads the reader through the steps needed to logically answer the question(s) that you as author are posing in the Introduction. The order in which you present the results can be as important in convincing the readers as what you actually are saying in the text.
- **How to refer to tables and figures in the text:** Every figure and table included in the paper *must* be referred to in the body of the text. Use sentences that draw the reader's attention to the relationship or trend you wish to highlight, referring to the appropriate figure or table only in parenthesis e.g.:
- o Germination rates were significantly higher after 24 h in running water than in controls (Figure 4).
- o DNA sequence homologies for the purple gene from the four congeners (Table 1) show high similarity, differing by at most 4 base pairs. (Avoid sentences that give no information other than directing the reader to the figure or table, e.g. Table 1 shows the summary results for male and female heights at Bates College.)
- **Abbreviation of the word 'Figure':** When referring to a figure in the text, the word 'figure' is never abbreviated as 'Fig.'; the same rule applies to the usage of 'table'. Both words are spelled out completely in descriptive legends.
- **How to number tables and figures:** Figures and tables are numbered independently, in the sequence in which you refer to them in the text, starting with Figure 1 and Table 1. If, in revision, you change the presentation sequence of the figures and tables, you must renumber them to reflect the new sequence.
- The acid test for tables and figures: Any table or figure you present must be clear, well-labelled, and described by its legend to be understood by your intended audience without reading the results section. That is, it must be able to stand alone and be interpretable. Overly complicated figures or tables may be difficult to understand in or out of context, so strive for simplicity whenever possible.
- **Descriptive legends or captions:** To pass the acid test above, a clear and complete legend (sometimes called a caption) is essential. Like the title of the article itself, each legend should convey as much information as possible about what the table or figure intends to tell the reader:
- o the results that are being shown in the graph(s), including the summary statistics plotted
- o the organism studied in the experiment (if applicable)
- o a context for the results: the treatment applied or the relationship displayed, etc.
- o location (*only* if a field experiment)
- o specific explanatory information needed to interpret the results shown (in tables, this is frequently done as footnotes)
- o culture parameters or conditions if applicable (temperature, media, etc.)
- o sample sizes and statistical test summaries, as they apply

Do not simply restate the axis labels with a 'versus' written in between.

Example: Figure 1: Height frequency (%) of White Pines (Pinusstrobus) in the Thorncrag Bird Sanctuary, Lewiston, Maine, before and after the Ice Storm of 1998. Before, n = 137, after, n = 133. Four trees fell during the storm and were excluded from the post-storm survey.



Note: Questions frequently arise about how much methodology to include in the legend, and how much results reporting should be done. For laboratory reports, specific results should be reported in the results text with a reference to the applicable table or figure. Other than culture conditions, methods are similarly confined to the Methods section.

#### Footnotes to tables, figures and photographs

Do not introduce footnotes in the body of the article. Footnotes should be used as follows:

- Copyright and permissions to reproduce should be clearly stated.
- Notes about the table as a whole can be left unlinked (i.e. no linking letters or numbers or symbols) or linked to, for example, a relevant column heading.
- Notes about specific parts of the table should be linked using superscript lower case letters (preferred), superscript numbers or symbols.
- If lower case letters are used, it could be confused with the table data; use symbols or numbers instead.
- Do not make use of superscript numbers in parentheses (brackets).
- If an abbreviation is mentioned for the first time in a table (e.g. 'CE' in Table 1), it must be defined in a footnote to that table, (e.g. HE, Heat event (introduced at weekly intervals).
- Asterisk footnotes are reserved for probability values in tables and usually signify the following values: \*,  $p \le 0.05$ ; \*\*,  $p \le 0.01$ ; \*\*\*,  $p \le 0.001$ . The asterisk is often used in mathematics and should therefore be avoided as a footnote symbol.
- Footnote links should be placed after punctuation. The preferred order of footnote symbols in tables (which should be superscripted) is †, ‡, §, ¶ (these are doubled if more footnotes are needed, e.g. ††).
- When superscript numbers or letters are used in text, beware of potential confusion with other superscripts (e.g. 2 for 'squared').
- Footnotes should be in the following order:
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- o other general notes
- o notes on specific parts of the table (following the order in the table itself)
- o notes on level of probability

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Supply your manuscript creatives in one of the following three preferred formats:

- **TIFF:** This is an image made up of pixels and is the most universal and most widely supported format across Windows and Mac platforms. Most graphics packages can save a file as a TIFF. The higher the resolution (i.e. the number of pixels) the sharper the final image.
- o Colour or greyscale photographic images: 300dpi
- o Line art or combination images: 600/900dpi
- o We would recommend using this format for photographic images.
- **EPS**: An EPS is essentially an envelope for holding text and images. Line art can be produced as an EPS (in Illustrator, for example). There are virtually no limits to scaling line art saved as an EPS. It can also contain TIFF images. However, please ensure that all fonts are embedded (that is, saved as outlines) and that line weights are not defined as hairline.
- **PDF**: This format is, again, like an EPS in that it is an envelope for holding different kinds of images and line art. Great care should be taken to ensure that fonts are embedded and that original images are at the correct size and resolution before being saved as a PDF. It is possible to save or export as TIFF or EPS from most graphics applications, just as it is possible to save direct to a PDF from most graphics packages by using a postscript printer driver. PDF creation packages (e.g. Acrobat Distiller) are also now widely available.

#### Other file formats

- **JPEG**: A JPEG compressed TIFF is acceptable as long as the degree of compression is moderate. It is better to use a JPEG for online images as a good quality image is achievable even with a high degree of compression.
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- Greyscale, CMYK, RGB.
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- Any colour that is to appear in print must be in CMYK mode.
- RedGreenBlue are the colours used by monitors and default scanner settings. Any colour that is to appear online must be in RGB mode.

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- Set display equations in MathType. Each display equation should be in its own MathType object. Each MathType object should contain the entire equation, including final punctuation. The equation number should be set as Microsoft Word regular text, outside the MathType object, separated by either a tab or a space.
- Set in-text (inline) math in Microsoft Word regular text. Exception: If in-text (inline) math has elements that should be stacked or have rules, circumflexes, arrows, or other accents spanning over more than one character, set in MathType as 'Inline Equation.'
- If any characters cannot be found in Word's Symbol palette ('(normal text),' 'Times New Roman,' or 'Symbol'), please set in MathType.
- No display equations are allowed in figure captions, table titles, or table footnotes. If a display equation occurs in a text footnote, it is best to recast it as inline math. There are a few journals with lengthy footnotes with style exceptions to this rule.

- No numbered equations are allowed in table footnotes.
- Display and/or numbered equations ARE allowed in table body, but must be 'inline' when converted to MathML equations.

Structure and style of your original research article

The page provides an overview of the structure and style of your original research article to be submitted to the African Vision and Eye Health. An original article provides an overview of innovative research in a particular field within or related to the focus and scope of the journal, presented according to a clear and well-structured format (between 3500 and 7000 words with a maximum of 60 references).

When presenting your article in English. Please use British English, that is, according to the Oxford English Dictionary. Avoid Americanisms (e.g. use 's' and not 'z' spellings). Consult the Oxford English Dictionary when in doubt and remember to set your version of Microsoft Word to UK English.

Language: Manuscripts must be written in British English or French.

Line numbers: Insert continuous line numbers.

Font type: Palatino

Symbols font type: Times New Roman

General font size: 12pt

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Third headings: normal case, bold and 12pt

Fourth headings: normal case, bold, running-in text and separated by a colon.

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Rich Text Format (RTF) documents uploaded during Step 2 of the submission process. Users of other word processing packages should save or convert their files to RTF before uploading. Many free tools are available that will make this process easier.

For full details on how to ensure your manuscript adheres to the house style, click here.

The structure and style of your original article

Page 1

The format of the compulsory cover letter forms part of your submission, is on the first page of your manuscript and should always be presented in English. You should provide all of the following elements:

Full author details: Provide title(s), full name(s), position(s), affiliation(s) and contact details (postal address, email, telephone and cellular number) of each author.

Corresponding author: Identify to whom all correspondence should be addressed.

Summary: Lastly, a list containing the number of words, pages, tables, figures and/or other supplementary material should accompany the submission.

Page 2 and onwards

Title: The article's full title should contain a maximum of 95 characters (including spaces).

Abstract: The abstract, written in English, should be no longer than 250 words and must be written in the past tense. The abstract should give a succinct account of the objectives, methods, results and significance of the matter. The structured abstract for an Original Research article should consist of six paragraphs labelled Background, Aim, Setting, Methods, Results and Conclusion. The journal can translate into French if this is difficult for you.

Background: Summarise the social value (importance, relevance) and scientific value (knowledge gap) that your study addresses.

Aim: State the overall aim of the study.

Setting: State the setting for the study.

Methods: Clearly express the basic design of the study, and name or briefly describe the methods used without going into excessive detail.

Results: State the main findings.

Conclusion: State your conclusion and any key implications or recommendations.

Do not cite references and do not use abbreviations excessively in the abstract.

The following headings serve as a guide for presenting your research in a well-structured original article. As an author you should include all first-level headings, but subsequent headings (second- and third-level headings) can be changed.

Introduction (first-level heading)

The introduction must contain your argument for the social and scientific value of the study, as well as the aim and objectives:

Social value: The first part of the introduction should make a clear and logical argument for the importance or relevance of the study. Your argument should be supported by use of evidence from the literature.

Scientific value: The second part of the introduction should make a clear and logical argument for the originality of the study. This should include a summary of what is already known about the research question or specific topic, and should clarify the knowledge gap that this study will address. Your argument should be supported by use of evidence from the literature.

Conceptual framework: In some research articles it will also be important to describe the underlying theoretical basis for the research and how these theories are linked together in a conceptual framework. The theoretical evidence used to construct the conceptual framework should be referenced from the literature.

Aim and objectives: The introduction should conclude with a clear summary of the aim and objectives of this study.

| Research methods and design (first-level heading)  The methods should include:  |
|---|
| Study design (second-level heading): An outline of the type of study design.  |
| Setting (second-level heading): A description of the setting for the study; for example, the type of community from which the participants came or the nature of the health system and services in which the study is conducted.  |
| Study population and sampling strategy (second-level heading): Describe the study population and any inclusion or exclusion criteria. Describe the intended sample size and your sample size calculation or justification. Describe the sampling strategy used. Describe in practical terms how this was implemented. |
| Intervention (if appropriate) (second-level heading): If there were intervention and comparison groups, describe the intervention in detail and what happened to the comparison groups.   |
| Data collection (second-level heading): Define the data collection tools that were used and their validity. Describe in practical terms how data were collected and any key issues involved, e.g. language barriers.  |
| Data analysis (second-level heading): Describe how data were captured, checked and cleaned. Describe the analysis process, for example, the statistical tests used orsteps followed in qualitative data analysis.   |
| Ethical considerations (second-level heading): Approval must have been obtained for all studies from the author's institution or other relevant ethics committee and the institution's name and permit numbers should be stated here.   |
| Results (first-level heading)   |

Present the results of your study in a logical sequence that addresses the aim and objectives of your study. Use tables and figures as required to present your findings. Use quotations as required to establish your interpretation of qualitative data.

All units should conform to the SI convention and be abbreviated accordingly. Metric units and their international symbols are used throughout, as is the decimal point (not the decimal comma).

Discussion (first-level heading)

The discussion section should address the following four elements:

Key findings: Summarise the key findings without reiterating details of the results.

Discussion of key findings: Explain how the key findings relate to previous research or to existing knowledge, practice or policy.

Strengths and limitations: Describe the strengths and limitations of your methods and what the reader should take into account when interpreting your results.

Implications or recommendations: State the implications of your study or recommendations for future research (questions that remain unanswered), policy or practice. Make sure that the recommendations flow directly from your findings.

Conclusion (first-level heading)

Provide a brief conclusion that summarises the results and their meaning or significance in relation to each objective of the study.

Acknowledgements (first-level heading)

If, through your study, you received any significant help in conceiving, designing or carrying out the work, or received materials from someone who did you a favour by supplying them, you must acknowledge their assistance and the service or material provided. Authors should

always acknowledge outside reviewers of their drafts and any sources of funding that supported the research.

Competing interests (second-level heading): A competing interest exists when your interpretation of data or presentation of information may be influenced by your personal or financial relationship with other people or organisations that can potentially prevent you from executing and publishing unbiased research. Authors should disclose any financial competing interests but also any non-financial competing interests that may cause them embarrassment were they to become public after the publication of the manuscript. Where an author has no such competing interests, the listing will read as follows: 'The authors declare that they have no financial or personal relationship(s) that may have inappropriately influenced them in writing this article.'

Authors' contributions (second-level heading): This section is necessary to give appropriate credit to each author, and to the authors' applicable institution. The individual contributions of authors should be specified with their affiliation at the time of the study and completion of the work. An 'author' is generally considered to be someone who has made substantive intellectual contributions to a published study. Contributions made by each of the authors listed can follow the example below (please note the use of authors' initials):

J.K. (University of Pretoria) was the project leader, L.M.N. (University of KwaZulu-Natal) and A.B. (Stellenbosch University) were responsible for experimental and project design. L.M.N. performed most of the experiments. P.R. (Cape Peninsula University of Technology) made conceptual contributions and S.T. (University of Cape Town), U.V. (University of Cape Town) and C.D. (University of Cape Town) performed some of the experiments. S.M. (Cape Peninsula University of Technology) and V.C. (Cape Peninsula University of Technology) prepared the samples and calculations were performed by C.S. (Cape Peninsula University of Technology).

#### References (first-level heading)

Begin the reference list on a separate page, and give no more than 60 references in all. The African Vision and Eye Health uses the Vancouver referencing style, details of which can be downloaded from the journal website. Note: No other style will be permitted.



16 October 2015

Dr Etienne Phillip LE Roux 405 Umhlanga Rocks Durban 4320 epleroux@yahoo.com

PROTOCOL: Bevacizumabin a treat-and-extend regimen for neovascular age related macular degeneration in a resource limited. School of Clinical Medicine: MMed 213574210.

BREC REF: BE017/15

#### **EXPEDITED APPLICATION**

A sub-committee of the Biomedical Research Ethics Committee has considered and noted your application received on 13 January 2015.

The study was provisionally approved pending appropriate responses to queries raised. Your responses received on 08 October 2015 to queries raised on 06 October 2015 have been noted by a subcommittee of the Biomedical Research Ethics Committee. The conditions have been met and the study is given full ethics approval.

