PHYTOCHEMICAL INVESTIGATION AND BIOLOGICAL STUDIES OF SOME SOUTH AFRICAN PLANT SPECIES (ASTERACEAE AND HYACINHTHACEAE)

By

FUNSHO MARY OYETUNDE-JOSHUA

2021
A thesis submitted in fulfilment of the requirements for the degree of Doctor of Philosophy in the School of Chemistry and Physics, College of Agriculture, Engineering and Science, University of KwaZulu-Natal, Westville. This thesis has been prepared according to Format 4 as outlined in the guidelines from the College of Agriculture, Engineering and Science.

Supervisor: [redacted]

Signed: [redacted] Name: Professor Roshila Moodley Date: 14/10/2021
ABSTRACT

Plants used in conventional medicine for the treatment of different ailments have been the bedrock for modern therapeutics. *Scilla nervosa* from the Hyacinthaceae family and *Helichrysum panduratum* and *Helichrysum actutaum* from the Asteraceae family are plants used in traditional medicine in South Africa. However, limited information exists on the biological potential and safety of these plants. This research aimed to phytochemically investigate these plants by isolating and characterizing their secondary metabolites and testing their biological activities.

The phytochemical investigation of *S. nervosa* yielded eleven compounds, including two novel homoisoflavonoids, two novel lanostane-type triterpenes, five known homoisoflavonoids, one stilbene and one sterol glucoside. The cytotoxicity of the homoisoflavonoids was good against the Caco-2 tumor cell line but moderate against the HepG2 cell line and the methanol extract of the leaves showed promising activity against Caco-2 and HepG2 cell lines, giving IC\textsubscript{50} values of 7.79 and 9.29 µg/mL, respectively. For homoisoflavonoids, polarity influenced activity, with the least polar compounds being more active. Likewise, saturation between the benzopyrone ring and ring C contributed to activity. The homoisoflavonoids with methoxy substituents displayed better antibacterial activity than those with hydroxy substituents but these were still lower than flavonoids. Molecular docking using MraY phospho-MurNAc-pentapeptide translocase was conducted on the bioactive homoisoflavonoids to rationalize their antibacterial activity. The results showed isolates to bind in the same active site of the substrate, with a slight difference due to the presence of the hydroxy group.

The phytochemical investigation of *H. panduratum* led to the isolation of a sterol, a sterol glucoside, three triterpenes, a phenolic glucoside, and one homoisoflavonoid, which is the first
report of this group of flavonoids from *Helichrysum* genus. The plant was shown to have moderate antibacterial activity. Screening of the quorum sensing-controlled phenotype of bioluminescence in *Vibrio harveyi* BB120 was conducted. The methanol extract of the leaves could inhibit Gram-negative N-acyl homoserine lactone-based and global crosstalk autoinducer-2-based quorum sensing. The cytotoxicity assay showed reduced activity towards Caco-2, HepG2 and the regular cell line Hek 293, making it safe for human use.

*H. acutatum* yielded three compounds, a sterol, a sterol glucoside and a cinnamic acid derivative. The plant showed no antibacterial activity and no cytotoxicity towards the normal cell line, Hek 293, making it safe for human consumption. The ethyl acetate extract of the root demonstrated good antioxidant activity, which could be attributed to the cinnamic acid derivative. The leaves of *H. panduratum* are rich in arbutin, a natural hydroquinone form, making it valuable in cosmetology.

The antibacterial potential of the isolated homoisoflavonoids could be enhanced by synthetic manipulations of the molecular framework. These modifications could also improve selectivity towards tumor cell lines. The findings from this study provide scientific evidence for the use of the plants in traditional medicine, especially *H. acutatum*, for which there are no reports on its use or biological activity.
LIST AND STRUCTURES OF ISOLATED COMPOUNDS

Compounds A1–A11 isolated from Scilla Nervosa (Burch.) Jessop:

A1 - 3-(4-Methoxybenzyl)-5,7-dimethoxychroman-4-one
A2 - 3-(4-Methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
A3 - 3-(4-Hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
A4 - 3-(4-Hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one
A5 - 3-(4-Hydroxy-3-methoxybenzyl)-5,7-dihydroxy-6-methoxychroman-4-one
A6 - 3-(4-Hydroxy-3-methoxybenzyl)-5,6,7-trihydroxychroman-4-one
A7 - 3-Benzylidinechroman-4-one
A8 - Rhapontigenin
A9 - Spinasterol-3-O-β-d-glucopyranoside
A10 - 17α,23α-Epoxy-3β,29-dihydroxy-nor-lanost-8,24-dien-26-one
A11 - 17α,23α-Epoxy-3β,28,29-trihydroxy-nor-lanost-8,24-dien-26-one

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<td>H</td>
<td>OCH₃</td>
<td>H</td>
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<tr>
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Compounds $\text{B1}–\text{B7}$ isolated from *Helichrysum panduratum* O.Hoffm.:

$\text{B1}$ - Stigmasterol
$\text{B2}$ - Stigmasterol glucoside
$\text{B3}$ - Oleanolic acid
$\text{B4}$ - Ursolic acid
$\text{B5}$ - 3-Acetyl ursolic acid
$\text{B6}$ - 3-(4-Methoxybenzyl)-5,7-dimethoxycroman-one
$\text{B7}$ - $\alpha$-Arbutin
Compounds C1–C3 isolated from *Helichrysum acutatum* DC:

C1 - Stigmasterol
C2 - Stigmasterol glucoside
C3 - Caffeic acid

C1: R=H
C2: R= Glucoside
### LIST OF ABBREVIATIONS

<table>
<thead>
<tr>
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<tr>
<td>$^{13}$C-NMR</td>
<td>C-13 nuclear magnetic resonance spectroscopy</td>
</tr>
<tr>
<td>$^1$H-NMR</td>
<td>proton nuclear magnetic resonance spectroscopy</td>
</tr>
<tr>
<td>ATP</td>
<td>adenosine triphosphate</td>
</tr>
<tr>
<td>Caco-2</td>
<td>colorectal adenocarcinoma cells</td>
</tr>
<tr>
<td>CCM</td>
<td>complete culture media</td>
</tr>
<tr>
<td>COSY</td>
<td>correlated spectroscopy</td>
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<td>d</td>
<td>doublet</td>
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<td>dd</td>
<td>double doublet</td>
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<tr>
<td>DEPT</td>
<td>distortionless enhancement by polarization transfer</td>
</tr>
<tr>
<td>DMEM</td>
<td>Dulbecco’s modified eagle medium</td>
</tr>
<tr>
<td>DPPH</td>
<td>2,2-diphenyl-1-picrylhydrazyl</td>
</tr>
<tr>
<td>FRAP</td>
<td>ferric reducing antioxidant potential</td>
</tr>
<tr>
<td>FTIR</td>
<td>Fourier-transform infrared</td>
</tr>
<tr>
<td>Hek 293</td>
<td>human embryonic kidney cell</td>
</tr>
<tr>
<td>HepG2</td>
<td>liver hepatocellular carcinoma cell</td>
</tr>
<tr>
<td>HMBC</td>
<td>heteronuclear multiple bond coherence</td>
</tr>
<tr>
<td>HRMS</td>
<td>high resolution mass spectrometry</td>
</tr>
<tr>
<td><strong>HSQC</strong></td>
<td>heteronuclear single quantum coherence</td>
</tr>
<tr>
<td><strong>IR</strong></td>
<td>infrared</td>
</tr>
<tr>
<td><strong>LDH</strong></td>
<td>lactate dehydrogenase</td>
</tr>
<tr>
<td><strong>m</strong></td>
<td>multiplet</td>
</tr>
<tr>
<td><strong>MMP</strong></td>
<td>mitochondrial membrane potential</td>
</tr>
<tr>
<td><strong>MTT</strong></td>
<td>3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide</td>
</tr>
<tr>
<td><strong>NOESY</strong></td>
<td>nuclear overhauser effect spectroscopy</td>
</tr>
<tr>
<td><strong>PBS</strong></td>
<td>phosphate buffered saline</td>
</tr>
<tr>
<td><strong>s</strong></td>
<td>singlet</td>
</tr>
<tr>
<td><strong>TLC</strong></td>
<td>thin layer chromatography</td>
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DECLARATIONS

Declaration 1: Plagiarism

I, Funsho Mary Oyetunde-Joshua, declare that:

1. The research reported in this thesis is my original research.
2. The work described in this thesis has not been submitted to UKZN or other tertiary institution for purposes of obtaining an academic qualification, whether by myself or any other party.
3. This thesis does not contain other persons’ data, pictures, graphs or other information, unless specifically acknowledged as being sourced from other persons.
4. This thesis does not contain other persons' writing, unless specifically acknowledged as being sourced from other researchers. Where other written sources have been quoted, then:
   a. Their words have been re-written, but the general information attributed to them has been referenced.
   b. Where their exact words have been used, then their writing has been placed in italics and inside quotation marks and referenced.
5. This thesis does not contain text, graphics or tables copied and pasted from the Internet, unless specifically acknowledged, and the source being detailed in the thesis and in the References sections.