This approval is valid for one year from 16 October 2015. To ensure uninterrupted approval of this study beyond the approval expiry date, an application for recertification must be submitted to BREC on the appropriate BREC form 2-3 months before the expiry date.

Any amendments to this study, unless urgently required to ensure safety of participants, must be approved by BREC prior to implementation.

Your acceptance of this approval denotes your compliance with South African National Research Ethics Guidelines (2015), South African National Good Clinical Practice Guidelines (2006) (if applicable) and with UKZN BREC ethics requirements as contained in the UKZN BREC Terms of Reference and Standard Operating Procedures, all available at http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx.

BREC is registered with the South African National Health Research Ethics Council (REC-290408-009). BREC has US Office for Human Research Protections (OHRP) Federal-wide Assurance (FWA 678).

The sub-committee's decision will be RATIFIED by a full Committee at its meeting taking place on 10 November 2015.

We wish you well with this study. We would appreciate receiving copies of all publications arising out of this study.

Yours sincerely

Professor J Tsoka-Gwegweni

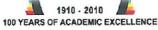
Chair: Biomedical Research Ethics Committee

cc: ruraleye@gmail.com

**Biomedical Research Ethics Committee** Professor J Tsoka-Gwegweni (Chair) Westville Campus, Govan Mbeki Building

Postal Address: Private Bag X54001, Durban 4000

Telephone: +27 (0) 31 260 2486 Facsimile: +27 (0) 31 260 4609 Email: brec@ukzn.ac.za Website: http://research.ukzn.ac.za/Research-Ethics/Biomedical-Research-Ethics.aspx





Inkosi Albert Luthuli Central Hospital Ethekwini Health District Office of the Medical Manager Private Bag X 03, Mayville, 4058 800 Bellair Road, Mayville, 4058 Tel.: 031 240 1059,

Fax.: 031 240 1059, Fax.: 031 240 1050 Email.:ursulanun@ialch.co.za

www.kznhealth.gov.za

1 July 2015

Dr E P Le Roux Department of Opthalmology IALCH

Dear Dr Roux

# Re: Approved Research: Ref No: BE017/15: Bevacizumabin a treat-and extend regimen for neovascular age related macular degeneration in a resource limited.

As per the policy of the Provincial Health Research Committee (PHRC), you are hereby granted permission to conduct the above mentioned research once all relevant documentation has been submitted to PHRC inclusive of Full Ethical Approval.

Kindly note the following.

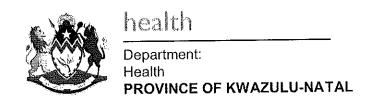
- 1. The research should adhere to all policies, procedures, protocols and guidelines of the KwaZulu-Natal Department of Health.
- 2. Research will only commence once the PHRC has granted approval to the researcher.
- 3. The researcher must ensure that the Medical Manager is informed before the commencement of the research by means of the approval letter by the chairperson of the PHRC.
- 4. The Medical Manager expects to be provided feedback on the findings of the research.
- 5. Kindly submit your research to:

The Secretariat
Health Research & Knowledge Management
330 Langaliballe Street, Pietermaritzburg, 3200
Private Bag X9501, Pietermaritzburg, 3201
Tel: 033395-3123, Fax 033394-3782

Email: hrkm@kznhealth.gov.za

Yours faithfully

<u>Dr M Letebele</u> Medical Manager



Inkosi Albert Luthuli Central Hospital Ethekwini Health District Office of the Medical Manager Private Bag X 03, Mayville, 4058 800 Bellair Road, Mayville, 4058 Tel.: 031 240 1059,

Fax.: 031 240 1059, Fax.: 031 240 1050 Email.:ursulanun@ialch.co.za www.kznhealth.gov.za

Reference: BE017/15 Enquiries: Medical Management

2 July 2015

Dr E P Le Roux Department of Ophthalmology IALCH

Dear Dr Le Roux

#### RE: PERMISSION TO CONDUCT RESEARCH AT IALCH

I have pleasure in informing you that permission has been granted to you by the Medical Manager to conduct research on: <u>Bevacizumabin a treat-and extend regimen for neovascular age related</u> <u>macular degeneration in a resource limited.</u>

Kindly take note of the following information before you continue:

- 1. Please ensure that you adhere to all the policies, procedures, protocols and guidelines of the Department of Health with regards to this research.
- 2. This research will only commence once this office has received confirmation from the Provincial Health Research Committee in the KZN Department of Health.
- 3. Kindly ensure that this office is informed before you commence your research.
- 4. The hospital will not provide any resources for this research.
- 5. You will be expected to provide feedback once your research is complete to the Medical Manager.

Yours faithfully

Dr M Letebele Medical Manager

#### PERMISSION TO CONDUCT A RESEARCH STUDY/TRIAL

This must be completed and submitted to the Medical Superintendent/s / Hospital Manager/s for signature.

For King Edward VIII Hospital (KEH) and Inkosi Albert Luthuli Central Hospital (IALCH) studies please submit the document together with the following:

1. Research proposal and protocol.

2. Letter giving provisional ethical approval.

- 3. Details of other research presently being performed by yourself if in the employ of KEH, (individually or as a collaborator).
- 4. Details of any financial or human resource implications to KEH, including all laboratory tests, EEGs, X-rays, use of nurses, etc. (See Addendum 1)
- 5. Declaration of all funding applications / grants, please supply substantiating documentation.

6. Complete the attached KEH Form - "Research Details"

Once the document has been signed it should be returned to Mrs Patricia Ngwenya: at the Biomedical Research Ethics Administration, Room N40, Govan Mbeki Building, Westville Campus, University of KwaZulu-Natal.

To: Chief Medical Superintendent / Hospital Manager

Permission is requested to conduct the above research study at the hospital/s indicated below:

| Site 1 address:                            | Investigator/s:                                      |
|--|--|
| IALCA                                      | Principal: NR E. P. L.E RCW                          |
|  | Co-investigator:                                     |
|  | Co-Investigator:                                     |
| Signature of Chief Medical Superintendent. | /Hospital Manager:                                   |
| Me   | Date: 15/07/2011                                     |
| Site 2 address:                            | Investigator/s                                       |
|  | Principal:   |
|  | Co-investigator:                                     |
|  | Co-Investigator:                                     |
| Signature of Chief Medical Superintendent  | / Hospital Manager:                                  |
|  | Date:  |
| NB: Medical Superintendent/s / Hospital    | Manager/s to send a copy of this document to Natalia |

#### GET

FILE='E:\2016 MFK\Konsultasie\Ander\EttienneLeRoux20161121.sav'.

Warning # 5281. Command name: GET FILE SPSS Statistics is running in Unicode encoding mode. This file is encoded in a locale-specific (code page) encoding. The defined width of any string variables are automatically tripled in order to avoid possible data loss. You can use ALTER TYPE to set the width of string variables to the width of the longest observed value for each string variable.

ALTER TYPE ALL(A=AMIN).

### **Alter Type**

#### **Notes**

|                |                | 110103                               |
|----------------|----------------|--------------------------------------|
| Output Created |                | 27-NOV-2016 18:22:33                 |
| Comments       |                |                                      |
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|                |                | neLeRoux20161121.sav                 |
|                | Filter         | <none></none>                        |
|                | Weight         | <none></none>                        |
|                | Split File     | <none></none>                        |
| Syntax         |                | ALTER TYPE ALL(A=AMIN).              |
| Resources      | Processor Time | 00:00:00.00                          |
|                | Elapsed Time   | 00:00:00.66                          |

#### **Altered Types**

| PatientNo | A6 | AMIN |
|-----------|----|------|
| SEX       | А3 | AMIN |
| RACE      | A3 | AMIN |
| OD_OS     | A6 | AMIN |
| Year1_1   | A3 | AMIN |
| Year1_5   | A6 | AMIN |

DATASET NAME DataSet1 WINDOW=FRONT. FREQUENCIES VARIABLES=FollowUp /ORDER=ANALYSIS.

## **Frequencies**

AGE CODE 1=Younger than 70 2=Between 70 and 74 3=Between 75 and 79 4=Between 80 and 84 5=85 and older

Notes

| Output Created         |                           | 27-NOV-2016 18:25:56                   |
|------------------------|---------------------------|--|
| Comments               |                           |  |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien   |
|                        |                           | neLeRoux20161121.sav                   |
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|                        | Weight                    | <none></none>                          |
|                        | Split File                | <none></none>                          |
|                        | N of Rows in Working Data | 53                                     |
|                        | File                      | 55                                     |
| Missing Value Handling | Definition of Missing     | User-defined missing values are        |
|                        |                           | treated as missing.                    |
|                        | Cases Used                | Statistics are based on all cases with |
|                        |                           | valid data.                            |
| Syntax                 |                           | FREQUENCIES                            |
|                        |                           | VARIABLES=FollowUp                     |
|                        |                           | /ORDER=ANALYSIS.                       |
| Resources              | Processor Time            | 00:00:00                               |
|                        | Elapsed Time              | 00:00:00.03                            |

[DataSet1] E:\2016\_MFK\Konsultasie\Ander\EttienneLeRoux20161121.sav

#### **Statistics**

| FollowU | lp    |    |
|---------|-------|----|
| N       | Valid | 53 |

| '       | 1 |
|---------|---|
| Missing | 0 |

FollowUp

|       |       |           |         |               | Cumulative |
|-------|-------|-----------|---------|---------------|------------|
|       |       | Frequency | Percent | Valid Percent | Percent    |
| Valid | 1.0   | 29        | 54.7    | 54.7          | 54.7       |
|       | 2.0   | 24        | 45.3    | 45.3          | 100.0      |
|       | Total | 53        | 100.0   | 100.0         |            |

FREQUENCIES VARIABLES=AGE Age\_code SEX gender\_Code RACE Race\_code OD\_OS OD\_OS\_Code /ORDER=ANALYSIS.

# Frequencies

#### **Notes**

|                | 140162         |                                      |
|----------------|----------------|--------------------------------------|
| Output Created |                | 27-NOV-2016 18:27:21                 |
| Comments       |                |                                      |
| Input          | Data           | E:\2016_MFK\Konsultasie\Ander\Ettien |
|                |                | neLeRoux20161121.sav                 |
|                | Active Dataset | DataSet1                             |
|                | Filter         | <none></none>                        |

|                        | Weight                    | <none></none>                          |
|------------------------|---------------------------|--|
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|                        | N of Rows in Working Data | 53                                     |
|                        | File                      | 53                                     |
| Missing Value Handling | Definition of Missing     | User-defined missing values are        |
|                        |                           | treated as missing.                    |
|                        | Cases Used                | Statistics are based on all cases with |
|                        |                           | valid data.                            |
| Syntax                 |                           | FREQUENCIES VARIABLES=AGE              |
|                        |                           | Age_code SEX gender_Code RACE          |
|                        |                           | Race_code OD_OS OD_OS_Code             |
|                        |                           | /ORDER=ANALYSIS.                       |
| Resources              | Processor Time            | 00:00:00                               |
|                        | Elapsed Time              | 00:00:00.00                            |

#### **Statistics**

|   |             |     | Age_cod |     | gender_Cod |      | Race_cod |  |
|---|-------------|-----|---------|-----|------------|------|----------|--|
|   |             | AGE | е       | SEX | е          | RACE | е        |  |
| N | Valid       | 53  | 53      | 53  | 53         | 53   | 53       |  |
|   | Missin<br>g | 0   | 0       | 0   | 0          | 0    | 0        |  |

#### **Statistics**

|   |         | OD_OS | OD_OS_Code |
|---|---------|-------|------------|
| N | Valid   | 53    | 53         |
|   | Missing | 0     | 0          |

# Frequency Table

AGE

|       |    | F         | Damant  | Valid Dansant | Cumulative |
|-------|----|-----------|---------|---------------|------------|
|       | _  | Frequency | Percent | Valid Percent | Percent    |
| Valid | 61 | 1         | 1.9     | 1.9           | 1.9        |
|       | 62 | 1         | 1.9     | 1.9           | 3.8        |
|       | 68 | 2         | 3.8     | 3.8           | 7.5        |
|       | 71 | 2         | 3.8     | 3.8           | 11.3       |
|       | 72 | 3         | 5.7     | 5.7           | 17.0       |
|       | 73 | 3         | 5.7     | 5.7           | 22.6       |
|       | 74 | 1         | 1.9     | 1.9           | 24.5       |
|       | 75 | 5         | 9.4     | 9.4           | 34.0       |
|       | 76 | 3         | 5.7     | 5.7           | 39.6       |
|       | 77 | 2         | 3.8     | 3.8           | 43.4       |
|       | 79 | 3         | 5.7     | 5.7           | 49.1       |
|       | 80 | 3         | 5.7     | 5.7           | 54.7       |
|       | 81 | 2         | 3.8     | 3.8           | 58.5       |
|       | 82 | 2         | 3.8     | 3.8           | 62.3       |
|       | 83 | 5         | 9.4     | 9.4           | 71.7       |
|       | 84 | 4         | 7.5     | 7.5           | 79.2       |

|       |    | İ     | Ì     | 1     |
|-------|----|-------|-------|-------|
| 86    | 7  | 13.2  | 13.2  | 92.5  |
| 89    | 1  | 1.9   | 1.9   | 94.3  |
| 90    | 1  | 1.9   | 1.9   | 96.2  |
| 93    | 2  | 3.8   | 3.8   | 100.0 |
| Total | 53 | 100.0 | 100.0 |       |

Age\_code

|       |       | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|-------|-------|-----------|---------|---------------|-----------------------|
| Valid | 1.0   | 4         | 7.5     | 7.5           | 7.5                   |
|       | 2.0   | 9         | 17.0    | 17.0          | 24.5                  |
|       | 3.0   | 13        | 24.5    | 24.5          | 49.1                  |
|       | 4.0   | 16        | 30.2    | 30.2          | 79.2                  |
|       | 5.0   | 11        | 20.8    | 20.8          | 100.0                 |
|       | Total | 53        | 100.0   | 100.0         |                       |

SEX

|       |       |           | ,       | VEID          | Cumulative |
|-------|-------|-----------|---------|---------------|------------|
|       |       | Frequency | Percent | Valid Percent | Percent    |
| Valid | F     | 24        | 45.3    | 45.3          | 45.3       |
|       | М     | 29        | 54.7    | 54.7          | 100.0      |
|       | Total | 53        | 100.0   | 100.0         |            |

# Gender Code : 1 = Female 2 = Male

gender Code

|       |       |           | genaci_co |               |            |
|-------|-------|-----------|-----------|---------------|------------|
|       |       |           |           |               | Cumulative |
|       |       | Frequency | Percent   | Valid Percent | Percent    |
| Valid | 1.0   | 24        | 45.3      | 45.3          | 45.3       |
|       | 2.0   | 29        | 54.7      | 54.7          | 100.0      |
|       | Total | 53        | 100.0     | 100.0         |            |

**RACE** 

|       |       |           |         |               | Cumulative |
|-------|-------|-----------|---------|---------------|------------|
|       |       | Frequency | Percent | Valid Percent | Percent    |
| Valid | В     | 3         | 5.7     | 5.7           | 5.7        |
|       | 1     | 8         | 15.1    | 15.1          | 20.8       |
|       | W     | 42        | 79.2    | 79.2          | 100.0      |
|       | Total | 53        | 100.0   | 100.0         |            |

Race Code:
1 = Black
2 = Indian
3 = White

|       |       |           |         |               | Cumulative |
|-------|-------|-----------|---------|---------------|------------|
|       |       | Frequency | Percent | Valid Percent | Percent    |
| Valid | 1     | 3         | 5.7     | 5.7           | 5.7        |
|       | 2     | 8         | 15.1    | 15.1          | 20.8       |
|       | 3     | 42        | 79.2    | 79.2          | 100.0      |
|       | Total | 53        | 100.0   | 100.0         |            |

OD\_OS

|       |       | Eroguopov | Percent   | Valid Percent   | Cumulative<br>Percent |
|-------|-------|-----------|-----------|-----------------|-----------------------|
|       |       | Frequency | i elcelit | Valid i elcelit | i elcelit             |
| Valid | OD    | 31        | 58.5      | 58.5            | 58.5                  |
|       | os    | 22        | 41.5      | 41.5            | 100.0                 |
|       | Total | 53        | 100.0     | 100.0           |                       |

OD-OS\_Code

1 = OD 2 = OS

OD\_OS\_Code

|       |       | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|-------|-------|-----------|---------|---------------|-----------------------|
| Valid | 1.0   | 31        | 58.5    | 58.5          | 58.5                  |
|       | 2.0   | 22        | 41.5    | 41.5          | 100.0                 |
|       | Total | 53        | 100.0   | 100.0         |                       |

DESCRIPTIVES VARIABLES=VA\_Start VA\_1year VA\_2year /STATISTICS=MEAN STDDEV MIN MAX KURTOSIS SKEWNESS.

# **Descriptives**

#### Notes

|                        | Notes                     |   |
|------------------------|---------------------------|---|
| Output Created         |                           | 27-NOV-2016 18:30:37                    |
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien    |
|                        |                           | neLeRoux20161121.sav                    |
|                        | Active Dataset            | DataSet1                                |
|                        | Filter                    | <none></none>                           |
|                        | Weight                    | <none></none>                           |
|                        | Split File                | <none></none>                           |
|                        | N of Rows in Working Data | 53                                      |
|                        | File                      | 55                                      |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated |
|                        |                           | as missing.                             |
|                        | Cases Used                | All non-missing data are used.          |
| Syntax                 |                           | DESCRIPTIVES                            |
|                        |                           | VARIABLES=VA_Start VA_1year             |
|                        |                           | VA_2year                                |
|                        |                           | /STATISTICS=MEAN STDDEV MIN             |
|                        |                           | MAX KURTOSIS SKEWNESS.                  |
| Resources              | Processor Time            | 00:00:00                                |
|                        | Elapsed Time              | 00:00:00.00                             |

|            |           | Minimu    | Maximu    |           | Std.      |           |       |  |
|------------|-----------|-----------|-----------|-----------|-----------|-----------|-------|--|
|            | N         | m         | m         | Mean      | Deviation | Skew      | ness  |  |
|            |           |           |           |           |           |           | Std.  |  |
|            | Statistic | Statistic | Statistic | Statistic | Statistic | Statistic | Error |  |
| VA_Start   | 53        | .0        | .8        | .213      | .1743     | 1.637     | .327  |  |
| VA_1year   | 53        | .1        | 1.0       | .383      | .2673     | .846      | .327  |  |
| VA_2year   | 24        | .10       | 1.00      | .4479     | .26600    | .519      | .472  |  |
| Valid N    | 24        |           |           |           |           |           |       |  |
| (listwise) | 24        |           |           |           |           |           |       |  |

**Descriptive Statistics** 

|                    | Kurtosis  |            |  |
|--------------------|-----------|------------|--|
|                    | Statistic | Std. Error |  |
| VA_Start           | 3.229     | .644       |  |
| VA_1year           | 083       | .644       |  |
| VA_2year           | -1.011    | .918       |  |
| Valid N (listwise) |           |            |  |

DESCRIPTIVES VARIABLES=CMT\_Start CMT\_1year CMT\_2year /STATISTICS=MEAN STDDEV MIN MAX KURTOSIS SKEWNESS.

## **Descriptives**

#### Notes

| Output Created         |                           | 27-NOV-2016 18:31:01                    |  |  |
|------------------------|---------------------------|---|--|--|
| Comments               |                           |   |  |  |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien    |  |  |
|                        |                           | neLeRoux20161121.sav                    |  |  |
|                        | Active Dataset            | DataSet1                                |  |  |
|                        | Filter                    | <none></none>                           |  |  |
|                        | Weight                    | <none></none>                           |  |  |
|                        | Split File                | <none></none>                           |  |  |
|                        | N of Rows in Working Data | 53                                      |  |  |
|                        | File                      | 33                                      |  |  |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated |  |  |
|                        |                           | as missing.                             |  |  |
|                        | Cases Used                | All non-missing data are used.          |  |  |
| Syntax                 |                           | DESCRIPTIVES                            |  |  |
|                        |                           | VARIABLES=CMT_Start CMT_1year           |  |  |
|                        |                           | CMT_2year                               |  |  |
|                        |                           | /STATISTICS=MEAN STDDEV MIN             |  |  |
|                        |                           | MAX KURTOSIS SKEWNESS.                  |  |  |
| Resources              | Processor Time            | 00:00:00.02                             |  |  |
|                        | Elapsed Time              | 00:00:00.03                             |  |  |

**Descriptive Statistics** 

|            |           |           |           | COLIPTIVE |           |           |       |  |
|------------|-----------|-----------|-----------|-----------|-----------|-----------|-------|--|
|            |           | Minimu    | Maximu    |           | Std.      |           |       |  |
|            | N         | m         | m         | Mean      | Deviation | Skew      | ness  |  |
|            |           |           |           |           |           |           | Std.  |  |
|            | Statistic | Statistic | Statistic | Statistic | Statistic | Statistic | Error |  |
| CMT_Start  | 48        | 215.0     | 611.0     | 333.458   | 85.4430   | 1.160     | .343  |  |
| CMT_1year  | 48        | 134.0     | 774.0     | 265.354   | 93.5554   | 3.528     | .343  |  |
| CMT_2year  | 24        | 172.0     | 349.0     | 245.792   | 49.7734   | .494      | .472  |  |
| Valid N    | 24        |           |           |           |           |           |       |  |
| (listwise) | 24        |           |           |           |           |           |       |  |

**Descriptive Statistics** 

|                    | Kui       | rtosis     |
|--------------------|-----------|------------|
|                    | Statistic | Std. Error |
| CMT_Start          | 1.470     | .674       |
| CMT_1year          | 18.316    | .674       |
| CMT_2year          | 358       | .918       |
| Valid N (listwise) |           |            |

Average time between injections during Year 1

DESCRIPTIVES VARIABLES=BetweenTime\_1
/STATISTICS=MEAN STDDEV MIN MAX KURTOSIS SKEWNESS.

# **Descriptives**

|                        | notes                     |   |
|------------------------|---------------------------|---|
| Output Created         |                           | 27-NOV-2016 18:33:19                    |
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien    |
|                        |                           | neLeRoux20161121.sav                    |
|                        | Active Dataset            | DataSet1                                |
|                        | Filter                    | <none></none>                           |
|                        | Weight                    | <none></none>                           |
|                        | Split File                | <none></none>                           |
|                        | N of Rows in Working Data | 52                                      |
|                        | File                      | 53                                      |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated |
|                        |                           | as missing.                             |
|                        | Cases Used                | All non-missing data are used.          |
| Syntax                 |                           | DESCRIPTIVES                            |
|                        |                           | VARIABLES=BetweenTime_1                 |
|                        |                           | /STATISTICS=MEAN STDDEV MIN             |
|                        |                           | MAX KURTOSIS SKEWNESS.                  |
| Resources              | Processor Time            | 00:00:00                                |
|                        | Elapsed Time              | 00:00:00                                |

|                       | N             | Minimum                    | Maximum                    | Mean                  | Std.<br>Deviation         |  |  |
|-----------------------|---------------|----------------------------|----------------------------|-----------------------|---------------------------|--|--|
|                       | Statisti<br>c | Statistic                  | Statistic                  | Statistic             | Statistic                 |  |  |
| BetweenTi<br>me_1     | 53            | 3.9090909<br>09090909<br>0 | 9.2500000<br>00000000<br>0 | 5.5918327<br>47964824 | 1.180942<br>89084597<br>5 |  |  |
| Valid N<br>(listwise) | 53            |                            |                            |                       |                           |  |  |

**Descriptive Statistics** 

|                    | Skev      | vness      | Kurtosis  |            |  |  |
|--------------------|-----------|------------|-----------|------------|--|--|
|                    | Statistic | Std. Error | Statistic | Std. Error |  |  |
| BetweenTime_1      | 1.202     | .327       | 1.766     | .644       |  |  |
| Valid N (listwise) |           |            |           |            |  |  |

Average time between injections in Year 2

DESCRIPTIVES VARIABLES=BetweenTime\_2
/STATISTICS=MEAN STDDEV MIN MAX KURTOSIS SKEWNESS.

# **Descriptives**

| Output Created         |                           | 27-NOV-2016 18:34:06                    |
|------------------------|---------------------------|---|
| Comments               |                           | 27 110 7 2010 10.04.00                  |
|                        | Data                      | F./2016 MFK/Kanaultania/Andar/Ettion    |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien    |
|                        |                           | neLeRoux20161121.sav                    |
|                        | Active Dataset            | DataSet1                                |
|                        | Filter                    | <none></none>                           |
|                        | Weight                    | <none></none>                           |
|                        | Split File                | <none></none>                           |
|                        | N of Rows in Working Data | 50                                      |
|                        | File                      | 53                                      |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated |
|                        |                           | as missing.                             |
|                        | Cases Used                | All non-missing data are used.          |
| Syntax                 |                           | DESCRIPTIVES                            |
|                        |                           | VARIABLES=BetweenTime_2                 |
|                        |                           | /STATISTICS=MEAN STDDEV MIN             |
|                        |                           | MAX KURTOSIS SKEWNESS.                  |
| Resources              | Processor Time            | 00:00:00.00                             |
|                        | Elapsed Time              | 00:00:00.03                             |

**Descriptive Statistics** 

|                       |          |                       |                            | riptive otati         |                           |  |  |
|-----------------------|----------|-----------------------|----------------------------|-----------------------|---------------------------|--|--|
|                       | N        | Minimum               | Maximum                    | Mean                  | Std.<br>Deviation         |  |  |
|                       | Statisti |                       |                            |                       |                           |  |  |
|                       | С        | Statistic             | Statistic                  | Statistic             | Statistic                 |  |  |
| BetweenTi<br>me_2     | 25       | 4.0000000<br>00000000 | 14.500000<br>00000000<br>0 | 8.5826349<br>20634922 | 3.129739<br>66235187<br>5 |  |  |
| Valid N<br>(listwise) | 25       |                       |                            |                       |                           |  |  |

**Descriptive Statistics** 

| Decempanto cianono |           |            |           |            |  |  |  |
|--------------------|-----------|------------|-----------|------------|--|--|--|
|                    | Skev      | vness      | Kurtosis  |            |  |  |  |
|                    | Statistic | Std. Error | Statistic | Std. Error |  |  |  |
| BetweenTime_2      | .312      | .464       | -1.166    | .902       |  |  |  |
| Valid N (listwise) |           |            |           |            |  |  |  |

Average time between injections

COMPUTE TimeBetweenInj=Mean(Year1\_1,Year1\_2,Year1\_3,Year1\_4,Year1\_5,Year1\_6,Year1\_7,Year1\_8,Year1\_9, Year1\_10,Year1\_11,Year1\_12,Year2\_1,Year2\_2,Year2\_3,Year2\_4,Year2\_5,Year2\_6,Year2\_7,Year2\_8,Year2\_9, Year2\_10).

EXECUTE.

DESCRIPTIVES VARIABLES=TimeBetweenInj

/STATISTICS=MEAN STDDEV MIN MAX KURTOSIS SKEWNESS.

# **Descriptives**

| Output Created         |                           | 27-NOV-2016 18:37:24                    |
|------------------------|---------------------------|---|
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien    |
|                        |                           | neLeRoux20161121.sav                    |
|                        | Active Dataset            | DataSet1                                |
|                        | Filter                    | <none></none>                           |
|                        | Weight                    | <none></none>                           |
|                        | Split File                | <none></none>                           |
|                        | N of Rows in Working Data | 53                                      |
|                        | File                      | 33                                      |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated |
|                        |                           | as missing.                             |
|                        | Cases Used                | All non-missing data are used.          |
| Syntax                 |                           | DESCRIPTIVES                            |
|                        |                           | VARIABLES=TimeBetweenInj                |
|                        |                           | /STATISTICS=MEAN STDDEV MIN             |
|                        |                           | MAX KURTOSIS SKEWNESS.                  |
| Resources              | Processor Time            | 00:00:00.02                             |
|                        | Elapsed Time              | 00:00:00.02                             |

**Descriptive Statistics** 

|                       |           | Minimu    | Maximu    |           | Std.      |           |       |  |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|-------|--|
|                       | N         | m         | m         | Mean      | Deviation | Skew      | /ness |  |
|                       |           |           |           |           |           |           | Std.  |  |
|                       | Statistic | Statistic | Statistic | Statistic | Statistic | Statistic | Error |  |
| TimeBetweenI          | 53        | 3.92      | 10.20     | 6.0373    | 1.43582   | .956      | .327  |  |
| Valid N<br>(listwise) | 53        |           |           |           |           |           |       |  |

**Descriptive Statistics** 

|                    | Kur       | tosis      |
|--------------------|-----------|------------|
|                    | Statistic | Std. Error |
| TimeBetweenInj     | .409      | .644       |
| Valid N (listwise) |           |            |

FREQUENCIES VARIABLES=Year1\_1 Year1\_2 Year1\_3 Year1\_4 Year1\_5 Year1\_6 Year1\_7 Year1\_8 Year1\_9
Year1\_10 Year1\_11 Year1\_12 Year2\_1 Year2\_2 Year2\_3 Year2\_4 Year2\_5 Year2\_6 Year2\_7 Year2\_8 Year2\_9
Year2\_10

/STATISTICS=STDDEV MINIMUM MAXIMUM MEAN MEDIAN SKEWNESS SESKEW KURTOSIS SEKURT /ORDER=ANALYSIS.