Signed

Funsho Mary Oyetunde-Joshua
Declaration 2: Publications and Conferences

Publication 1
Title: Phytochemical, antibacterial and docking studies of *Scilla nervosa* (Burch.) Jessop Authors: Funsho Mary Oyetunde-Joshua and Roshila Moodley
Journal: Manuscript prepared for submission to Journal of Ethnopharmacology.

Publication 2
Title: Evaluation of the cytotoxic potential of homoisoflavonoids and extracts from *Scilla nervosa* (Burch.) Jessop Authors: Funsho Mary Oyetunde-Joshua and Roshila Moodley
Journal: Manuscript prepared for submission to Anticancer Agents in Medicinal Chemistry.

Publication 3
Title: Phytochemical, antibacterial and cytotoxicity studies of *Helichrysum panduratum* O.Hoffm. Authors: Funsho Mary Oyetunde-Joshua and Roshila Moodley
Journal: Manuscript prepared for submission to Scientific Reports.

Publication 4
Title: Phytochemical and biological studies of *Helichrysum acutatum* DC. Authors: Funsho Mary Oyetunde-Joshua and Roshila Moodley.

Poster: Phytochemical analysis of *Helichrysum panduratum* by Funsho Mary Oyetunde-Joshua and Roshila Moodley.

In all the publications, I have performed all the experimental work and written the manuscripts. The co-authors were involved in discussion of the results and were responsible for verifying the scientific content and accuracy of the results as well as editing the manuscripts.

Signed: Funsho Mary Oyetunde-Joshua
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CHAPTER ONE

1.1 INTRODUCTION

Nature is a vast reserve of many biologically relevant products derived from various sources, including terrestrial plants, microorganisms, vertebrates and invertebrates, and marine organisms (Newman et al., 2000). The chemical entities from natural sources provided the foundation for early medicines used in treating and preventing diseases, and they still serve as lead molecules for drug discovery programs. Additionally, natural products are consistently being used as supplements (nutritional and medicinal), flavoring agents, pesticides, and cosmetics (Kunin and Lawton, 1996; Pleroni et al., 2004).

The use of plants in traditional medicine dates back to the Paleolithic age (Solecki et al., 1975), and currently, many ethnic groups still depend on plants as their primary source of medicine. It has been reported that, between 1981-2000, natural product-derived drugs constituted 28% of all new chemical entities (NCEs) (Newman et al., 2003), with many of these drugs being developed from plant sources, including morphine (Benyhe et al., 1994), artemisinin (Klayman, 1985), paclitaxel (Wani et al., 1971), and camptothecin (Wall et al., 1966).

Furthermore, empirical evidence suggests that nature is the primary source for new structural templates and effective drug development. The World Health Organization (WHO) estimated that about 80% of 400 million of the world's population depends on plant-derived traditional medicine for their primary health care as of 1985 (Fransworth et al., 1985). For instance, it was estimated that about 80% of the black population in South Africa still consults traditional healers to treat their ailments (Jager et al., 1996). This phenomenon can be attributed to the rich diversity of the country's indigenous flora, which houses about 30 000 plant species that makeup 10% of the world's higher plant species, of which approximately 3 000 are used
medicinally (Van Wyk and Gerricke, 2000; Louw et al., 2002). Due to the considerable use of plants in traditional medicine, there is a high potential for discovering novel compounds (Van Wyk et al., 1997).

Phytochemistry plays an essential role in the study of plants used in traditional medicine as it provides a rationale for the use of plants in the treatment and management of diseases (Efferth et al., 2007; Folashade et al., 2012). Advancements in natural product research have also enabled discovering an array of secondary metabolites from terrestrial and marine sources. Secondary metabolites are not intrinsically essential for plants' growth but perform certain physiological functions such as defense against predators. These secondary metabolites have pharmacological significance and are used as is or are synthetically modified to produce drugs with enhanced activities (Efferth et al., 2007).

1.2 RATIONALE FOR THE RESEARCH

Since the isolation of the first alkaloid, morphine, from the poppy plant in 1802 to the more recently approved drugs derived from plants, higher plants have been recognized as an invaluable source of pharmaceutical products. The search for cost-effective therapeutics with minimal side effects for the management of life-threatening diseases such as cancer, malaria, and infectious diseases is gaining momentum. In this regard, secondary metabolites from plants have been recruited as leads in drug discovery. Advancements in science and technology have also increased the understanding of the chemical constituents and toxicity profiles of the different plant species used in traditional medicine. Consequently, the discovery of structurally diverse pharmacophores has led to advances in treating and mitigating some life-threatening diseases.
This study focused on three South African medicinal plants from two families, *Helichrysum acutatum* and *Helichrysum panduratum* from Asteraceae and *Scilla nervosa* from Hyacinthaceae. A phytochemical study of the root of *H. acutatum* has been conducted by Bohlmann and Abraham (1979), while Heyman (2009) presented antifungal and antibacterial findings. However, the antioxidant and toxicity profiling to justify the plants use and safety in traditional medicine remains unexplored. *H. panduratum* was studied by Chapman and Hall (2009), and a thiophene derivative known as helipandurin was the only compound isolated from the plant. The leaves and stems need further exploration to isolate and identify other secondary metabolites that may be present. Extensive work has been done on *Scilla nervosa*, and several homoisoflavanones and stilbenoids have been isolated. However, there is a dearth of information on its cytotoxicity towards tumor and normal cell lines. Previous work evaluated the cytotoxic effect of the aqueous extract on HepG2 (hepatocellular carcinoma) cell lines (Pillay et al., 2013), however, the cytotoxic effect of the isolates and organic extracts on Caco-2 (human colorectal cancer cell), HepG2 (hepatocellular carcinoma tumor cell) and Hek-293 (normal human epithelial kidney cell) cell lines, which are the primary organs the plant will have contact with when taken orally are yet to be established. In addition, it has been hypothesized that greater efficacy would be achieved by the extracts from *S. nervosa* and medicinal plants, in general, than the individual biomolecules. This assumed that the phytocompounds present in the extracts would reciprocally potentiate the activity of the individual biomolecules (du Toit, 2011; van Vuuren, 2008)

### 1.3 AIMS AND OBJECTIVES

The primary aim of this study was to investigate the three South African medicinal plants, namely *Scilla nervosa*, *Helichrysum acutatum*, and *Helichrysum panduratum* to validate their
use in ethnomedicine and to determine their biological activities, especially the cytotoxicity of the extracts and isolates.

The specific research objectives were:

- To extract, isolate and identify the secondary metabolites from each plant using spectroscopic techniques (nuclear magnetic resonance spectroscopy (NMR), Fourier-transform infrared spectroscopy (FTIR), and high-resolution mass spectroscopy (HRMS),

- To evaluate the isolated compounds and crude extracts for their antioxidant, and antibacterial activities, and to determine for synergistic effects,

- To determine the cytotoxic activities using different assays such as ATP to evaluate intracellular energy levels, LDH release to evaluate plasma integrity, and mitochondrial membrane potential to evaluate the release of cytosol C to initiate apoptotic death.

1.4 STRUCTURE OF THE THESIS

The findings reported in this thesis are as follows: Chapter 3 describes the extraction, isolation, and identification of secondary metabolites from the bulbs and leaves of S. nervosa, together with an evaluation of their antibacterial activity and molecular docking.

Chapter 4 reports on the anticancer potential of isolated homoisoflavonoids and crude extracts from S. nervosa.

Chapter 5 describes the extraction, isolation, and identification of secondary metabolites from the stem and leaves of H. panduratum, followed by evaluating the antibacterial and cytotoxic activity of the compounds and extracts.
Chapter 6 describes the extraction, isolation, and identification of the bioactive constituents from the roots of *H. acutatum*, and subsequent determination of their antioxidant, antibacterial, and cytotoxic activity.

A concise summary of the findings and conclusions for the study are presented in chapter 7.

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CHAPTER TWO

LITERATURE REVIEW

2.1 NATURAL PRODUCTS AS THERAPEUTIC AGENTS

Humans have always depended on nature to care for their basic needs such as food, shelter and clothing. Nature presents us with an assembly of different types of chemical entities from diverse sources. Natural products are the chemical substances produced by the living organisms found in nature, also defined as small molecules produced by a biological source (Nature, 2007). They have served as a foundation for new inventions in drug discovery; it has also been indicated that most of the drugs in the market have their origin from nature (Chin et al., 2006, Newman et al., 2003). Natural product research focuses on the chemical properties, biosynthesis, and biological functions of secondary metabolites (Nature, 2007).

The discovery of therapeutic agents from natural sources started with the isolation of morphine from poppy plants (Papaver somniferum) in 1804 (Lockemann, 1951). Thereafter, bioactive compounds have been isolated from medicinal plants such as cinchona (quinine). Research for therapeutic agents expanded after the second world war to include the screening of microorganisms for new antibacterial agents, which led to the discovery of penicillin (Li and Vederas, 2009). While the evolution of drug resistance in clinically essential infections has limited the use of many natural antibiotics, their discovery and commercialization laid the scientific and financial foundation of the modern pharmaceutical industry (Beutler, 2009).