## **Frequencies**

| Output Created         |                           | 27-NOV-2016 18:38:59                   |
|------------------------|---------------------------|--|
| Comments               |                           |  |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien   |
|                        |                           | neLeRoux20161121.sav                   |
|                        | Active Dataset            | DataSet1                               |
|                        | Filter                    | <none></none>                          |
|                        | Weight                    | <none></none>                          |
|                        | Split File                | <none></none>                          |
|                        | N of Rows in Working Data | 53                                     |
|                        | File                      | 33                                     |
| Missing Value Handling | Definition of Missing     | User-defined missing values are        |
|                        |                           | treated as missing.                    |
|                        | Cases Used                | Statistics are based on all cases with |
|                        |                           | valid data.                            |

| Syntax    |                | FREQUENCIES VARIABLES=Year1_1   |
|-----------|----------------|---------------------------------|
|           |                | Year1_2 Year1_3 Year1_4 Year1_5 |
|           |                | Year1_6 Year1_7 Year1_8 Year1_9 |
|           |                | Year1_10 Year1_11 Year1_12      |
|           |                | Year2_1 Year2_2 Year2_3 Year2_4 |
|           |                | Year2_5 Year2_6 Year2_7 Year2_8 |
|           |                | Year2_9                         |
|           |                | Year2_10                        |
|           |                | /STATISTICS=STDDEV MINIMUM      |
|           |                | MAXIMUM MEAN MEDIAN             |
|           |                | SKEWNESS SESKEW KURTOSIS        |
|           |                | SEKURT                          |
|           |                | /ORDER=ANALYSIS.                |
| Resources | Processor Time | 00:00:00                        |
|           | Elapsed Time   | 00:00:00.03                     |

|                | Year1_1 | Year1_2 | Year1_3 | Year1_4 | Year1_5 | Year1_6 | Year1_7 |
|----------------|---------|---------|---------|---------|---------|---------|---------|
| N Valid        | 53      | 53      | 53      | 52      | 51      | 49      | 45      |
| Missing        | 0       | 0       | 0       | 1       | 2       | 4       | 8       |
| Mean           | 4.302   | 4.113   | 4.962   | 5.885   | 5.863   | 6.490   | 6.289   |
| Median         | 4.000   | 4.000   | 4.000   | 4.000   | 6.000   | 6.000   | 6.000   |
| Std. Deviation | 1.0112  | .5771   | 2.1390  | 4.1000  | 2.1356  | 2.3728  | 2.3122  |
| Skewness       | 4.105   | 3.123   | 3.322   | 3.764   | 1.250   | .857    | .531    |
| Std. Error of  | .327    | 227     | 207     | 220     | 222     | .340    | 254     |
| Skewness       | .321    | .327    | .327    | .330    | .333    | .340    | .354    |
| Kurtosis       | 17.133  | 13.754  | 13.683  | 15.233  | 1.114   | .358    | 881     |
| Std. Error of  | .644    | .644    | .644    | GEO.    | CEC     | .668    | .695    |
| Kurtosis       | .044    | .044    | .044    | .650    | .656    | .000.   | .093    |
| Minimum        | 4.0     | 3.0     | 3.0     | 4.0     | 4.0     | 4.0     | 4.0     |
| Maximum        | 9.0     | 7.0     | 16.0    | 26.0    | 12.0    | 13.0    | 12.0    |

|                | Year1_8 | Year1_9 | Year1_10 | Year1_11 | Year1_12 | Year2_1 |
|----------------|---------|---------|----------|----------|----------|---------|
| N Valid        | 39      | 28      | 19       | 12       | 4        | 24      |
| Missing        | 14      | 25      | 34       | 41       | 49       | 29      |
| Mean           | 6.000   | 4.929   | 5.526    | 4.667    | 4.250    | 8.542   |
| Median         | 5.000   | 4.000   | 4.000    | 4.000    | 4.000    | 8.000   |
| Std. Deviation | 2.4170  | 1.1841  | 2.0915   | .9847    | .5000    | 2.7184  |
| Skewness       | 1.155   | 1.012   | 1.282    | .812     | 2.000    | .064    |
| Std. Error of  | 270     | 444     | 504      | 627      | 4.044    | 470     |
| Skewness       | .378    | .441    | .524     | .637     | 1.014    | .472    |
| Kurtosis       | .307    | .045    | .896     | -1.650   | 4.000    | 609     |
| Std. Error of  | 744     | 050     | 4.044    | 4 000    | 0.040    | 040     |
| Kurtosis       | .741    | .858    | 1.014    | 1.232    | 2.619    | .918    |

| Minimum | 4.0  | 4.0 | 4.0  | 4.0 | 4.0 | 4.0  |
|---------|------|-----|------|-----|-----|------|
| Maximum | 12.0 | 8.0 | 11.0 | 6.0 | 5.0 | 14.0 |

|        |          | Year2_2 | Year2_3 | Year2_4 | Year2_5 | Year2_6 | Year2_7 | Year2_8 |
|--------|----------|---------|---------|---------|---------|---------|---------|---------|
| N      | Valid    | 23      | 22      | 19      | 16      | 11      | 11      | 9       |
|        | Missing  | 30      | 31      | 34      | 37      | 42      | 42      | 44      |
| Mean   |          | 7.826   | 9.182   | 7.895   | 8.250   | 6.182   | 5.909   | 4.778   |
| Media  | n        | 7.000   | 9.500   | 7.000   | 8.000   | 6.000   | 5.000   | 4.000   |
| Std. D | eviation | 3.4726  | 3.5675  | 3.9426  | 3.3367  | 1.8878  | 2.1659  | 1.2019  |
| Skewr  | ness     | .374    | .092    | .677    | .083    | .663    | .503    | 1.093   |
| Std. E | rror of  | 404     | 404     | 504     | 504     | 004     | 004     | 747     |
| Skewr  | ness     | .481    | .491    | .524    | .564    | .661    | .661    | .717    |
| Kurtos | sis      | -1.217  | -1.076  | 836     | -1.679  | .199    | -1.768  | 586     |
| Std. E | rror of  | 005     | 050     | 4 04 4  | 4 004   | 4.070   | 4.070   | 4 400   |
| Kurtos | sis      | .935    | .953    | 1.014   | 1.091   | 1.279   | 1.279   | 1.400   |
| Minim  | um       | 4.0     | 4.0     | 4.0     | 4.0     | 4.0     | 4.0     | 4.0     |
| Maxim  | num      | 14.0    | 16.0    | 16.0    | 13.0    | 10.0    | 9.0     | 7.0     |

### **Statistics**

|                        |         | Year2_9 | Year2_10 |
|------------------------|---------|---------|----------|
| N                      | Valid   | 7       | 3        |
|                        | Missing | 46      | 50       |
| Mean                   |         | 5.571   | 4.667    |
| Median                 |         | 6.000   | 4.000    |
| Std. Deviation         |         | 1.6183  | 1.1547   |
| Skewness               |         | .317    | 1.732    |
| Std. Error of Skewness |         | .794    | 1.225    |
| Kurtosis               |         | -1.501  |          |

| Std. Error of Kurtosis | 1.587 |     |
|------------------------|-------|-----|
| Minimum                | 4.0   | 4.0 |
| Maximum                | 8.0   | 6.0 |

# Frequency Table

Year1\_1

|       |       | Fraguency | Percent | Valid Percent | Cumulative<br>Percent |
|-------|-------|-----------|---------|---------------|-----------------------|
|       |       | Frequency | Percent | valid Percent | Percent               |
| Valid | 4.0   | 46        | 86.8    | 86.8          | 86.8                  |
|       | 5.0   | 4         | 7.5     | 7.5           | 94.3                  |
|       | 6.0   | 1         | 1.9     | 1.9           | 96.2                  |
|       | 9.0   | 2         | 3.8     | 3.8           | 100.0                 |
|       | Total | 53        | 100.0   | 100.0         |                       |

Year1\_2

|       |       | Frequency | Percent   | Valid Percent  | Cumulative<br>Percent |
|-------|-------|-----------|-----------|----------------|-----------------------|
| _     | =     | Troquonoy | 1 0100111 | valia i diddit | 1 0100110             |
| Valid | 3.0   | 2         | 3.8       | 3.8            | 3.8                   |
|       | 4.0   | 46        | 86.8      | 86.8           | 90.6                  |
|       | 5.0   | 3         | 5.7       | 5.7            | 96.2                  |
|       | 6.0   | 1         | 1.9       | 1.9            | 98.1                  |
|       | 7.0   | 1         | 1.9       | 1.9            | 100.0                 |
|       | Total | 53        | 100.0     | 100.0          |                       |

Year1\_3

|       |       |           | 10411_0 |               |                       |
|-------|-------|-----------|---------|---------------|-----------------------|
|       |       | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
| Valid | 3.0   | 1         | 1.9     | 1.9           | 1.9                   |
|       | 4.0   | 36        | 67.9    | 67.9          | 69.8                  |
|       | 5.0   | 2         | 3.8     | 3.8           | 73.6                  |
|       | 6.0   | 9         | 17.0    | 17.0          | 90.6                  |
|       | 8.0   | 2         | 3.8     | 3.8           | 94.3                  |
|       | 10.0  | 2         | 3.8     | 3.8           | 98.1                  |
|       | 16.0  | 1         | 1.9     | 1.9           | 100.0                 |
|       | Total | 53        | 100.0   | 100.0         |                       |

Year1\_4

|         |        |           |         |               | Cumulative |
|---------|--------|-----------|---------|---------------|------------|
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 27        | 50.9    | 51.9          | 51.9       |
|         | 5.0    | 8         | 15.1    | 15.4          | 67.3       |
|         | 6.0    | 7         | 13.2    | 13.5          | 80.8       |
|         | 7.0    | 2         | 3.8     | 3.8           | 84.6       |
|         | 8.0    | 5         | 9.4     | 9.6           | 94.2       |
|         | 14.0   | 1         | 1.9     | 1.9           | 96.2       |
|         | 22.0   | 1         | 1.9     | 1.9           | 98.1       |
|         | 26.0   | 1         | 1.9     | 1.9           | 100.0      |
|         | Total  | 52        | 98.1    | 100.0         |            |
| Missing | System | 1         | 1.9     |               |            |
| Total   |        | 53        | 100.0   |               |            |

Year1\_5

|         |        |           | Tearr_5 |               |            |
|---------|--------|-----------|---------|---------------|------------|
|         |        |           |         |               | Cumulative |
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 20        | 37.7    | 39.2          | 39.2       |
|         | 5.0    | 5         | 9.4     | 9.8           | 49.0       |
|         | 6.0    | 13        | 24.5    | 25.5          | 74.5       |
|         | 7.0    | 2         | 3.8     | 3.9           | 78.4       |
|         | 8.0    | 5         | 9.4     | 9.8           | 88.2       |
|         | 9.0    | 2         | 3.8     | 3.9           | 92.2       |
|         | 10.0   | 2         | 3.8     | 3.9           | 96.1       |
|         | 12.0   | 2         | 3.8     | 3.9           | 100.0      |
|         | Total  | 51        | 96.2    | 100.0         |            |
| Missing | System | 2         | 3.8     |               |            |
| Total   |        | 53        | 100.0   |               |            |

Year1\_6

|       |      | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|-------|------|-----------|---------|---------------|-----------------------|
| Valid | 4.0  | 16        | 30.2    | 32.7          | 32.7                  |
|       | 5.0  | 1         | 1.9     | 2.0           | 34.7                  |
|       | 6.0  | 11        | 20.8    | 22.4          | 57.1                  |
|       | 7.0  | 4         | 7.5     | 8.2           | 65.3                  |
|       | 8.0  | 11        | 20.8    | 22.4          | 87.8                  |
|       | 10.0 | 3         | 5.7     | 6.1           | 93.9                  |
|       | 12.0 | 2         | 3.8     | 4.1           | 98.0                  |
|       | 13.0 | 1         | 1.9     | 2.0           | 100.0                 |

|         | _      | 1  |       | i     |  |
|---------|--------|----|-------|-------|--|
|         | Total  | 49 | 92.5  | 100.0 |  |
| Missing | System | 4  | 7.5   |       |  |
| Total   |        | 53 | 100.0 |       |  |

Year1 7

|         |        |           | Teal I_I |               |            |
|---------|--------|-----------|----------|---------------|------------|
|         |        |           |          |               | Cumulative |
|         |        | Frequency | Percent  | Valid Percent | Percent    |
| Valid   | 4.0    | 18        | 34.0     | 40.0          | 40.0       |
|         | 5.0    | 2         | 3.8      | 4.4           | 44.4       |
|         | 6.0    | 6         | 11.3     | 13.3          | 57.8       |
|         | 7.0    | 2         | 3.8      | 4.4           | 62.2       |
|         | 8.0    | 9         | 17.0     | 20.0          | 82.2       |
|         | 9.0    | 3         | 5.7      | 6.7           | 88.9       |
|         | 10.0   | 4         | 7.5      | 8.9           | 97.8       |
|         | 12.0   | 1         | 1.9      | 2.2           | 100.0      |
|         | Total  | 45        | 84.9     | 100.0         |            |
| Missing | System | 8         | 15.1     |               |            |
| Total   |        | 53        | 100.0    |               |            |

| V   | ^- | r 1 | c |
|-----|----|-----|---|
| - 1 | eа | П   | c |

|         |        |           | 10411_0 |               |                       |
|---------|--------|-----------|---------|---------------|-----------------------|
|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
| Valid   | 4.0    | 16        | 30.2    | 41.0          | 41.0                  |
|         | 5.0    | 5         | 9.4     | 12.8          | 53.8                  |
|         | 6.0    | 7         | 13.2    | 17.9          | 71.8                  |
|         | 7.0    | 1         | 1.9     | 2.6           | 74.4                  |
|         | 8.0    | 4         | 7.5     | 10.3          | 84.6                  |
|         | 10.0   | 4         | 7.5     | 10.3          | 94.9                  |
|         | 12.0   | 2         | 3.8     | 5.1           | 100.0                 |
|         | Total  | 39        | 73.6    | 100.0         |                       |
| Missing | System | 14        | 26.4    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year1\_9

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 15        | 28.3    | 53.6          | 53.6                  |
|         | 5.0    | 4         | 7.5     | 14.3          | 67.9                  |
|         | 6.0    | 6         | 11.3    | 21.4          | 89.3                  |
|         | 7.0    | 2         | 3.8     | 7.1           | 96.4                  |
|         | 8.0    | 1         | 1.9     | 3.6           | 100.0                 |
|         | Total  | 28        | 52.8    | 100.0         |                       |
| Missing | System | 25        | 47.2    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 10        | 18.9    | 52.6          | 52.6                  |
|         | 5.0    | 2         | 3.8     | 10.5          | 63.2                  |
|         | 6.0    | 2         | 3.8     | 10.5          | 73.7                  |
|         | 8.0    | 4         | 7.5     | 21.1          | 94.7                  |
|         | 11.0   | 1         | 1.9     | 5.3           | 100.0                 |
|         | Total  | 19        | 35.8    | 100.0         |                       |
| Missing | System | 34        | 64.2    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year1\_11

|         |        |           |         |               | Cumulative |
|---------|--------|-----------|---------|---------------|------------|
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 8         | 15.1    | 66.7          | 66.7       |
|         | 6.0    | 4         | 7.5     | 33.3          | 100.0      |
|         | Total  | 12        | 22.6    | 100.0         |            |
| Missing | System | 41        | 77.4    |               |            |
| Total   |        | 53        | 100.0   |               |            |

Year1\_12

|         |        |           |         |               | Cumulative |
|---------|--------|-----------|---------|---------------|------------|
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 3         | 5.7     | 75.0          | 75.0       |
|         | 5.0    | 1         | 1.9     | 25.0          | 100.0      |
|         | Total  | 4         | 7.5     | 100.0         |            |
| Missing | System | 49        | 92.5    |               |            |
| Total   |        | 53        | 100.0   |               |            |

Year2\_1

|         |        |           |         |               | Cumulative |
|---------|--------|-----------|---------|---------------|------------|
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 2         | 3.8     | 8.3           | 8.3        |
|         | 5.0    | 2         | 3.8     | 8.3           | 16.7       |
|         | 6.0    | 2         | 3.8     | 8.3           | 25.0       |
|         | 7.0    | 1         | 1.9     | 4.2           | 29.2       |
|         | 8.0    | 6         | 11.3    | 25.0          | 54.2       |
|         | 9.0    | 2         | 3.8     | 8.3           | 62.5       |
|         | 10.0   | 4         | 7.5     | 16.7          | 79.2       |
|         | 12.0   | 4         | 7.5     | 16.7          | 95.8       |
|         | 14.0   | 1         | 1.9     | 4.2           | 100.0      |
|         | Total  | 24        | 45.3    | 100.0         |            |
| Missing | System | 29        | 54.7    |               |            |
| Total   |        | 53        | 100.0   |               |            |

Year2\_2

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 7         | 13.2    | 30.4          | 30.4                  |
|         | 6.0    | 4         | 7.5     | 17.4          | 47.8                  |
|         | 7.0    | 1         | 1.9     | 4.3           | 52.2                  |
|         | 8.0    | 1         | 1.9     | 4.3           | 56.5                  |
|         | 9.0    | 1         | 1.9     | 4.3           | 60.9                  |
|         | 10.0   | 4         | 7.5     | 17.4          | 78.3                  |
|         | 12.0   | 3         | 5.7     | 13.0          | 91.3                  |
|         | 14.0   | 2         | 3.8     | 8.7           | 100.0                 |
|         | Total  | 23        | 43.4    | 100.0         |                       |
| Missing | System | 30        | 56.6    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_3

|       |      | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|-------|------|-----------|---------|---------------|-----------------------|
| Valid | 4.0  | 3         | 5.7     | 13.6          | 13.6                  |
|       | 6.0  | 4         | 7.5     | 18.2          | 31.8                  |
|       | 7.0  | 2         | 3.8     | 9.1           | 40.9                  |
|       | 8.0  | 1         | 1.9     | 4.5           | 45.5                  |
|       | 9.0  | 1         | 1.9     | 4.5           | 50.0                  |
|       | 10.0 | 1         | 1.9     | 4.5           | 54.5                  |
|       | 11.0 | 3         | 5.7     | 13.6          | 68.2                  |
|       | 12.0 | 4         | 7.5     | 18.2          | 86.4                  |
|       | 14.0 | 2         | 3.8     | 9.1           | 95.5                  |

|         | 16.0   | 1  | 1.9   | 4.5   | 100.0 |
|---------|--------|----|-------|-------|-------|
|         | Total  | 22 | 41.5  | 100.0 |       |
| Missing | System | 31 | 58.5  |       |       |
| Total   |        | 53 | 100.0 |       |       |

Year2\_4

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 6         | 11.3    | 31.6          | 31.6                  |
|         | 5.0    | 1         | 1.9     | 5.3           | 36.8                  |
|         | 6.0    | 2         | 3.8     | 10.5          | 47.4                  |
|         | 7.0    | 1         | 1.9     | 5.3           | 52.6                  |
|         | 8.0    | 3         | 5.7     | 15.8          | 68.4                  |
|         | 11.0   | 1         | 1.9     | 5.3           | 73.7                  |
|         | 12.0   | 2         | 3.8     | 10.5          | 84.2                  |
|         | 13.0   | 1         | 1.9     | 5.3           | 89.5                  |
|         | 14.0   | 1         | 1.9     | 5.3           | 94.7                  |
|         | 16.0   | 1         | 1.9     | 5.3           | 100.0                 |
|         | Total  | 19        | 35.8    | 100.0         |                       |
| Missing | System | 34        | 64.2    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_5

|         |        |           | rcarz_5 |               |                       |
|---------|--------|-----------|---------|---------------|-----------------------|
|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|         | _      |           | . 0.00  |               | . 0.00                |
| Valid   | 4.0    | 3         | 5.7     | 18.8          | 18.8                  |
|         | 5.0    | 1         | 1.9     | 6.3           | 25.0                  |
|         | 6.0    | 3         | 5.7     | 18.8          | 43.8                  |
|         | 8.0    | 2         | 3.8     | 12.5          | 56.3                  |
|         | 9.0    | 1         | 1.9     | 6.3           | 62.5                  |
|         | 11.0   | 1         | 1.9     | 6.3           | 68.8                  |
|         | 12.0   | 4         | 7.5     | 25.0          | 93.8                  |
|         | 13.0   | 1         | 1.9     | 6.3           | 100.0                 |
|         | Total  | 16        | 30.2    | 100.0         |                       |
| Missing | System | 37        | 69.8    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_6

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 3         | 5.7     | 27.3          | 27.3                  |
|         | 6.0    | 5         | 9.4     | 45.5          | 72.7                  |
|         | 8.0    | 2         | 3.8     | 18.2          | 90.9                  |
|         | 10.0   | 1         | 1.9     | 9.1           | 100.0                 |
|         | Total  | 11        | 20.8    | 100.0         |                       |
| Missing | System | 42        | 79.2    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_7

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 5         | 9.4     | 45.5          | 45.5                  |
|         | 5.0    | 1         | 1.9     | 9.1           | 54.5                  |
|         | 6.0    | 1         | 1.9     | 9.1           | 63.6                  |
|         | 8.0    | 2         | 3.8     | 18.2          | 81.8                  |
|         | 9.0    | 2         | 3.8     | 18.2          | 100.0                 |
|         | Total  | 11        | 20.8    | 100.0         |                       |
| Missing | System | 42        | 79.2    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_8

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 6         | 11.3    | 66.7          | 66.7                  |
|         | 6.0    | 2         | 3.8     | 22.2          | 88.9                  |
|         | 7.0    | 1         | 1.9     | 11.1          | 100.0                 |
|         | Total  | 9         | 17.0    | 100.0         |                       |
| Missing | System | 44        | 83.0    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_9

|         |        | Frequency | Percent | Valid Percent | Cumulative<br>Percent |
|---------|--------|-----------|---------|---------------|-----------------------|
| Valid   | 4.0    | 3         | 5.7     | 42.9          | 42.9                  |
|         | 6.0    | 2         | 3.8     | 28.6          | 71.4                  |
|         | 7.0    | 1         | 1.9     | 14.3          | 85.7                  |
|         | 8.0    | 1         | 1.9     | 14.3          | 100.0                 |
|         | Total  | 7         | 13.2    | 100.0         |                       |
| Missing | System | 46        | 86.8    |               |                       |
| Total   |        | 53        | 100.0   |               |                       |

Year2\_10

| -       |        |           |         |               |            |
|---------|--------|-----------|---------|---------------|------------|
|         |        |           |         |               | Cumulative |
|         |        | Frequency | Percent | Valid Percent | Percent    |
| Valid   | 4.0    | 2         | 3.8     | 66.7          | 66.7       |
|         | 6.0    | 1         | 1.9     | 33.3          | 100.0      |
|         | Total  | 3         | 5.7     | 100.0         |            |
| Missing | System | 50        | 94.3    |               |            |
| Total   |        | 53        | 100.0   |               |            |

T-TEST PAIRS=VA\_Start VA\_1year WITH VA\_1year VA\_2year VA\_2year (PAIRED) /CRITERIA=CI(.9500) /MISSING=ANALYSIS.

## T-Test

|                        | Notes                     |   |
|------------------------|---------------------------|---|
| Output Created         |                           | 27-NOV-2016 18:39:57                      |
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien      |
|                        |                           | neLeRoux20161121.sav                      |
|                        | Active Dataset            | DataSet1                                  |
|                        | Filter                    | <none></none>                             |
|                        | Weight                    | <none></none>                             |
|                        | Split File                | <none></none>                             |
|                        | N of Rows in Working Data | 53  |
|                        | File                      | 55  |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated   |
|                        |                           | as missing.                               |
|                        | Cases Used                | Statistics for each analysis are based    |
|                        |                           | on the cases with no missing or           |
|                        |                           | out-of-range data for any variable in the |
|                        |                           | analysis.                                 |

| Syntax    |                | T-TEST PAIRS=VA_Start VA_Start  |
|-----------|----------------|---------------------------------|
|           |                | VA_1year WITH VA_1year VA_2year |
|           |                | VA_2year (PAIRED)               |
|           |                | /CRITERIA=CI(.9500)             |
|           |                | /MISSING=ANALYSIS.              |
| Resources | Processor Time | 00:00:00.00                     |
|           | Elapsed Time   | 00:00:00.03                     |

**Paired Samples Statistics** 

|        |          | Mean  | N  | Std. Deviation | Std. Error Mean |
|--------|----------|-------|----|----------------|-----------------|
| Pair 1 | VA_Start | .213  | 53 | .1743          | .0239           |
|        | VA_1year | .383  | 53 | .2673          | .0367           |
| Pair 2 | VA_Start | .269  | 24 | .2100          | .0429           |
|        | VA_2year | .4479 | 24 | .26600         | .05430          |
| Pair 3 | VA_1year | .442  | 24 | .2773          | .0566           |
|        | VA_2year | .4479 | 24 | .26600         | .05430          |

**Paired Samples Correlations** 

|        |                     | N  | Correlation | Sig. |
|--------|---------------------|----|-------------|------|
| Pair 1 | VA_Start & VA_1year | 53 | .310        | .024 |
| Pair 2 | VA_Start & VA_2year | 24 | .114        | .597 |
| Pair 3 | VA_1year & VA_2year | 24 | .569        | .004 |

|                               |       | Paired Differences |                 |   |  |  |
|-------------------------------|-------|--------------------|-----------------|---|--|--|
|                               |       |                    |                 | 95% Confidence<br>Interval of the<br>Difference |  |  |
|                               | Mean  | Std. Deviation     | Std. Error Mean | Lower   |  |  |
| Pair VA_Start - VA_1year      | 1704  | .2701              | .0371           | 2448  |  |  |
| Pair VA_Start - VA_2year<br>2 | 17917 | .31962             | .06524          | 31413   |  |  |
| Pair VA_1year - VA_2year<br>3 | 00625 | .25251             | .05154          | 11288   |  |  |

**Paired Samples Test** 

|        |                     | Paired Differences |        |    |                 |
|--------|---------------------|--------------------|--------|----|-----------------|
|        |                     | 95% Confidence     |        |    |                 |
|        |                     | Interval of the    |        |    |                 |
|        |                     | Difference         |        |    |                 |
|        |                     | Upper              | t      | df | Sig. (2-tailed) |
| Pair 1 | VA_Start - VA_1year | 0959               | -4.592 | 52 | .000            |
| Pair 2 | VA_Start - VA_2year | 04420              | -2.746 | 23 | .012            |
| Pair 3 | VA_1year - VA_2year | .10038             | 121    | 23 | .905            |

T-TEST PAIRS=CMT\_Start CMT\_lyear WITH CMT\_lyear CMT\_2year CMT\_2year (PAIRED) /CRITERIA=CI(.9500) /MISSING=ANALYSIS.