The study of natural products made it possible to scientifically establish the safety and efficacy of plants used in traditional medicine in the diagnosis, treatment, and prevention of diseases and validate their therapeutic properties and constituents. Chemical, pharmacological, and clinical studies of natural products have led to the discovery of drugs, and also provided leads
for semi or total synthesis of drugs to treat life-threatening diseases. Natural products have been used as a starting point for drug discovery, followed by a synthetic modification to improve efficacy, minimize side effects, and increase bioavailability. Natural products include a large and diverse group of substances, including extracts and isolated compounds. The natural sources include marine organisms, fungi, bacteria, and higher plants. However, drug discovery from natural products has received less attention in the 21st Century attributed to cost, intellectual property, compositional variation due to seasons or soil types, loss of sources due to high extinction rates, access, and supply (Li and Vederas, 2009). Others are the complexity of the structures of isolated compounds (Beutler, 2009), and lab-intensive and time-consuming extraction and isolation processes. However, the advent of automated and rapid techniques has helped overcome these challenges.

It was reported by Newman and Cragg (2012) that the drugs developed between 1981 and 2002 were natural product-derived and comprised 28% of all the new chemical entities (NCE) launched into the market. Computational chemistry, sequencing of the human genome and high throughput screening (HTS) has resulted in the decline of research in this field; nevertheless, natural products still serve as drug leads. The advantages of natural products over drugs are that they have high structural diversity and unique pharmacological or biological activities and synergy, due to the natural selection and evolutionary processes that have shaped their utility over hundreds of thousands of years. Also, natural products introduce novel molecular skeletons and functionalities that humans have not previously conceived, such as ivermectin, mitomycin, bleomycin and espiramicin (Beutler, 2009).
2.2 TRADITIONAL MEDICINE IN SOUTH AFRICA

Traditional medicine has played an essential role in the primary health care of South Africans, with about 80% of the black population reported to have consulted traditional healers for their health care needs (Jager et al., 1996). Traditional healers are more easily accessible for a more significant part of the population due to the high cost of medical services; hence profiling and proper documentation of the safety and use of these medicinal plants is essential (Mahomoodally, 2013). South Africa is home to about 30,000 flowering plants, and it is estimated that about 700,000 tons of plant materials are consumed annually (Street and Prinsloo, 2013). With the sizeable botanical diversity, only a few plants have been commercialized into medicinal products.

Plants indigenous to South Africa used in traditional medicines include *Agathosma betulina* (buchu), used internally for digestive issues and externally for wounds, *Aloe ferox*, used as a laxative, *Artemisia afra* (African wormwood), used to treat fevers and stomach-ache, *Aspalathus linearis* (rooibos tea), consumed as a tea for its antioxidant and anti-aging properties, *Eucomis autumnalis*, used as an enema for lower back pains and healing of fractures, and *Hypoxis hemerocallidea* (African potato), used to treat benign prostate hyperplasia (Van Wyk, 2011).

Traditional medicine, as opposed to evidence-based medicine, was not recognized during the colonial times in South Africa because of the belief that diagnosis is often by spiritism or mediums since it was not scientific-based. Reports showed that in sub-Saharan Africa, the ratio of traditional healers to the population is approximately 1:500, while western-trained medical doctors present a 1:40 000 ratio (Semenya et al., 2012). The large population of people using traditional medicine has made the World Health Organization (WHO) encourage promoting
and integrating conventional medical practices into the primary health care system (Mothibe and Sibanda, 2019).

Despite the extensive use of plants in African traditional medicine, there is no proper documentation of these plants compared to other countries such as China and India. Most of the knowledge that exists is fragmented and has been passed down from generation to generation. Cock and Van Vuuren (2020) reported that the antibacterial activity of most South Africa plant species is yet to be verified. For example, a study on the ethnobotanical knowledge of herbal medicine used by Bapedi healers in Limpopo for reproductive ailments showed that, of the thirty-six plants evaluated, most were not scientifically validated for their ethnomedicinal use (Semenya et al., 2012). Therefore, there is need to analyze plants used in traditional medicine to evaluate their health-promoting potential and their interaction with known conventional drugs. In addition, these findings need to be appropriately documented.

2.3 PLANTS AS A SOURCE OF THERAPEUTICS

The birth of drug discovery is closely connected to the study of natural products from plants as was seen with morphine over 200 years ago; a discovery that initiated an era whereby plant extracts could be purified, and pure compounds isolated, elucidated, and formulated into drugs (Yuan et al., 2016). Plants have served as food, spices, flavoring agents, ornaments, and medicines for man since antiquity; they have a long history of therapeutics in the traditional medicine system, with the earliest documentation dating around 2900-2600BCE (Borchardt, 2002). From as early as the 18th century, bioactive constituents of plants have been isolated and used for medical treatments. The use of the Cinchona bark was long used to treat malaria before chemical investigation led to the isolation of quinine. Artemisinin, a sesquiterpene lactone from the Chinese plant Artemisia annua for treating chloroquine-resistant malaria, is one of the
breakthroughs recorded in plant research. Recently, it was reported that artemisinin could be used in the treatment of covid-19. Taxol, used to treat solid tumors, is another isolate from the bark of the pacific yew (*Taxus brevifolia*). Aspirin, one of the most used analgesics by humans, is a derivative of the naturally occurring salicin isolated from willows (*Salix* spp.).

Pharmaceutical companies abandoned natural product research in the 1970s, but this was reversed when cancer chemotherapeutics such as vinblastine, Taxol and etoposide were discovered. Additionally, the inability of synthetic and computational chemists to design structural diversity compounds such as those isolated from natural sources has revived natural product research (Houghton, 1995).

### 2.4 SECONDARY METABOLITES

Plants produce primary and secondary metabolites. Primary metabolites are used for a cell’s intrinsic functions such as reproduction and growth. The biosynthesis of secondary metabolites is from the fundamental processes of photosynthesis, glycolysis, and the Krebs cycle to afford biosynthetic intermediates, which, ultimately, results in their formation (Dias et al., 2012). Secondary metabolites are not essential for the plants growth but have an extrinsic function such as to deter predation and ensure the plants survival in its ecosystem (Zahner, 1979). Plants contain secondary metabolites that may act individually, additively, or in synergy to improve its survival. These secondary metabolites have been perceived to show more drug-likeness and biological friendliness than totally synthetic molecules, making them good candidates for further drug development. Secondary metabolites from nature can be active compounds in prescription and non-prescription drugs, cosmetics, dietary supplements, and natural health products.
2.4.1 Extraction, Purification and Identification

All secondary metabolites exist as complex mixtures in the natural source, from which they have to be obtained. The initial step in natural product research is extraction that is a method of removing the molecules of the plant by using appropriate solvents and extraction methods. Extraction methods include maceration, infusion, decoction, percolation, digestion, and use of Soxhlet, ultrasound, and microwave (Abubakar and Haque, 2020) (Figure 2.1). Extraction is of two types; the first is the conventional method that requires a large volume of solvent and is time-consuming. The second is a greener technique that involves using costly equipment, high temperatures or pressures, and short extracting times (Njila et al., 2017). Grinding or crushing plant material helps create a large surface area for extraction. Different solvents are employed in the extraction process and the choice of solvent depends on the polarity and solubility of the target metabolites (Jones and Kinghorn, 2012). Multiple solvents are generally used sequentially, starting from the least polar to the most polar solvent. A solute will extract into a solvent of similar polarity; this principle is employed in the use of different solvents in extraction.

Figure 2.1 Different extraction methods.
Chromatographic techniques are usually used to separate and purify secondary metabolites from the crude extracts, with column chromatography being the primary separation method (Altemimi et al., 2017). The principle of separation in column chromatography (solid-liquid chromatography) is based on the adsorption affinities of the molecules for either the mobile or stationary phases (Figure 2.2). Selecting an appropriate stationary phase (adsorbent) and mobile phase (eluent) is crucial in achieving well resolved bands (individual molecules) and good separation. Silica gel, a polar adsorbent, is commonly used in column chromatography to separate crude extracts from plant sources; thus, polar substances are retained more in silica gel than non-polar substances. The polarity of the adsorbent also determines the polarity of the mobile phase. For silica gel, the mobile phase employed during chromatography is more non-polar since the adsorbent is polar (normal phase). For a non-polar adsorbent, an opposite mobile phase will be used (reversed-phase). Thin-layer chromatography (TLC) is used for visualization of eluted molecule identification. TLC has the advantage of showing which group of secondary metabolites is present by using the suitable spray reagent.

Figure 2.2 The principal of separation in column chromatography.
A wide range of spectroscopic techniques such as nuclear magnetic resonance spectroscopy (NMR), ultraviolet-visible spectroscopy (UV-Vis), infrared spectroscopy (IR), and mass spectrometry (MS) can be used for identification of isolated molecules.

2.4.2 Classes of Secondary metabolites in this study

*Homoisoflavonoid*

The term homoisoflavonoids was first used by Bohler and Tamm to refer to a group of secondary metabolites first isolated from *Eucomis autumnalis*. The secondary metabolites were eucomin and eucomol (Figure 2.3) (Castelli and Lopez, 2017).