# T-Test

| Output Created         |                           | 27-NOV-2016 18:40:31                      |
|------------------------|---------------------------|---|
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien      |
|                        |                           | neLeRoux20161121.sav                      |
|                        | Active Dataset            | DataSet1                                  |
|                        | Filter                    | <none></none>                             |
|                        | Weight                    | <none></none>                             |
|                        | Split File                | <none></none>                             |
|                        | N of Rows in Working Data | 53  |
|                        | File                      | 33  |
| Missing Value Handling | Definition of Missing     | User defined missing values are treated   |
|                        |                           | as missing.                               |
|                        | Cases Used                | Statistics for each analysis are based    |
|                        |                           | on the cases with no missing or           |
|                        |                           | out-of-range data for any variable in the |
|                        |                           | analysis.                                 |
| Syntax                 |                           | T-TEST PAIRS=CMT_Start CMT_Start          |
|                        |                           | CMT_1year WITH CMT_1year                  |
|                        |                           | CMT_2year CMT_2year (PAIRED)              |
|                        |                           | /CRITERIA=CI(.9500)                       |
|                        |                           | /MISSING=ANALYSIS.                        |
| Resources              | _ Processor Time          | 00:00:00                                  |

Elapsed Time 00:00:00.01

**Paired Samples Statistics** 

|        | i dired bumples oldusties |         |    |                |                 |  |
|--------|---------------------------|---------|----|----------------|-----------------|--|
| _      |                           | Mean    | N  | Std. Deviation | Std. Error Mean |  |
| Pair 1 | CMT_Start                 | 333.458 | 48 | 85.4430        | 12.3326         |  |
|        | CMT_1year                 | 265.354 | 48 | 93.5554        | 13.5036         |  |
| Pair 2 | CMT_Start                 | 325.458 | 24 | 79.0305        | 16.1320         |  |
|        | CMT_2year                 | 245.792 | 24 | 49.7734        | 10.1599         |  |
| Pair 3 | CMT_1year                 | 251.667 | 24 | 51.7961        | 10.5728         |  |
|        | CMT_2year                 | 245.792 | 24 | 49.7734        | 10.1599         |  |

**Paired Samples Correlations** 

|        |                       | N  | Correlation | Sig. |
|--------|-----------------------|----|-------------|------|
| Pair 1 | CMT_Start & CMT_1year | 48 | .485        | .000 |
| Pair 2 | CMT_Start & CMT_2year | 24 | .521        | .009 |
| Pair 3 | CMT_1year & CMT_2year | 24 | .897        | .000 |

|        |                       |         | Paired Differences |                 |   |  |  |
|--------|-----------------------|---------|--------------------|-----------------|---|--|--|
|        |                       |         |                    |                 | 95% Confidence Interval of the Difference |  |  |
|        |                       | Mean    | Std. Deviation     | Std. Error Mean | Lower                                     |  |  |
| Pair 1 | CMT_Start - CMT_1year | 68.1042 | 91.1273            | 13.1531         | 41.6436                                   |  |  |
| Pair 2 | CMT_Start - CMT_2year | 79.6667 | 67.9851            | 13.8774         | 50.9591                                   |  |  |
| Pair 3 | CMT_1year - CMT_2year | 5.8750  | 23.0977            | 4.7148          | -3.8783                                   |  |  |

**Paired Samples Test** 

|        |                       | Paired Differences |       |    |                 |
|--------|-----------------------|--------------------|-------|----|-----------------|
|        |                       | 95% Confidence     |       |    |                 |
|        |                       | Interval of the    |       |    |                 |
|        |                       | Difference         |       |    |                 |
|        |                       | Upper              | t     | df | Sig. (2-tailed) |
| Pair 1 | CMT_Start - CMT_1year | 94.5648            | 5.178 | 47 | .000            |
| Pair 2 | CMT_Start - CMT_2year | 108.3742           | 5.741 | 23 | .000            |
| Pair 3 | CMT_1year - CMT_2year | 15.6283            | 1.246 | 23 | .225            |

### CORRELATIONS

/VARIABLES=VA\_Start VA\_1year VA\_2year BetweenTime\_1 BetweenTime\_2 /PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE.

# Correlations

| -                      |                           |   |
|------------------------|---------------------------|---|
| Output Created         |                           | 27-NOV-2016 18:42:10                      |
| Comments               |                           |   |
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien      |
|                        |                           | neLeRoux20161121.sav                      |
|                        | Active Dataset            | DataSet1                                  |
|                        | Filter                    | <none></none>                             |
|                        | Weight                    | <none></none>                             |
|                        | Split File                | <none></none>                             |
|                        | N of Rows in Working Data | 53  |
|                        | File                      | 33  |
| Missing Value Handling | Definition of Missing     | User-defined missing values are           |
|                        |                           | treated as missing.                       |
|                        | Cases Used                | Statistics for each pair of variables are |
|                        |                           | based on all the cases with valid data    |
|                        |                           | for that pair.                            |
| Syntax                 |                           | CORRELATIONS                              |
|                        |                           | /VARIABLES=VA_Start VA_1year              |
|                        |                           | VA_2year BetweenTime_1                    |
|                        |                           | BetweenTime_2                             |
|                        |                           | /PRINT=TWOTAIL NOSIG                      |
|                        |                           | /MISSING=PAIRWISE.                        |
| Resources              | Processor Time            | 00:00:00                                  |
|                        | Elapsed Time              | 00:00:00.01                               |

|              |                     | VA_Start          | VA_1year          | VA_2year | BetweenTime_1 |
|--------------|---------------------|-------------------|-------------------|----------|---------------|
| VA_Start     | Pearson Correlation | 1                 | .310 <sup>*</sup> | .114     | .451**        |
|              | Sig. (2-tailed)     |                   | .024              | .597     | .001          |
|              | N                   | 53                | 53                | 24       | 53            |
| VA_1year     | Pearson Correlation | .310 <sup>*</sup> | 1                 | .569**   | .160          |
|              | Sig. (2-tailed)     | .024              |                   | .004     | .251          |
|              | N                   | 53                | 53                | 24       | 53            |
| VA_2year     | Pearson Correlation | .114              | .569**            | 1        | .179          |
|              | Sig. (2-tailed)     | .597              | .004              |          | .402          |
|              | N                   | 24                | 24                | 24       | 24            |
| BetweenTime_ | Pearson Correlation | .451**            | .160              | .179     | 1             |
| 1            | Sig. (2-tailed)     | .001              | .251              | .402     |               |
|              | N                   | 53                | 53                | 24       | 53            |
| BetweenTime_ | Pearson Correlation | .392              | .230              | .168     | .660**        |
| 2            | Sig. (2-tailed)     | .053              | .269              | .434     | .000          |
|              | N                   | 25                | 25                | 24       | 25            |

### Correlations

|          |                     | BetweenTime_2 |
|----------|---------------------|---------------|
| VA_Start | Pearson Correlation | .392          |
|          | Sig. (2-tailed)     | .053          |
|          | N                   | 25            |
| VA_1year | Pearson Correlation | .230          |
|          | Sig. (2-tailed)     | .269          |

|               | N                   | 25     |
|---------------|---------------------|--------|
| VA_2year      | Pearson Correlation | .168   |
|               | Sig. (2-tailed)     | .434   |
|               | N                   | 24     |
| BetweenTime_1 | Pearson Correlation | .660** |
|               | Sig. (2-tailed)     | .000   |
|               | N                   | 25     |
| BetweenTime_2 | Pearson Correlation | 1      |
|               | Sig. (2-tailed)     |        |
|               | N                   | 25     |

<sup>\*.</sup> Correlation is significant at the 0.05 level (2-tailed).

#### CORRELATIONS

/VARIABLES=CMT\_Start CMT\_1year CMT\_2year BetweenTime\_1 BetweenTime\_2 /PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE.

## **Correlations**

| Output Created | 27-NOV-2016 18:42:49 |
|----------------|----------------------|
| Comments       |                      |

<sup>\*\*.</sup> Correlation is significant at the 0.01 level (2-tailed).

| lam.it                 | Data                      | EN204C MEKKanavitasia Andari Ettian       |
|------------------------|---------------------------|---|
| Input                  | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien      |
|                        |                           | neLeRoux20161121.sav                      |
|                        | Active Dataset            | DataSet1                                  |
|                        | Filter                    | <none></none>                             |
|                        | Weight                    | <none></none>                             |
|                        | Split File                | <none></none>                             |
|                        | N of Rows in Working Data | 53  |
|                        | File                      | 55  |
| Missing Value Handling | Definition of Missing     | User-defined missing values are           |
|                        |                           | treated as missing.                       |
|                        | Cases Used                | Statistics for each pair of variables are |
|                        |                           | based on all the cases with valid data    |
|                        |                           | for that pair.                            |
| Syntax                 |                           | CORRELATIONS                              |
|                        |                           | /VARIABLES=CMT_Start CMT_1year            |
|                        |                           | CMT_2year BetweenTime_1                   |
|                        |                           | BetweenTime_2                             |
|                        |                           | /PRINT=TWOTAIL NOSIG                      |
|                        |                           | /MISSING=PAIRWISE.                        |
| Resources              | Processor Time            | 00:00:00.02                               |
|                        | Elapsed Time              | 00:00:00.04                               |

|               |                     | CMT_Start        | CMT_1year        | CMT_2year | BetweenTime_1 |
|---------------|---------------------|------------------|------------------|-----------|---------------|
| CMT_Start     | Pearson Correlation | 1                | .485**           | .521**    | 261           |
|               | Sig. (2-tailed)     |                  | .000             | .009      | .073          |
|               | N                   | 48               | 48               | 24        | 48            |
| CMT_1year     | Pearson Correlation | .485**           | 1                | .897**    | 284           |
|               | Sig. (2-tailed)     | .000             |                  | .000      | .050          |
|               | N                   | 48               | 48               | 24        | 48            |
| CMT_2year     | Pearson Correlation | .521**           | .897**           | 1         | 236           |
|               | Sig. (2-tailed)     | .009             | .000             |           | .267          |
|               | N                   | 24               | 24               | 24        | 24            |
| BetweenTime_1 | Pearson Correlation | 261              | 284              | 236       | 1             |
|               | Sig. (2-tailed)     | .073             | .050             | .267      |               |
|               | N                   | 48               | 48               | 24        | 53            |
| BetweenTime_2 | Pearson Correlation | 425 <sup>*</sup> | 431 <sup>*</sup> | 260       | .660**        |
|               | Sig. (2-tailed)     | .034             | .031             | .221      | .000          |
|               | N                   | 25               | 25               | 24        | 25            |

### Correlations

|           |                     | BetweenTime_2    |
|-----------|---------------------|------------------|
| CMT_Start | Pearson Correlation | 425 <sup>*</sup> |
|           | Sig. (2-tailed)     | .034             |
|           | N                   | 25               |
| CMT_1year | Pearson Correlation | 431 <sup>*</sup> |
|           | Sig. (2-tailed)     | .031             |
|           | N                   | 25               |
| CMT_2year | Pearson Correlation | 260              |

|               | Sig. (2-tailed)     | .221   |
|---------------|---------------------|--------|
|               | N                   | 24     |
| BetweenTime_1 | Pearson Correlation | .660** |
|               | Sig. (2-tailed)     | .000   |
|               | N                   | 25     |
| BetweenTime_2 | Pearson Correlation | 1      |
|               | Sig. (2-tailed)     |        |
|               | N                   | 25     |

<sup>\*\*.</sup> Correlation is significant at the 0.01 level (2-tailed).

#### CORRELATIONS

/VARIABLES=DVA\_Start\_year1 DVA\_Start\_Year2 DVA\_Year1\_Year2 DCMT\_Start\_Year1 DCMT\_Start\_Year2 DCMT\_Year1\_Year2 BetweenTime\_1 BetweenTime\_2 TimeBetweenInj /PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE.

## **Correlations**

Comments

## **Notes** Output Created 27-NOV-2016 18:43:50

<sup>\*.</sup> Correlation is significant at the 0.05 level (2-tailed).

| nput                   | Data                      | E:\2016_MFK\Konsultasie\Ander\Ettien      |  |
|------------------------|---------------------------|---|--|
|                        |                           | neLeRoux20161121.sav                      |  |
|                        | Active Dataset            | DataSet1                                  |  |
|                        | Filter                    | <none></none>                             |  |
|                        | Weight                    | <none></none>                             |  |
|                        | Split File                | <none></none>                             |  |
|                        | N of Rows in Working Data |   |  |
|                        | File                      | 53  |  |
| Missing Value Handling | Definition of Missing     | User-defined missing values are           |  |
|                        |                           | treated as missing.                       |  |
|                        | Cases Used                | Statistics for each pair of variables are |  |
|                        |                           | based on all the cases with valid data    |  |
|                        |                           | for that pair.                            |  |
| Syntax                 |                           | CORRELATIONS                              |  |
|                        |                           | /VARIABLES=DVA_Start_year1                |  |
|                        |                           | DVA_Start_Year2 DVA_Year1_Year2           |  |
|                        |                           | DCMT_Start_Year1                          |  |
|                        |                           | DCMT_Start_Year2                          |  |
|                        |                           | DCMT_Year1_Year2                          |  |
|                        |                           | BetweenTime_1 BetweenTime_2               |  |
|                        |                           | TimeBetweenInj                            |  |
|                        |                           | /PRINT=TWOTAIL NOSIG                      |  |
|                        |                           | /MISSING=PAIRWISE.                        |  |
| Resources              | Processor Time            | 00:00:00.03                               |  |
|                        | Elapsed Time              | 00:00:00.03                               |  |

|               |                     | Change in VA from Start to Year 1 | DVA_Start_Year2 | DVA_Year1_Year2  |
|---------------|---------------------|-----------------------------------|-----------------|------------------|
| Change in     | Pearson Correlation | 1                                 | .700**          | 427 <sup>*</sup> |
| VA from Start | Sig. (2-tailed)     |                                   | .000            | .037             |
| to Year 1     | N                   | 52                                | 24              | 24               |
| DVA_Start_Y   | Pearson Correlation | .700**                            | 1               | .346             |
| ear2          | Sig. (2-tailed)     | .000                              |                 | .097             |
|               | N                   | 24                                | 24              | 24               |
| DVA_Year1_    | Pearson Correlation | 427 <sup>*</sup>                  | .346            | 1                |
| Year2         | Sig. (2-tailed)     | .037                              | .097            |                  |
|               | N                   | 24                                | 24              | 24               |
| Change in     | Pearson Correlation | 164                               | 191             | .077             |
| CMT from      | Sig. (2-tailed)     | .269                              | .372            | .721             |
| start to Year | N                   | 47                                | 24              | 24               |
| DCMT_Start    | Pearson Correlation | 233                               | 182             | .077             |
| _Year2        | Sig. (2-tailed)     | .272                              | .396            | .722             |
|               | N                   | 24                                | 24              | 24               |
| DCMT_Year     | Pearson Correlation | .001                              | .007            | .007             |
| 1_Year2       | Sig. (2-tailed)     | .995                              | .974            | .973             |
|               | N                   | 24                                | 24              | 24               |
| BetweenTim    | Pearson Correlation | .124                              | .179            | 152              |
| e_1           | Sig. (2-tailed)     | .381                              | .403            | .479             |
|               | N                   | 52                                | 24              | 24               |
| BetweenTim    | Pearson Correlation | .056                              | .100            | .030             |
| e_2           | Sig. (2-tailed)     | .789                              | .642            | .890             |
|               | N                   | 25                                | 24              | 24               |

| TimeBetwee | Pearson Correlation | .071 | .17 | .011 |
|------------|---------------------|------|-----|------|
| nlnj       | Sig. (2-tailed)     | .614 | .41 | .958 |
|            | N                   | 52   | 24  | 24   |

|                      |                     | Change in CMT from start to |                  |                  |
|----------------------|---------------------|-----------------------------|------------------|------------------|
|                      | -                   | Year 1                      | DCMT_Start_Year2 | DCMT_Year1_Year2 |
| Change in VA from    | Pearson Correlation | 164                         | 233              | .001             |
| Start to Year 1      | Sig. (2-tailed)     | .269                        | .272             | .995             |
|                      | N                   | 47                          | 24               | 24               |
| DVA_Start_Year2      | Pearson Correlation | 191                         | 182              | .007             |
|                      | Sig. (2-tailed)     | .372                        | .396             | .974             |
|                      | N                   | 24                          | 24               | 24               |
| DVA_Year1_Year2      | Pearson Correlation | .077                        | .077             | .007             |
|                      | Sig. (2-tailed)     | .721                        | .722             | .973             |
|                      | N                   | 24                          | 24               | 24               |
| Change in CMT        | Pearson Correlation | 1                           | .941**           | 070              |
| from start to Year 1 | Sig. (2-tailed)     |                             | .000             | .744             |
|                      | N                   | 48                          | 24               | 24               |
| DCMT_Start_Year2     | Pearson Correlation | .941**                      | 1                | .272             |
|                      | Sig. (2-tailed)     | .000                        |                  | .199             |
|                      | N                   | 24                          | 24               | 24               |
| DCMT_Year1_Year      | Pearson Correlation | 070                         | .272             | 1                |
| 2                    | Sig. (2-tailed)     | .744                        | .199             |                  |
|                      | N                   | 24                          | 24               | 24               |
| BetweenTime_1        | Pearson Correlation | .046                        | 125              | 035              |
|                      | Sig. (2-tailed)     | .754                        | .560             | .869             |
|                      | N                   | 48                          | 24               | 24               |

| BetweenTime_2  | Pearson Correlation | 178  | 208  | 208  |
|----------------|---------------------|------|------|------|
|                | Sig. (2-tailed)     | .395 | .329 | .329 |
|                | N                   | 25   | 24   | 24   |
| TimeBetweenInj | Pearson Correlation | .043 | 194  | 168  |
|                | Sig. (2-tailed)     | .770 | .365 | .432 |
|                | N                   | 48   | 24   | 24   |

## Correlations

|                             |                     | BetweenTime_1 | BetweenTime_2 | TimeBetweenInj |
|-----------------------------|---------------------|---------------|---------------|----------------|
| Change in VA from Start to  | Pearson Correlation | .124          | .056          | .071           |
| Year 1                      | Sig. (2-tailed)     | .381          | .789          | .614           |
|                             | N                   | 52            | 25            | 52             |
| DVA_Start_Year2             | Pearson Correlation | .179          | .100          | .174           |
|                             | Sig. (2-tailed)     | .403          | .642          | .417           |
|                             | N                   | 24            | 24            | 24             |
| DVA_Year1_Year2             | Pearson Correlation | 152           | .030          | .011           |
|                             | Sig. (2-tailed)     | .479          | .890          | .958           |
|                             | N                   | 24            | 24            | 24             |
| Change in CMT from start to | Pearson Correlation | .046          | 178           | .043           |
| Year 1                      | Sig. (2-tailed)     | .754          | .395          | .770           |
|                             | N                   | 48            | 25            | 48             |
| DCMT_Start_Year2            | Pearson Correlation | 125           | 208           | 194            |
|                             | Sig. (2-tailed)     | .560          | .329          | .365           |
|                             | N                   | 24            | 24            | 24             |
| DCMT_Year1_Year2            | Pearson Correlation | 035           | 208           | 168            |
|                             | Sig. (2-tailed)     | .869          | .329          | .432           |
|                             | N                   | 24            | 24            | 24             |

| BetweenTime_1  | Pearson Correlation | 1      | .660** | .856** |
|----------------|---------------------|--------|--------|--------|
|                | Sig. (2-tailed)     |        | .000   | .000   |
|                | N                   | 53     | 25     | 53     |
| BetweenTime_2  | Pearson Correlation | .660** | 1      | .913** |
|                | Sig. (2-tailed)     | .000   |        | .000   |
|                | N                   | 25     | 25     | 25     |
| TimeBetweenInj | Pearson Correlation | .856** | .913** | 1      |
|                | Sig. (2-tailed)     | .000   | .000   |        |
|                | N                   | 53     | 25     | 53     |

<sup>\*\*.</sup> Correlation is significant at the 0.01 level (2-tailed).

<sup>\*.</sup> Correlation is significant at the 0.05 level (2-tailed).

| Patient Study Number  1 2 3 | Follow-up Period<br>>1y | 80       |        |          | OD/OS    |
|-----------------------------|-------------------------|----------|--------|----------|----------|
| 2                           |                         | 00       | M      | I        | OS       |
| 3                           | >1y                     | 82       | F      | W        | OD       |
|                             | <b>&gt;</b> 1y          | 72       | М      | W        | OD       |
| 4                           | >1y                     | 80       | F      | W        | OD       |
| 5                           | ,                       | 79       | M      | I        | OD       |
| 6                           | >2y                     | 83       | F      | W        | OD       |
| 7                           | >1y                     | 89       | M      | W        | OD       |
| 8                           | ,                       | 90       | M      | W        | OS       |
| 9                           | ,                       | 93       | M      | W        | OD       |
|                             | >1y<br>>1y              | 72<br>86 | M      | W        | OD<br>OS |
|                             | >1y<br>>1y              | 86       | M<br>F | W        | OD       |
|                             | >1y                     | 82       | F      | V        | OS       |
|                             | >2y                     | 86       | F      | W        | OD       |
|                             | >1y                     | 76       | M      | W        | OD       |
|                             | >2y                     | 84       | F      | I        | OS       |
|                             | >2y                     | 77       | М      | W        | OS       |
|                             | >2y                     | 80       | М      | W        | OD       |
| 19                          | <b>&gt;</b> 1y          | 86       | М      | W        | OD       |
| 20                          | >1y                     | 62       | М      | W        | OD       |
| 21                          | >1y                     | 79       | M      | W        | OD       |
|                             | >1y                     | 83       | M      | W        | OD       |
|                             | >2y                     | 83       | M      | W        | OS       |
|                             | >2y                     | 83       | M      | W        | OD       |
|                             | >2Y                     | 86       | M      | <u> </u> | OD       |
|                             | >2Y<br>>1Y              | 86<br>72 | M      | 1        | OD       |
|                             | >2y                     | 84       | F<br>F | W<br>W   | OS<br>OS |
|                             | >1Y                     | 81       | M      | W        | OD       |
|                             | >1Y                     | 81       | M      | W        |          |
|                             | >2y                     | 73       | F      |          | OS       |
|                             | >1y                     | 61       | F      |          | OD       |
|                             | >2y                     | 73       | М      | W        | OS       |
|                             | >2y                     | 93       | F      | В        | OD       |
|                             | >2y                     | 75       | F      |          | OS       |
|                             | >2y                     | 77       | F      |          | OS       |
|                             | >2y                     | 76       | F      |          | OD       |
|                             | >2y                     | 73       | M      | W        | OD       |
|                             | >2y                     | 79       | M      | <u> </u> | OD       |
|                             | >2y                     | 68       | F      | W        | OS       |
|                             | >2y                     | 83       | M      | W        | OD       |
|                             | >2y                     | 84<br>84 | F<br>F | W        | OD       |
|                             | >2y                     | 76       | F      | W<br>W   | OS<br>OS |
|                             | >1y<br>>1y              | 68       | M      | W        | OS       |
|                             | >1y<br>>1y              | 86       |        |          | OD       |

| 47                     | <b>&gt;</b> 1y                                       | 75         | F                              | W                                     | OD                        |
|------------------------|--|------------|--------------------------------|---------------------------------------|---------------------------|
| 48                     | >1y  | 75         | F                              | W                                     | OS                        |
| 49                     | >1y  | 71         | F                              | W                                     | OD                        |
| 50                     | >1y  | 75         | М                              | W                                     | OS                        |
| 51                     | >1y  | 74         | М                              | I                                     | OD                        |
| 52                     | >1y  | 75         | F                              | W                                     | OS                        |
| 53                     | >1y  | 71         | F                              | В                                     | OS                        |
| Patient Study Number   | <b>Exclusion Reason</b>                              | <u>AGE</u> | <u>SEX</u>                     | RACE                                  | OD/OS                     |
|                        |  |            |                                |                                       |                           |
| 53 eyes of 48 patients | B eyes with 1y follow-up                             |            | 29 Male                        | 3 African                             | OS-Left-22                |
| , ,                    | B eyes with 1y follow-up<br>4 eyes with 2y follow-up |            |                                |                                       | OS-Left-22<br>DD-Right-31 |
| , ,                    |  |            | 4 Female                       |                                       | DD-Right-31               |
| , ,                    |  | 24         | 4 Female<br>42 (               | 8 Indian<br>Caucasian                 | DD-Right-31               |
| , ,                    |  | 24<br>Coun | 4 Female<br>42 (<br>ting Finge | 8 Indian<br>Caucasian<br>ers vision g | DD-Right-31               |

| VA Sart | CMT Start  | Date IVI-1               | VA 1 year  | CMT 1 year | VA 2 year  |
|---------|------------|--------------------------|------------|------------|------------|
| 0.1     | 268        | 11/03/2015               | 0.15       | 234        |            |
| 0.1     | 472        | 10/07/2013               | 0.05       | 774        |            |
| 0.4     | 215        | 01/07/2015               | 0.7        | 185        |            |
| 0.1     | 275        | 22/06/2011               | 0.15       | 134        |            |
| 0.3     | 348        | 08/06/2011               | 0.2        | 299        | 0.3        |
| 0.1     | 501        | 15/05/2013               | 0.2        | 358        | 0.2        |
| 0.3     | 258        | 05/10/2011               | 0.3        | 223        |            |
| 0.1     | 277<br>329 | 27/06/2012<br>10/08/2011 | 0.1        | 260<br>216 | 0.3        |
| 0.09    | 316        | 12/09/2012               | 0.3        | 216        | 0.5        |
| 0.03    | 305        | 13/05/2015               | 0.6        | 257        |            |
| 0.05    | 335        | 16/05/2012               | 0.05       | 265        |            |
| 0.3     |            | 11/01/2012               | 0.5        |            |            |
| 0.3     | 233        | 21/09/2011               | 0.5        | 209        | 0.3        |
| 0.15    | 346        | 20/04/2011               | 0.1        | 310        |            |
| 0.15    | 234        | 16/11/2011               | 1.0        | 187        | 0.4        |
| 0.5     | 297        | 20/04/2011               | 0.5        | 270        | 0.6        |
| 0.2     | 351        | 20/04/2011               | 0.6        | 247        | 0.1        |
| 0.2     | 284        | 22/06/2011               | 0.2        | 245        |            |
| 0.3     |            | 24/06/2015               | 0.3        |            |            |
| 0.3     | 224        | 16/08/2011               | 0.5        | 207        |            |
| 0.15    | 324        | 30/01/2013               | 0.05       | 207        | 0.2        |
| 0.15    | 379<br>370 | 05/10/2011<br>25/01/2012 | 0.3<br>1.0 | 314<br>177 | 0.2<br>1.0 |
| 0.05    | 340        | 27/6/2012                | 0.15       | 285        | 0.6        |
| 0.05    | 457        | 04/04/2012               | 0.5        | 296        |            |
| 0.01    | 392        | 26/11/2014               | 0.5        | 266        | 0.0        |
| 0.3     |            | 30/05/2012               | 0.15       | 205        | 0.15       |
| 0.2     | 239        | 08/08/2012               | 0.5        | 233        |            |
| 0.15    | 611        | 15/08/2012               | 0.15       | 253        |            |
| 0.1     | 274        | 03/10/2012               | 0.15       | 264        | 0.1        |
| 0.2     | 373        | 24/06/2015               | 0.3        | 355        |            |
| 0.3     | 321        | 21/11/2012               | 0.8        | 292        | 0.8        |
| 0.15    | 232        | 05/12/2012               | 0.15       | 175        | 0.3        |
| 0.15    | 342        | 28/11/2012               | 0.5        | 327        | 0.8        |
| 0.5     | 307<br>294 | 30/01/2013<br>30/01/2013 | 0.4        | 312<br>220 | 0.4        |
| 0.2     | 294        | 29/05/2013               | 0.4        | 233        | 0.2<br>0.7 |
| 0.5     | 509        | 17/07/2013               |            | 233        | 0.7        |
| 0.15    | 298        | 19/02/2014               | 0.6        | 262        | 0.8        |
| 0.1     | 348        | 29/01/2014               | 0.5        | 187        | 0.7        |
| 0.8     | 245        | 27/08/2014               | 1.0        | 222        | 0.8        |
| 0.8     | 335        | 05/03/2014               | 0.2        | 209        | 0.3        |
| 0.4     |            | 23/03/2015               | 0.7        |            |            |
| 0.1     | 508        | 29/10/2014               | 0.2        | 388        |            |
| 0.4     | 420        | 19/11/2014               | 0.9        | 184        |            |