![Figure 2.3 Structures of eucomin and eucomol, the first homoisoflavonoids isolated from E. bicolor.](image)

Homoisoflavonoids are a subclass of flavonoids; they differ from other flavonoids (Figure 2.4) with a carbon linking the benzopyrone ring to ring C. They are limited in their occurrence in nature and have been reported to be biosynthesized mainly by the Fabaceae and Asparagaceae family, and are less common in Polygonaceae, Portulacaceae, Orchidaceae, and Gentianaceae families (Lin et al., 2014).

![Figure 2.4 General structure of a flavonoid and homoisoflavonoid.](image)

Structurally, homoisoflavonoids are classified into five groups (Figure 2.5). Among the 240 reported naturally occurring homoisoflavonoids, the sappanin-type accounts for 190. They are
characterized with a 3-benzyl chromane skeleton and can be further divided into 3-benzyl-4-chromanones (Figure 2.6A), the 3-hydroxy-3-benzyl-4-chromanones (Figure 2.6B), the 3-benzylidene-4-chromanones (E or Z) (Figure 2.6C) and the 3-benzyl-chrom-2-en-4-ones (Figure 2.6D) (Mulholland et al., 2013). The Hyacinthaceae are known to biosynthesize the sappanin-type homoisoflavonoids. Homoisoflavanones exhibit a vast range of bioactivities such as antibacterial, anti-cancer, antioxidant, anti-inflammatory, and anti-mutagenic activities (El-Elimat et al., 2018; Li et al., 2012; Schwikkard et al., 2018; Machala et al., 2001).

![Figure 2.5 Carbon skeletons of homoisoflavonoids.](image)

![Figure 2.6 Four groups with the 3-benzyl chromane skeleton: (A) 3-benzyl-4-chromanones, (B) 3-hydroxy-3-benzyl-4-chromanones, (C) 3-benzylidene-4-chromanones and (D) 3-benzyl-chrom-2-en-4-ones.](image)
Biosynthetic pathway of homoiso flavonoids

The labeling pattern from feeding experiments indicated that eucomin was biosynthesized by the addition of a carbon atom derived from methionine onto a C15 chalcone-type carbon skeleton (Dewick, 1975). The first step in the biosynthesis of homoiso flavonoids involves forming a chalcone, which is a direct precursor of homoiso flavonoids (Figure 2.7).

Figure 2.7 Scheme showing proposed biosynthetic routes of sappanin-type homoiso flavonoids, starting from 2'-methoxy chalcones (Castelli and Lopez, 2017).

The 2-methoxy group undergoes oxidation and then cyclization to produce three basic types of homoiso flavonoids. A loss of a proton from the cyclization product produces 3-benzalchroman-4-one, while the addition of a hydride ion will produce 3-benzylchroman-4-one. Hydration or oxidation at the C-3 position of a 3-benzylchroman-4-one leads to a 3-
benzyl-3-hydroxychroman-4-one (Dewick, 1975; Moodley, 2001). The feeding experiment established that eucomin and other compounds containing the 3-benzylchroman-4-one skeleton are biosynthesized by modifying the C₆-C₃-C₆ chalcone skeleton with an extra carbon atom (Dewick, 1975).

**Triterpenes**

Triterpenes are one of the largest secondary metabolites, with more than 100 different scaffolds described as natural products (Domingo et al., 2008). They are metabolites of isopentenyl pyrophosphate and are found in all parts of higher plants; they are also found in mosses, liverworts, algae, and lichens. Triterpenes and sterols are synthesized via the mevalonate pathway. The biogenetic pathway of triterpenes and sterols starts with the cyclization and rearrangement of the acyclic precursor squalene and 2,3-oxidosqualene, catalyzed by enzymes known as oxidosqualene cyclases. Animals and fungi have one oxidosqualene cyclase and lanosterol synthase for sterol biosynthesis, while plants have oxidosqualene cyclases for both sterols and triterpenes biosynthesis. The oxidosqualene cyclases enable the various skeletal variations of triterpenes in plants (Sawai and Saito, 2011). In sterols, 2,3-oxidosqualene is cyclized to lanosterol or cycloartenol via the chair-boat-chair (CBC) conformation (Figure 2.8).

In triterpenes, the substrate assumes a different conformation (CCC, chair-chair-chair) before cyclization into different types of triterpenes. Triterpene cyclization can lead to different triterpene structures, all derived from 2,3-oxidosqualene (Thimmappa et al., 2014; Philips et al., 2006).
Figure 2.8 The biogenetic pathway of sterols showing the cyclization and rearrangement of the acyclic precursor, 2,3-oxidosqualene, catalyzed oxidosqualene cyclases.

Nortriterpenoids are derived from lanostane-type triterpenoids by degradation of the side chain. Spirocyclic nortriterpenoids have been isolated from the Hyacinthaceae family (Ziegler et al., 1976; Moodley et al., 2004). The first report of a nortriterpene was eucosterol from *Eucomis bicolor* (Sidwell et al., 1975). Eucosterols have a spiro-fused furanoic system with an ether linkage between C-17 and C-23 that forms the heterocyclic ring (Figure 2.9), which are common in the diterpenes and sesterterpenes such as ophiobolin A. Eucosterols are interesting triterpenes with their missing side chain carbon atom that represents biosynthetically uncommon nortriterpenes. The $^1$H NMR of eucosterol-type triterpenes have the following characteristic peaks; a pair of doublets at $\delta_H$ 3.30-3.50 and $\delta_H$ 4.0-4.20 for the methylene protons at the CH$_2$OH of C-29, a multiplet at $\delta_H$ 3.40-3.60 for the proton at C-3, a triplet at $\delta_H$ 4.64-4.80 for the methine proton at C-23 and six methyl resonances between $\delta_H$ 0.90-1.5. The $^{13}$C NMR spectrum shows resonance for the fully substituted C-O of C-17 at $\delta_C$ 91-100, two substituted alkene carbons at $\delta_C$ 133.0-135.2 and $\delta_C$ 133.1-137.0 for C-8 and C-9 and a carbonyl. The structure of eucosterol showed an ether linkage between C-17 and C-23, forming a heterocyclic ring.
2.5 THE GENUS HELICHRYSUM

2.5.1 Background of the genus Helichrysum

The genus Helichrysum belongs to the Asteraceae family, tribe Inulea and subtribe Gnaphaliimas (Hilliard, 1983), known as "imphepho" in isiXhosa and everlasting in English. The name was derived from the Greek word "helios," meaning sun and "chrysum" meaning gold; this is appropriate considering the yellow flowers displayed by several species belonging to the genus (Pooley, 2003). The genus consists of an estimated 600 species globally; it has been reported as the largest genera in the Asteraceae (Bayer et al., 2000), with about 246 indigenous to South Africa (Afolayan and Meyer, 1997). Other species have been found in Madagascar, Australia, southwest Asia and Europe (Hilliard, 1983). It was also reported that most traditional medicine used by the locals had been derived from plants belonging to the Asteraceae family (Leng et al.).

Hilliard (1983) classified the South African Helichrysum species into 30 morphological groups due to the tremendous morphological diversity displayed by these species. The shape and size of the flower heads were a characteristic used in不同iating these species. The plants may be annuals, herbaceous, perennials or shrubs, growing to a height of 60-90 cm that are primarily distributed in or along forest margins of woodlands, drier regions, rocky outcrops or open grasslands.

Figure 2.9 Structure of eucosterol.
2.5.2. Ethnobotany and biological activities of the genus Helichrysum

*Helichrysum* species treat infection-related diseases, from skin infections to sexually transmitted and respiratory infections (Hutchings et al. 1996; Afolayan and Meyer, 1997). Some of the species have demonstrated good *in vitro* antimicrobial, antioxidant, mutagenic and cytogenic activity (Eroğlu et al., 2009; Yagura et al., 2008; Aiyegoro and Okoh, 2009; Süzgeç-Selçuk and Birteksöz, 2011). In southern Africa, *Helichrysum* species are used mainly to treat wounds, infections, and respiratory conditions such as circumcision wounds that are wrapped with crushed leaves of *Helichrysum pedunculatum*, *Helichrysum appendiculatum* or *Helichrysum longifolium* (Van Wyk et al., 1997). The Sotho people inhale smoke from the burnt plant of *Helichrysum caespititium* to treat headaches and chest colds (Van Wyk et al., 1997). *Helichrysum psilolepis* is used to treat menstrual cramps and *Helichrysum cooperi* is used as an aphrodisiac (Hutchings *et al.* 1996; Watt & Breyer-Brandwijk, 1962). Essential oils from the Mediterranean *Helichrysum italicum* are used for their antimicrobial activity (Bougatsos et al., 2004).

*Helichrysum caespititium*, used by traditional healers to treat gonorrhea, was tested against four gonorrhea strains, which showed good or better activity than gentamicin and amoxicillin (Mamabolo et al. 2018). Seven *Helichrysum* species exhibited MIC values lower than 0.1 mg/mL against *Bacillus cereus* and *Staphylococcus aureus* (Lourens et al., 2011). *Helichrysum zivojinii* showed dose-dependent cytotoxicity against selected cancer cell lines and induced apoptosis in HeLa cells through the activation of the intrinsic and extrinsic pathways (Matić *et al.*, 2013). *Helichrysum arenarium* demonstrated synergism with the standard drug ciprofloxacin against *S. aureus*, *S. pneumoniae* and *M. catarrhalis* (Adina *et al.*, 2014).
2.5.3 Phytochemistry of the genus *Helichrysum*

Plants from the genus *Helichrysum* have different biosynthetic routes and secondary metabolites, including flavonoids, chalcones, phloroglucinol, pyrones, triterpenoids, diterpenoids and sesquiterpenes (Jakupovic et al., 1989). Ten phloroglucinols and one triterpene was isolated from methanolic extract of the aerial parts of *Helichrysum niveum* (Popoola et al., 2015). Three novel flavonoids were isolated from the aerial parts of *Helichrysum forskahlii* (Al-Rehaily et al., 2008). Chalcones, flavonoids and flavonoid glycosides were isolated from the methanol leaf extracts of *Helichrysum foetidum* and *Helichrysum mechowianum* (Malolo et al., 2015). A known flavonoid and acylphloroglucinol were isolated from the DCM extract of the flowers of *Helichrysum gymnocomum* (Drewes and van Vuuren, 2008). Two new pyrone derivatives were isolated from the aerial parts of *Helichrysum italicum* (Werner et al., 2018). Three unusual amino-phloroglucinols were isolated from *Helichrysum italicum* (D'Abrosca et al., 2016). Galangin was isolated from the aerial parts of *H. aureonitens* and apigenin was isolated from *H. graveolens* (Afolayan and Meyer, 1997; Suntar et al., 2013).

2.5.4 *Helichrysum acutatum* DC

*Helichrysum acutatum* (Figure 2.10) is in group 21 according to Hillard (1983) classification. It grows in grasslands and can be found in KwaZulu Natal, Swaziland, Mpumalanga, and the northern parts of the Limpopo. The plant's root is widely sold in the muthi market, but no documented specific use is recorded in the literature. Bohlmann and Abraham investigated the roots and aerial parts of the plant. The roots gave a thiophene derivative (Figure 2.11), dimethyl ether and pinocembrin, while the aerial parts gave a chalcone (Figure 2.11) and diterpenes.
Figure 2.10 Picture of *Helichrysum acutatum*.

Figure 2.11 Compounds previously isolated from the aerial parts and roots of *H. acutatum*. A thiophene derivative (1) and chalcone (2).

2.5.5 *Helichrysum panduratum* var. *panduratum* O. Hoffm

*H. panduratum* falls in group 18 (Figure 2.12). It is a soft-wooded sub-shrub with loose stems. The leaves are panduriform, sessile, base thinly grey woolly above, and densely below. It can be found in the Eastern Cape and KwaZulu-Natal. Common names are *H. auriculatum* Less. var. *panduratum* Harv. (Hilliard, 1983). A decoction from the leaves is used to treat febrile convulsions in children, while the plant sap is used to treat malaria used to make herbal tea (Lourens et al., 2008). Helipandurin (Figure 2.13), a thio-derivative, is the only compound that has been isolated from the plant (Chapman and Hall, 2009).
2.6 THE GENUS SCILLA

The genus *Scilla* has recently been classified under the Asparagaceae family and subfamily Scilloideae. Prior to that *Scilla* was placed in the Hyacinthaceae family (Liliaceae). *Scilla* is a large genus with most species found in Europe, North Africa and western to central Africa. There are also species from tropical Africa, India, and South Africa. Some are winter growing, and some are summer growing. There are six *Scilla* species in southern Africa; *S. plumbea, S. nervosa, S. natalensis, S. dracomontana, S. kraussi* and *S. firmifolia* (Du Plessis and Duncan, 1989). Other plants in this genus that are not indigenous to southern Africa are *S. maritima, S. scilloides, S. indica* and *S. peruviana* (Du Plessis and Duncan, 1989). The taxonomy adopted here is based on the family and subfamily arrangements for Hyacinthaceae by *sensu* APGII. A subsequent arrangement based on molecular analyses whereby
Hyacinthaceae is included under the Asparagaceae (APGIII) family was not used because most work cited in our study was done with the old taxonomy.

2.6.1 Ethnobotany and biological activities of the genus Scilla

Only *S. nervosa* (*Schizocarphus nervosus* (Burch.) van der Merwe) and *S. natalensis* of the six *Scilla* species have their traditional uses documented (Bangani, 1999). *S. nervosa* bulbs are used in Zulu traditional medicine to treat dysentery and relieve nervous conditions in children, while in Botswana, it is used to treat infection and infertility (Bangani, 1999). Decoctions from *S. natalensis* are administered for rheumatic fever, menstrual cramps and to facilitate labor in women ((Gerstner, 1941; Hutchings, 1989; Hutchings, 1996). Toxicity in sheep has been reported for *S. natalensis* (Watt and Breyer-Brandwijk, 1962). The bulbs of *S. natalensis* are sold at the muthi market to treat gastrointestinal ailments, including stomach aches, constipation, intestinal worms, diarrhea, dysentery, nausea, and indigestion (Hutchings, 1989; van Wyk et al., 1997). Decoctions are also taken as enemas for female fertility and enhance male potency and libido (van Wyk et al., 1997). In Botswana, *Scilla* species are used to prevent witchcraft, while in Malawi, a gluey substance called *ulimo* from *Scilla* species is used as an insect trap (Bangani, 1999).

The dichloromethane and methanol extracts of *S. natalensis* showed good inhibition against COX-1 and COX-2 while the aqueous extract showed good activity against *Schistosoma haematobium*, (Sparg et al., 2002). Results from a mouse model showed that the methanol extract of *S. nervosa* had potent anti-inflammatory activity on oil-induced auricular contact dermatitis. The same study showed that the non-polar fraction of *S. nervosa* had better antibacterial than antifungal activity, while the polar fraction had better antifungal than antibacterial activity (Du Toit et al., 2011). Cultured HepG2 cells was demonstrated to be sensitive to the aqueous extract of *S. nervosa*. (Pillay et al., 2013).
2.6.2 **Phytochemistry of Scilla species**

Homoisoflavonoids, stilbenoids and spirocyclic triterpenes have been reported from the *Scilla* species in South Africa (Bangani, Crouch, Mulholland, 1999; Crouch, Bangani, Mulholland, 1999; Moodley, 2001; Nishida et al., 2013).

2.6.3 **Scilla nervosa (schizocarpus nervosus) (Burch.) Jessop**

*Scilla nervosa* (Burch.) Jessop (Hyacinthaceae) [*Schizocarphus nervosus* (Burch.) Van der Merwe] (Figure 2.14) is the most widely distributed *Scilla* species in Southern Africa It is known as *ingcino* by the Zulus. The Zulus use it to treat pain associated with rheumatic fever; the bulbs are used by the Tswana people to treat infertility in women; the Sotho people use it to treat gallstones in livestock, constipation, dysentery, and nervous conditions in children (Bangani et al., 1999). About 20 homoisoflavonoids (Figure 2.15) and stilbenes have been reported from the bulbs and the yellow deposit on the surface of the bulbs (Bangani et al., 1999; Silayo et al., 1999, Famuyiwa et al., 2012).

Figure 2.14 *Scilla nervosa* leaves and bulbs.
Figure 2.15 Skeletal structures of homoisoflavonoids isolated from S. nervosa (Silayo et al., 1999).

2.7 BIOLOGICAL ASSAYS

In natural products research, plants and their isolates are screened for their safety and pharmacological activity. Different assays are employed depending on the activity targeted. These tests are done in-vitro in the laboratory, and offer some advantages compared to in-vivo testing.

2.7.1 Antioxidant Activity

Biological processes such as respiration generate free radicals due to the incomplete reduction of oxygen. Free radicals partially reduce oxygen, and its derivatives such as superoxide, hydrogen peroxide and hydroxyl ion constitute reactive oxygen species (ROS) (Singh and Singh, 2008). ROS are highly reactive and cause damages to cell structures and alter their function. The human body has an integrated antioxidant system, which is enzymatic or non-enzymatic and is usually effective in preventing the harmful effects of ROS. In some pathological conditions, the natural antioxidant systems could become overwhelmed, creating an imbalance between the oxidants (ROS) and the antioxidants. This shift in balance leads to oxidative stress. Oxidative stress contributes to many diseases, including cancer, hypertension, atherosclerosis, asthma, diabetes, chronic obstructive pulmonary diseases, and Alzheimer's (Birben et al., 2012). ROS has two sources, endogenous and exogenous. An antioxidant is any substance that can significantly delay or prevent oxidation at a low concentration (Singh and
Singh, 2008). Different methods exist to determine the antioxidant capacity of molecules including the 2,2-diphenyl-1-picrylhydrazyl (DPPH) radical scavenging and ferric reducing antioxidant power (FRAP) assays. Both were used in this research.

DPPH (2,3-diphenyl-1-picrylhydrazyl) assay

This method was developed by Blois (1953). The molecule, 2,3-diphenyl-1-picrylhydrazyl (DPPH), is a stable free radical. It has a deep violet color due to the delocalization of a spare electron on the molecule and absorbs UV at 520 nm in ethanol solution (Kedare and Singh, 2011). The assay measures the scavenging ability of the antioxidants, which is observed as a color change for the DPPH from deep violet to yellow. The nitrogen atom in the DPPH radical that is deficient, acquires an electron from the hydrogen radical (Figure 2.16) from the antioxidant (test sample) to form hydrazine (Contreras-Guzman and Srong 1982). The antioxidant ability of the test sample is evaluated by measuring the change in optical density of the DPPH radical.