| 0.2            | 286                | 03/12/2104 | 0.5       | 230         |           |
|----------------|--------------------|------------|-----------|-------------|-----------|
| 0.01           | 364                | 10/12/2014 | 0.4       | 274         |           |
| 0.05           | 344                | 11/02/2015 | 0.15      | 239         |           |
| 0.05           | 264                | 11/02/2015 | 0.9       | 205         |           |
| 0.05           | 305                | 01/04/2015 | 0.4       | 333         |           |
| 0.05           | 414                | 20/05/2015 | 0.15      | 379         |           |
| 0.01           |                    | 03/06/2015 | 0.1       |             |           |
| VA Sart        | CMT Start          | Date IVI-1 | VA 1 year | CMT 1 year  | VA 2 year |
| uity - Vision  | Macular Thickness  |            | VA Year 1 | CMT         | VA Year 2 |
| tart 53 Eyes   | Start - 48         |            | 53        | Year 1 - 48 | 24        |
|                |                    |            |           |             |           |
| ).01 or 6/600  | n Micrometers (um) |            |           |             |           |
| ter the vision |                    |            |           |             |           |
|                |                    |            |           |             |           |
|                |                    |            |           |             |           |

| CMT 2 year | Date IVI-2  | Date IVI-3 |
|------------|---|------------|
|            | (weeks since previous injection)4                                   | 4          |
|            | 4   | 4          |
|            | 4   | 5          |
|            | (First Highlighted value is the last Injection of the first year) 4 | 5          |
| 265        | 5   | 4          |
| 332        | 4   | 4          |
|            | 4   | 4          |
|            | 4   | 4          |
| 220        | (Second highlighted value is the last injection of year 2) 4        | 4          |
|            | 4   | 4          |
|            | 4   | 4          |
|            | 4   | 4          |
| 238        | 4   | 4          |
| 250        | 4   | 4          |
| 188        | 4   | 4          |
| 258        | 9   | 4          |
| 249        | 9   | 4          |
|            | 4   | 3          |
|            | 4   | 4          |
|            | 5   | 4          |
|            | 4   | 4          |
| 276        | 4   | 4          |
| 172        | 4   | 5          |
| 267        | 4   | 4          |
| 270        | 4   | 7          |
| 232        | 4   | <u>4</u>   |
| 232        | 4   | 4          |
|            | 4   | 4          |
| 200        | 4   | 4          |
|            | 4   | 4          |
| 270        | 4   | 4          |
| 173        | 5   | 4          |
| 349        | 4   | 4          |
| 334        | 4   | 4          |
| 205        | 4   | 4          |
| 231        | 4   | 4          |
| 306        | 4   | 4          |
| 247        | 4   | 4          |
| 189        | 4   | 4          |
| 224        | 5   | 4          |
| 204        | 6   | 4          |
|            | 4   | 3          |
|            | 4   | 4          |
|            | 4   | 4          |

|             | 4          |            | 4 |
|-------------|------------|------------|---|
|             | 4          |            | 4 |
|             | 4          |            | 4 |
|             | 4          |            | 4 |
|             | 4          |            | 4 |
|             | 4          |            | 4 |
|             | 4          |            | 4 |
| CMT 2 year  | Date IVI-2 | Date IVI-3 |   |
| CMT         |            |            |   |
| Year 2 - 24 |            |            |   |
|             |            |            |   |
|             |            |            |   |
|             |            |            |   |
|             |            |            |   |

| Date IVI-4 | Date IVI-5 | Date IVI-6 | Date IVI-7     | Date IVI-8    | Date IVI-9 |
|------------|------------|------------|----------------|---------------|------------|
| 4          | 4          | 4          | 4              | 4             | 5          |
| 4          | 5          | 9          | 4              | 4             | 4          |
| 6          | 22         | 7          |                |               |            |
| 16         | 4          | 1          | 6              | 6             | 6          |
| 16         | 4          | 4          | 6<br>4         | 6<br>4        | <b>6</b>   |
| 4          | 6          | 8          | 8              | 8             | 10         |
| 4          | 5          | 6          | 8              | 8             | 10         |
| 8          | 4          | 6          | 8              | 8             | 8          |
| 4          | 5          | 6          | 6              | 8             | 4          |
| 4          | 5          | 5          | 7              | 6             | 12         |
| 4          | 5          | 7          | 13             | 5             | 6          |
| 4          | 4          | 6          | 8              | 10            |            |
| 10         | 7          | 6<br>12    | 8              | 10<br>4       | 6<br>8     |
| 4          | 4          | 6          | 8              | 4             | 4          |
| 5          | 4          | 4          | 6              | 8             | 10         |
| 4          | 4          | 4          | 4              | 4             | 4          |
| 4          | 26         |            |                |               |            |
| 4          | 4          | 4          | 6              | 6             | 4          |
| 8          | 4          | 8          | 4              | 4             | 4          |
| 4          | 14         | 4          | -              |               | 0          |
| 3          | <u>4</u>   | 6          | 6<br>8         | 8<br><b>9</b> | 8<br>9     |
| 4          | 6          | 6          | 8              | 10            | 4          |
| 4          | 4          | 5          | 6              | 6             | 8          |
| 4          | 4          | 4          | 4              | 9             | 5          |
| 4          | 6          | 8          | 10             | 4             | 6          |
| 4          | 6          | 5          | 7              | 8             | 5          |
| 4          | 4          | 5          | 6              | 8             | 12         |
| 6          | 4          | 4          | 4              | 4             | 4          |
| 6          | 8          | 10         | 6<br><b>12</b> | 4<br>12       | 6<br>14    |
| 6          | 8          | 10         | 12             | 14            | 14         |
| 10         |            | 4          | 4              | 4             | 4          |
| 6          | 4          | 4          | 4              | 4             | 4          |
| 4          | 4          | 4          | 4              | 4             | 7          |
| 4          | 5          | 6          | 8              | 10            | 10         |
| 4          | 4          | 5          | 7              | 9             | 4          |
| 4          | 4          | 4          | 5              | 4             | 4          |
| 6          | 7          | 8          | 10             | 12            | 5<br>12    |
| 6          | 8          | 9          | 6              | 7             | 8          |
| 6          |            | 8          | 10             | ,             |            |
| 4          | 4          | 4          | 4              | 4             | 4          |
| 6          | 8          | 12         | 8              | 10            |            |

| 4          | 6          | 4          | 6          | 4          | 4          |
|------------|------------|------------|------------|------------|------------|
| 5          | 6          | 6          | 4          | 4          | 4          |
| 4          | 4          | 6          | 8          | 6          | 6          |
| 4          | 5          | 6          | 7          | 7          |            |
| 4          | 4          | 4          | 4          | 5          | 4          |
| 4          | 5          | 6          | 6          | 6          | 5          |
| 4          | 4          | 4          | 4          | 8          | 6          |
| Date IVI-4 | Date IVI-5 | Date IVI-6 | Date IVI-7 | Date IVI-8 | Date IVI-9 |
|            |            |            |            |            |            |
|            |            |            |            |            |            |
|            |            |            |            |            |            |
|            |            |            |            |            |            |
|            |            |            |            |            |            |
|            |            |            |            |            |            |

| Date IVI-10 | Date IVI-11 | Date IVI-12 | Date IVI-13 | Date IVI-14 | Date IVI-15 |
|-------------|-------------|-------------|-------------|-------------|-------------|
| 4           | 4           | 4           |             |             |             |
| 4           | 4           | 4           |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
| 4           | 6           | 7           | 8           | 8           | 4           |
| 6           | 8           | 6           | 6           | 6           | 6           |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
| 10          | 10          | 12          | 14          |             |             |
| 4           |             |             |             |             |             |
| 4           |             |             |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
| 8           | 9           | 11          | 13          | 12          |             |
|             |             |             |             |             |             |
| 5           | 7           | 7           | 9           | 4           | 6           |
| 10          | 4           | 4           | 4           | 5           | 4           |
| 4           | 8           | 10          | 10          | 11          |             |
|             |             |             |             |             |             |
| 5           | 4           | 6           |             |             |             |
| 4           | 4           | 4           |             |             |             |
|             |             |             |             |             |             |
| 8           | 9           | 12          | 14          | 4           |             |
| 12          | 12          |             |             |             |             |
| 6           | 8           | 4           | 6           | 4           | 6           |
| 10          | 4           | 6           | 8           | 4           | 6           |
| 4           | 4           | 4           |             |             |             |
| 6           | 8           | 8           | 12          | 6           | 8           |
| 6           |             |             |             |             |             |
|             |             |             |             |             |             |
| 5           | 4           | 4           | 4           | 4           | 4           |
| 6           | 4           | 4           |             |             |             |
| 16          | 16          |             |             |             |             |
|             |             |             |             |             |             |
| 4           | 5           | 6           | 8           | 8           | 10          |
| 5           | 4           | 12          | 4           | 6           | 6           |
| 4           | 6           | 6           | 8           | 10          | 11          |
| 12          | 14          |             |             |             |             |
| 6           | 8           | 4           | 4           | 4           | 4           |
| 4           | 4           | 6           | 5           | 5           | 4           |
| 4           | 5           | 4           | 4           | 5           | 6           |
| 12          | 12          |             |             |             |             |
| 10          | 12          | 12          | 12          |             |             |
|             |             |             |             |             |             |
| 4           | 4           | 4           | 4           |             |             |
|             |             |             |             |             |             |

| 6           | 8           |             |             |             |             |
|-------------|-------------|-------------|-------------|-------------|-------------|
| 4           | 8           |             |             |             |             |
| 7           |             |             |             |             |             |
|             |             |             |             |             |             |
| 4           | 11          |             |             |             |             |
| 7           | 6           |             |             |             |             |
| 4           |             |             |             |             |             |
| Date IVI-10 | Date IVI-11 | Date IVI-12 | Date IVI-13 | Date IVI-14 | Date IVI-15 |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |
|             |             |             |             |             |             |

| Date IVI-16 | Date IVI-17   | Date IVI-18 | Date IVI-19 | Date IVI-20 |
|-------------|---------------|-------------|-------------|-------------|
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
| 4           | 6             | 4           | 6           |             |
| 13          | 8             | 4           | 4           |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
| 6           | 8             | 4           |             |             |
| 9           | 4             | 6           |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
|             |               |             |             |             |
| 8           | 4             | 4           | 4           | 4           |
| 4           | 6             | 8           | 4           |             |
|             |               |             |             |             |
|             |               |             |             |             |
| 14          | 4             | 4           | 4           | 4           |
| 14          | 4             | 4           | 4           | 4           |
|             |               |             |             |             |
| 11          | 11            |             |             |             |
| 8           | 10            | 9           |             |             |
| 12          | 12            |             |             |             |
|             |               | 7           | -           |             |
| 6           | <u>6</u><br>5 |             | <b>7</b>    | 5           |
| 7           | 7             | 9           |             | ,           |
|             |               |             |             |             |
|             |               |             |             |             |
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|             |               |             |             |             |

| Date IVI-16 | Date IVI-17 | Date IVI-18 | Date IVI-19 | Date IVI-20 |
|-------------|-------------|-------------|-------------|-------------|
|             |             |             |             |             |
|             |             |             |             |             |
|             |             |             |             |             |
|             |             |             |             |             |
|             |             |             |             |             |
|             |             |             |             |             |

| Date IVI-21 | Date IVI-22 | Total number Injection Year 1 |
|-------------|-------------|-------------------------------|
|             |             | 12                            |
|             |             | 12                            |
|             |             | 6                             |
|             |             | 4                             |
|             |             | 9                             |
|             |             | 11                            |
|             |             | 9                             |
|             |             | 9                             |
|             |             |                               |
|             |             | 10                            |
|             |             | 10                            |
|             |             | 9                             |
|             |             | 9                             |
|             |             | 9                             |
|             |             | 10                            |
|             |             | 9                             |
|             |             | 11                            |
|             |             | 4                             |
|             |             | 12                            |
|             |             | 12                            |
|             |             | 6                             |
|             |             | 10                            |
|             |             | 8                             |
|             |             | 10                            |
|             |             | 10                            |
|             |             | 12<br>8                       |
|             |             | 10                            |
|             |             | 9                             |
| 4           | 4           | 13                            |
|             |             | 12                            |
|             |             | 7                             |
|             |             | 7                             |
|             |             | 12                            |
|             |             | 11                            |
|             |             | 12                            |
|             |             | 9                             |
|             |             | 10                            |
| 4           | 6           | 13                            |
|             |             | 13<br>8                       |
|             |             | 8                             |
|             |             | 7                             |
|             |             | 13                            |
|             |             | 8                             |
|             | l           | <u> </u>                      |

|             |             |                               | 11          |
|-------------|-------------|-------------------------------|-------------|
|             |             |                               | 11          |
|             |             |                               | 10          |
|             |             |                               | 8           |
|             |             |                               | 11          |
|             |             |                               | 11          |
|             |             |                               | 10          |
| Date IVI-21 | Date IVI-22 | Total number Injection Year 1 |             |
|             |             |                               | Total = 511 |
|             |             |                               | Mean = 9.64 |
|             |             |                               |             |
|             |             |                               |             |
|             |             |                               |             |
|             |             |                               |             |

|                                | <u> </u> |   | T 1 |
|--------------------------------|----------|---|-----|
| Total number Injections Year 2 |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
| 10                             |          |   |     |
| 8                              |          |   |     |
| 0                              |          |   |     |
|                                |          | - |     |
|                                |          | _ |     |
| 4                              |          |   |     |
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|                                |          |   |     |
| 5                              |          |   |     |
|                                |          |   |     |
| 8                              |          |   |     |
| 9                              |          |   |     |
| 3                              |          |   |     |
| 3                              |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
| 4                              |          |   |     |
| 3                              |          |   |     |
| 10                             |          |   |     |
| 9                              |          |   |     |
|                                |          |   |     |
| 7                              |          |   |     |
|                                |          |   |     |
|                                |          |   |     |
| 9                              |          |   |     |
|                                |          | + |     |
| 4                              |          | + |     |
| 1                              |          |   |     |
|                                |          |   |     |
| 5 7                            |          |   |     |
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| 5                              |          |   |     |
| 2                              |          |   |     |
| 9                              |          |   |     |
| 9                              |          |   |     |
| 5                              |          |   |     |
| 3                              |          |   |     |
| 5                              |          |   |     |
|                                |          | 1 |     |
|                                |          | + |     |
|                                |          |   |     |
|                                |          |   |     |

| Total number Injections Year 2 |  |  |
|--------------------------------|--|--|
| Total = 144                    |  |  |
| Mean = 6                       |  |  |
|                                |  |  |
|                                |  |  |
|                                |  |  |
|                                |  |  |