![Figure 2.16 Reaction of DPPH and hydrogen donating substrate (Singh and Singh, 2008).](image)
**Ferric reducing antioxidant power (FRAP) assay**

The principle of the FRAP assay is the ability of the antioxidant to reduce the ferric iron complex (ferric 2,4,6-tripyridyl-s-triazine) to its ferrous form at low pH (Figure 2.17). The assay was developed by Benzie and Strain to measure reducing power in plasma, but the assay has been adapted and used for plant extracts (Prior et al., 2005).

![Reaction for FRAP assay](image)

Ferric 2,4,6-tripyridyl-s-triazine (colorless) Ferrous 2,4,6-tripyridyl-s-triazine (blue)

Figure 2.17 Reaction for FRAP assay (Prior et al., 2005).

### 2.7.2 Antimicrobial Susceptibility Testing

Infectious diseases, according to WHO, account for about 45% of all deaths in low-income countries (Shetty and Shetty, 2009). Drug-resistant microbes pose a challenge to global health. Therefore, in recent years, there has been growing interest in the research and development of new antimicrobial agents to tackle the menace of drug resistance (Balouiri et al., 2016).

Antibiotics act by targeting the inhibition of cell wall (peptidoglycan) synthesis, protein synthesis (ribosome) or deoxyribonucleic acid (DNA) or ribonucleic acid (RNA) synthesis (DNA topoisomerase or RNA polymerase). Bacteria develop resistance to antimicrobial drugs by reduced penetration of the drug *via* limited permeability and efflux pumps, mutations or modifications of the binding target, and degradation of the drug itself (Rossiter et al., 2017).
Antimicrobial susceptibility testing can be used to investigate the antibacterial potential of molecules *in-vitro*. The standard methods are the disk-diffusion and broth or agar dilution methods (Balouiri et al., 2016; Schumacher et al., 2018). Bacteria possess the ability to communicate. This process is known as quorum sensing. Quorum sensing is cell to cell communication amongst bacteria, which involves secretion of signaling molecules known as autoinducers (AIs), detecting the change in the concentration of signaling molecules, and regulating gene transcription (Rutherford and Bassler, 2012) (Figure 2.18). Both Gram-positive and Gram-negative bacteria use quorum sensing but with different mechanisms. For Gram-positive bacteria, the signaling molecule is an autoinducing peptide (AIP), while N-Acyl homoserine lactone (AHL) is the signaling molecule for Gram-negative bacteria. Bacteria use quorum sensing communication circuits to control and regulate physiological processes such as virulence, genetic competence, movement, and biofilm formation. This intra and inter species communication allows bacteria to coordinate the gene expression, and therefore the behavior of the entire community, which is akin to some of the qualities of higher organisms.

![Figure 2.18 Schematic showing quorum sensing (QS) and the formation of bacterial biofilms. QS signals (purple circles) are produced by the enzyme synthase and is released into the exocellular environment. These signals re-enter the cell if their concentration is high in the extracellular medium and are sensed by a receptor in bacterial cell that then activates the transcription of QS-regulated genes. Signal number is proportional to cell density (Adapted from Muras et al. 2020).](image-url)
Due to the ability of bacteria to build resistance as an adaptive feature after surviving antimicrobial agent attacks, research is now gearing towards the development of antibiotics that can shut down the communication among bacteria known as quorum sensing inhibition. *Chromobacterium violaceum*, a Gram-negative bacterium, is a known bioindicator used to identify substances that inhibit quorum sensing. The ability of biomolecules to inhibit quorum sensing can be evaluated as a function of capacity to inhibit or reduce *violacein* and biofilm biomass in *C. violaceum* (Wang et al., 2019).

2.7.3 Cytotoxicity Testing

Cell division occurs in all living cells and this is essential to monitor cell growth and death. Normal cell division causes proliferation of single-celled organisms, development, and tissue repair in a multi-celled organism, but uncontrolled cell division leads to the formation of tumors (Istifli et al., 2019). Cancer is a term used to define several diseases characterized by the uncontrollable proliferation of cells due to dysfunction in the regulatory signaling pathway (McCauley et al., 2013).

Cytotoxicity is caused by the adverse reaction of chemical, biological or physical agents on cells. Testing is done *in-vitro* to measure the effect of a test compound on cell viability after incubation for a specific time. There are different assays to measure the number of viable cells; these assays are done with cultured cells for cytotoxicity tests of chemicals and drug screening. In addition, these assays are used in oncology research to measure both compound toxicity and tumor cell growth inhibition during drug development. Cytotoxic agents are known to be toxic to the cells, prevent their growth and eventually cause death. These agents, which may be chemical or biological, exert their effect by destroying cell membranes, preventing protein synthesis, and binding to receptors irreversibly (Aslantürk, 2018). They also affect cellular energy production pathways (mitochondrial effect) or by attenuating the integrity of the
membrane in the cell (plasma membrane or intracellular organelles that have membranes) (Istifli et al., 2019).

Different assays have been developed for *in-vitro* toxicity testing of compounds; these assays could measure viability or toxicity in four different ways: proliferation (direct viable cell count), cell division (DNA synthesis by 3H thymidine uptake), metabolism (MTT, Alamar blue, ATP production) membrane (leakage of lactate dehydrogenase from dead cells) (Figure 2.19) (Istifli et al., 2019). The choice of the assay depends on the number of cells used and the expected outcome.

Figure 2.19 Some cytotoxicity assays and the agents used for detection of cell viability.
**MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay**

The molecule, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide or MTT, is a water-soluble yellow tetrazolium salt that is reduced by the mitochondria of viable cells to the insoluble purple formazan crystal by cleavage of the tetrazolium ring by dehydrogenase enzymes. The water-insoluble formazan crystal is solubilized using DMSO, isopropanol or acidic ethanolic reagents. The solubilized solution is measured using a plate reading spectrophotometer, using absorbances at 500 and 600 nm wavelengths as a function of the concentration of converted dye. Non-viable cells lose the ability to convert MTT to formazan, so the color formation indicates viable cells (Riss et al., 2013). The MTT assay is usually used in cytotoxicity studies due to its ease of use, accuracy, and rapid indication of toxicity. The mechanism by which cells convert MTT to formazan is still not well understood. The possible explanation is the reaction with NADH or similar reducing molecules that transfer electrons to MTT. The NADH is produced by mitochondrial dehydrogenase enzymes, reducing the tetrazolium salt (Figure 2.20) (McCauley et al., 2013).

![Figure 2.20 Conversion of MTT to formazan (Riss et al., 2013).](image)

**ATP (adenosine triphosphate) assay**

The ATP assay is based on adenosine triphosphate (ATP) measurement using the firefly luciferase-luciferin system. Measuring ATP levels is the most sensitive, reliable, and
A convenient method for monitoring active cell metabolism (Riss et al., 2011). Since ATP degrades rapidly after cell death, its concentration is proportional to cell number. It can be used to evaluate cytotoxicity and as a marker for apoptotic or a necrotic death (Zamaraeva et al., 2005; Tsujimoto, 1997). In addition, the measurement of mitochondrial ATP can be used to probe cellular energy metabolism because mitochondria generate more ATP than those generated via glycolysis in the cytoplasm (Manfredi et al., 2001). Unlike the tetrazolium assay, where cells are still intact after addition of the MTT reagent, the ATP reagent ruptures the cell. Therefore, there is no need for an incubation period. The CellTiter-Glo® luminescent cell viability assay is used to determine the number of viable cells in culture. Detection is based on using the luciferase reaction to measure the amount of ATP in viable cells (Figure 2.20). The mechanism of action of the CellTiter-Glo® Reagent is to lysis the cell membrane to release ATP, inhibit endogenous ATPases, and produce luciferin and luciferase necessary to measure ATP using a bioluminescent reaction (Riss et al., 2003) (Figure 2.21).

Figure 2.21 Reaction between the adenosine triphosphate (ATP) reagent and luciferin and scheme showing the conversion of ATP to adenosine diphosphate ADP (Promega) (Riss et al., 2003).
**LDH (lactate dehydrogenase) assay**

This assay measures the activity of cytoplasmic enzymes released during cell lysis. Lactate dehydrogenase (LDH) is released and used as a marker of membrane integrity. Plasma membrane damage, which is a crucial feature of a cell undergoing apoptosis and necrosis, leads to the release of LDH to the cell culture supernatant. LDH from dead cells that leak into the culture medium catalyzes the conversion of lactate to pyruvate and, in the process, generates nicotinamide adenine dinucleotide plus hydrogen (NADH). NADH then reduces the yellow tetrazolium salt into the red, water-soluble formazan-class dye, which has an absorbance at 492 nm (Figure 2.22). The amount of formazan is directly proportional to the amount of LDH in the culture, which in turn, is directly proportional to the number of dead or damaged cells (Kumar et al., 2018). The LDH assay is done using the supernatant of the cell culture.

![Diagram of the reaction catalyzed by lactate dehydrogenase (LDH)](image)

**Figure 2.22** The reaction catalyzed by lactate dehydrogenase (LDH) (Adapted from Kumar et al., 2018).
Mitochondria membrane potential (MMP) (δψm) assay

The mitochondria are regarded as the powerhouse of the cell; they regulate metabolism and cell death pathways. Other functions of the mitochondria are the production of ATP through oxidative phosphorylation and the citric acid cycle, regulation of calcium homeostasis and modulation of apoptosis through the release of several cell death-inducing molecules (Acton et al., 2004). The mitochondria use oxidizable substrates to produce an electrochemical proton gradient across the mitochondrial membrane, which produces ATP; this involves electron transfer between an electron donor and an electron acceptor. The mitochondrial electron transport chain creates an electrochemical gradient that drives the synthesis of ATP and generates the mitochondrial membrane potential (MMP) (Sakamuru et al., 2016). Loss of electron transport means a drop in ATP production; although the loss of mitochondrial ATP could lead to cell death, it is unlikely a mechanism in apoptosis. A decrease in MMP is associated with opening the mitochondrial permeability pores and loss of the electrochemical gradient. Since mitochondria are involved in apoptosis, MMP could be used as a marker for cell health (Sivandzade et al., 2019). Cytotoxic agents can have a direct or secondary effect on mitochondrial function. Many of these compounds reduce MMP by perturbing a variety of macromolecules in the mitochondria, affecting different mitochondrial functions (Sakamuru et al., 2016).

Florescent cationic dyes are used to study MMP in both healthy and apoptotic cells. These dyes are available commercially and are cell membrane permeable. Examples are rhodamine-123, DiOC₆, 5,5,6,6’-tetrachloro-1,1’,3,3’-tetrathylbenzimida-dazoylcarbocyanine iodide (JC-1) and tetramethyl rhodamine methyl and ethyl esters (TMRM and TMRE) (Sakamuru et al., 2016). The JC-1 dye has a problem of solubility, which makes it precipitate in aqueous buffers when used in high concentrations. The JC-10 dye offers better solubility even at higher concentrations compared to JC-1. MMP is negatively charged, so in healthy cells, JC-10
accumulates in the mitochondria to form a red-fluorescence aggregate, with an excitation wavelength of 540 nm and emission wavelength at 590 nm. In apoptotic cells with low negatively charged MMP due to MMP collapse, the mitochondria cannot retain JC-10 dye, the green aggregates are formed with excitation wavelength at 490 nm and emission wavelength at 525nm. The JC-10 dye can be used to monitor apoptosis and for screening apoptosis inhibitors and activators (Sigma-Aldrich JC-10 product information).

Fluorescent dye accumulation in mitochondria can be optically detected by flow cytometry, fluorescent microscopy, confocal microscopy, and through the use of a fluorescence plate reader. Mitochondria polarization or depolarization is indicated by the red/green fluorescence intensity ratio of the dye. Polarization means higher MMP and high red shift of the dye, while depolarization is lower MMP of the mitochondria and lower red to green ratio of the fluorescent marker (Sivandaze et al., 2019; Elefantova et al., 2018). During apoptosis, some events take place in the mitochondria, which includes the opening of the mitochondria spores, with the release of cytochrome c, which initiates some apoptotic pathway, changes in electron transport, loss of mitochondrial transmembrane potential, altered cellular oxidation-reduction, and participation of pro-and anti-apoptotic Bcl-2 family proteins (Green and Reed, 1998).

Cell death occurs either by necrosis or apoptosis. Necrosis, which is ATP-independent, is characterized by cell and organelle swelling, increased permeability with loss of cell membrane integrity, and the release of intracellular contents to the extracellular environment. In contrast, apoptosis is ATP dependent, characterized by cell shrinkage, maintenance of plasma membrane integrity, chromatin condensation, nuclear fragmentation, and activation of a family of cysteine-containing, aspartate-directed proteases called caspases (Cummings and Schnellmann, 2004). The presence of ATP favors apoptosis, while necrosis prevails in its absence (Tatsumi et al., 2003). Studies have shown that necrosis and apoptosis represent
different outcomes of the same pathway. Figure 2.23 shows the mechanism of cell death measuring different markers of cell viability and apoptosis *in-vitro*.

<table>
<thead>
<tr>
<th>Apoptosis</th>
<th>Viable Cell</th>
<th>2nd Necrosis</th>
</tr>
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<tr>
<td>LDH Release</td>
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<td>+</td>
</tr>
<tr>
<td>Caspase</td>
<td>0</td>
<td>+++</td>
</tr>
<tr>
<td>ATP</td>
<td>+++</td>
<td>++</td>
</tr>
<tr>
<td>MTS</td>
<td>+++</td>
<td>++</td>
</tr>
<tr>
<td>Resazurin</td>
<td>+++</td>
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<table>
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<th>Necrosis</th>
<th>Viable Cell</th>
<th>Cell Debris</th>
</tr>
</thead>
<tbody>
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<td>LDH Release</td>
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<td>+++</td>
</tr>
<tr>
<td>Caspase</td>
<td>0</td>
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<tr>
<td>ATP</td>
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<td>MTS</td>
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<tr>
<td>Resazurin</td>
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<td>0</td>
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</tbody>
</table>

Figure 2.23 Mechanism of cell death measuring different markers of cell viability and apoptosis *in-vitro* (Adapted from Riss et al., 2003).
REFERENCES


CHAPTER 3

Phytochemical, antibacterial and docking studies of *Scilla nervosa* (Burch.) Jessop

ABSTRACT

*Scilla nervosa* (Burch.) Jessop is a plant used in traditional medicine as an analgesic and to treat infections. This study aimed to phytochemically study the bulbs and leaves of *S. nervosa*, test the antibacterial potential of biomolecules and extracts, and determine the structure-activity relationships using molecular docking. From the bulbs and leaves, two novel homoisoflavonoids, namely 3-(4-hydroxy-3-methoxybenzyl)-5,7-dihydroxy-6-methoxychroman-4-one, and 3-(4-hydroxy-3-methoxybenzyl)-5,6,7-trihydroxychroman-4-one, two novel lanostane-type triterpenes, namely 17α,23α-epoxy-3β,29-dihydroxy-norlanost-8,24-dien-26-one and 17α,23α-epoxy-3β,28,29-trihydroxy-nor-lanost-8,24-dien-26-one, five known homoisofavanones, namely 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, 3-(4-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one, and 3-(4-hydroxybenzyldene)-5,7-dihydroxychroman-4-one, a stilbene (rhapontigenin) and a spinasterol glucopyranoside, were isolated. The structures of the isolated compounds were established by nuclear magnetic resonance spectroscopy and mass spectrometry. The crude extracts and isolates were screened against Gram-positive and Gram-negative bacterial strains. The antibacterial activities of the biomolecules were better than those of the extracts with biomolecule activity being proportional to the number of methoxy groups; the 5,7,4’-trisubstituted homoisoflavanoids demonstrated better activity than the disubstituted or unsubstituted ones. Homoisoflavanoids showed minimal antibacterial activity, both *in-vitro*
and by computational study. However, synthetic manipulations of their molecular structure
could ameliorate their activity. *S. nervosa* was shown to biosynthesize lanostane-type
triterpenes similar to other species in the genus.

**Keywords:** Homoisoflavonoids; molecular docking, nuclear magnetic resonance, phytochemistry

### 3.1 INTRODUCTION

Plants have been a storehouse of various secondary metabolites, some of which have found therapeutic use as antimicrobial, antidiabetic, antimalarial, and anticancer agents. Naturally occurring bioactive compounds have been a significant source of lead compounds in drug discovery and development (Newman and Cragg, 2003). Infectious diseases have been described as a significant burden to many societies, according to the World Health Organization (WHO) (Boutayeb, 2010). Antimicrobial resistance, which results from a change in the gene of pathogens that allows it to evade the action of antibiotics, has been seen as a public health threat (Simpkin et al., 2017). Additionally, it has been projected that by 2050 the total number of deaths from antimicrobial resistance would rise to 10 million per year worldwide (Cattoir and Felden, 2019). Antimicrobial resistance has necessitated the need for new antibiotics that can tackle the burden of antibiotic resistance. There is a need for new pharmacophores for antibacterial drug development due to the increasing resistance to conventional antibiotics. Antimicrobial agents act by interfering with essential processes needed for the growth or division of microorganisms. Interference could be by inhibiting cell wall synthesis, inhibition of plasma membrane integrity, interference with nucleic acid synthesis, inhibition of ribosome function, or folate synthesis (Baron, 1996).
The cell wall provides rigidity and stability for the bacteria, and it is crucial for its survival. The cell wall consists of a peptidoglycan layer that prevents osmotic lysis. Peptidoglycan is a polymer consisting of interlocking chains of identical peptidoglycan monomers, a β-1,4-linked glycan of alternating N-acetylglucosamine (GlcNAc), and N-acetylmuramic acid (MurNAc) sugars. There are different stages in the biosynthetic pathway of peptidoglycan (Kimura and Bugg, 2003). The enzyme catalyst, phospho-iMurNAc-pentapeptide translocase (MraY), is essential for the initial step of the lipid-linked chain of reactions in the synthesis of peptidoglycan (Bugg et al., 2006). Certain antibiotics such as penicillin (ampicillin), cephalosporins (cefixime), carbapenems (loracarbef), and glycopeptides (vancomycin) work by inhibiting the synthesis of peptidoglycan; interference in the process of peptidoglycan synthesis leads to a weak cell wall and lysis of the bacterium from osmotic pressure. The biosynthetic pathway of peptidoglycan is a good target for the development of novel antibacterial agents. Several antibacterial natural products and one protein antibacterial agent that targets translocase have been identified (Bugg et al., 2006).

*Scilla nervosa* (Burch.) Jessop [syn. *Schizocarphus rigidifolia* Kunth] from the Hyacinthaceae family is an indigenous medicinal plant in Southern Africa that is a rich source of homoisoflavonones (Silayo et al., 1999). It is known as igncino in isiZulu, and inkwitelu by the Xhosa people of South Africa. It is widely distributed in the Eastern Cape, Free State, Gauteng, KwaZulu-Natal, Limpopo, Mpumalanga, Northwest, and Northern Cape. *S. nervosa* forms rounded clumps with large, buried bulbs with fibrous sheaths; there are tiny flowers on a stalk, white or creamy yellow with green or blackish ovaries (Hutchings, 1996). In Southern African, *S. nervosa* is used as an analgesic and to treat infections (Du Toit et al., 2011). *Scilla* species have demonstrated cytotoxic effects against AGS cell lines (Salar et al., 2016), as well as anti-tumor (Lee et al., 2002), antioxidant (Nishida et al., 2013), antimicrobial, and anti-inflammatory activities (Du Toit et al., 2011).
Several studies on *Scilla* species have shown them to be rich sources of homoisoflavonoids (Bangani et al., 1999; Silayo et al., 1999; Famuyiwa et al., 2012; Hafez-Ghoran et al., 2015). *Scilla* species also contain other secondary metabolites such as stilbenoids, cardiac glycosides, and triterpenes (Bangani et al., 1999; Crouch, Bangani, Mulholland, 1999; Mimaki et al., 1993; Nishida et al., 2008). Lanostane-type triterpenoids and their glycosides have also been isolated from some *Scilla* species, such as *Scilla scilliodes*, *Scilla perisca*, and *Scilla natalensis* (Amschler et al., 1998; Ono et al., 2012).

du Toit (2011), postulated that the extracts from *S. nervosa* would exhibit better antibacterial activity as the compounds present in them would reciprocally potentiate the activity of the individual molecules. In a review article on the antimicrobial activity of South African medicinal plants, van Vuuren (2008) suggested that individual biomolecules conferring activity was improbable and greater efficacy would be achieved by a combination thereof. Therefore, in this study, we compare the antibacterial potential of the crude extracts and individual biomolecules from *S. nervosa* against selected strains of Gram-negative and Gram-positive bacteria to determine for additive effects. We also phytochemically investigated the leaves of the plant that have not previously been studied.

### 3.2. MATERIALS AND METHODS

#### 3.2.1 General Experimental Procedure

$^1$H, $^{13}$C and 2D NMR were obtained on Bruker Avance III 400 MHz spectrophotometer using either deuterated chloroform (CDCl$_3$) or methanol (MeOD) at room temperature. High-resolution mass spectrometry (HRMS) was done on a Waters Micromass LCT Premier TOF-MS instrument. Infrared (IR) spectra were recorded on a Perkin Elmer Spectrum 100 Fourier transform infrared spectrophotometer (FT-IR). Ultraviolet-visible (UV-Vis) spectra were
recorded on a Varian Cary UV-Vis spectrophotometer. Column chromatography was performed using Merck silica gel 60 (0.040-0.063 mm), a R CH-20 (Merck) Sephadex column, and 20 × 20 aluminum sheets coated with silica gel 60 F245 was used for thin-layer chromatography (TLC). TLC plates were viewed under UV light at wavelengths of 254 nm and 366 nm. Further visualization was done by spraying with 10% sulfuric acid in MeOH solution followed by heating. All reagents were supplied by either Merck (Darmstadt, Germany) or Sigma (St. Louis, USA) chemical companies.

3.2.2 Plant Materials

Fresh bulbs and leaves of *Scilla nervosa* were purchased from the Berea muthi (herbal) market in Durban and were identified by the taxonomist, Mr. Edward Khathi of the School of Life Sciences, University of KwaZulu Natal (UKZN, Westville). A voucher specimen, 18272 02 1086000, was deposited in the ward herbarium in the School of Life Sciences.

3.2.3 Extraction, Isolation, and Purification

Plant material was air-dried and crushed. Crushed bulbs (300 g) and leaves (1 kg) were successively extracted using MeOH, ethyl acetate (EtOAc), and dichloromethane (DCM) by cold maceration on a shaker for 48 h at room temperature. Each crude extract was evaporated under reduced pressure to remove excess solvent and concentrated to give 5 g from DCM, 3 g from EtOAc, and 24 g from MeOH for the bulbs and 3 g from MeOH for the leaves. Extracts were subjected to column chromatography, using hexane and EtOAc gradient starting with 100% hexane that was stepped by 10 % to 100 % EtOAc. After that, 10 % MeOH was added to EtOAc. Fingerprinting using TLC was done for each of the chromatographic fractions. Fractions that gave the same retention factor (Rf) were pooled together.

From the DCM extract of the bulbs (65 × 100 mL fractions), fractions 17 and 18 presented compound *A1* (350 mg), a yellow and oily gum, fractions 23 and 24 presented compound *A2*
(100 mg), while fraction 34 presented compound A3 (11 mg). Fraction 14 was purified to obtain compound A4 (10 mg). The MeOH extract of the leaves (30 × 100 mL fractions) resulted in the isolation of compound A1 from fraction 17, a mixture of compounds A1 and A5 from fraction 18 (6 mg), and compound A6 (5 mg) from fraction 19. From the EtOAc extract of the bulbs (50 × 100 mL fractions), compound 7 (20 mg), a yellow powder was precipitated out of fraction 25 using DCM, and compound A8 (12 mg), a yellow powder was obtained from fraction 28. Compound A9 (10 mg) was obtained as white flakes from fractions 41-44 of the DCM extract of the bulbs after cleaning with MeOH. Compound A10 was obtained as brown spikes from fraction 26 of the DCM extract of the bulbs, while compound A11, a brown powder, was obtained from fraction 31 of the same extract.

3.2.4 Evaluation of Antibacterial Activity

Antibacterial activity of the crude extracts (DCM and MeOH extracts of the bulbs, and MeOH extract of the leaves) and isolated phytocompounds (A1, A2, A4 and A7) was evaluated using the agar-well diffusion method (CLSI, 2012) against three Gram-positive bacteria (Bacillus subtilis ATCC 6653, methicillin-resistant Staphylococcus aureus ATCC 43000 and Mycobacterium smegmatis mc² 155) and four Gram-negative bacteria, (beta-lactam-resistant Escherichia coli ATCC 35218, multidrug-resistant Pseudomonas aeruginosa ATCC 27853, extended-spectrum beta-lactamase-producing Klebsiella pneumoniae ATCC 700603 and the quorum sensing indicator Chromobacterium violaceum ATCC 12472). Test samples were dissolved in MeOH to a final concentration of 20 mg mL⁻¹ for the crude extracts and 10 mg mL⁻¹ for the pure compounds. The wells were loaded with 25 µL and 50 µL of the test samples, respectively. Susceptibility or resistance to compounds tested was assigned based on the following zone diameter criteria: Susceptible (S) ≥ 15 mm, Intermediate (I) = 11–14 mm, and Resistant (R) ≤ 10 mm (Chenia, 2013). The criteria for assigning susceptibility or resistance to
ampicillin was as follows: (S) ≥ 17 mm, (I) = 14–16 mm, (R) ≤ 13 mm, while those for tetracycline were: (S) ≥ 19 mm, (I) = 15–18 mm, (R) ≤ 14 mm (CLSI, 2012).

3.2.5 Molecular Docking Protocol

All calculations were performed with the Schrodinger molecular modeling suite (version 2019-4) using the OPLS_2005 forcefield. The minimized 3D geometries of the ligands were generated with LigPrep (2019), and their protonation states were assigned at pH 7.0 ± 2.0 using Epik (2019). The X-ray crystal structure of MraY (PDB ID: 6OYH) was retrieved from the RCSB database and processed with the Protein Preparation Wizard using the default workflow, including filling in the missing protein side chains and loops with Prime (2019). Subsequently, the ligand-receptor complexes were modeled with the induced fit docking protocol (Induced, 2019) using the standard protocol. The receptor grid was defined as the centroid of cognate ligand, and the first stage of glide docking was performed with a brief constrained refinement of the protein structure to a root mean square deviation of ≤ 0.18Å, followed by auto trimming of ≤ 3 residues within 5Å of the active site and B-factor > 40. The requisite implicit membrane for the Prime refinement stage was modeled by aligning the protein structure and loading the membrane coordinates from the OPM database (Iomise). Then, glide redocking was performed using the extra-precision mode, and the best poses were selected based on docking score, glide emodel value, and IFD score. The binding affinity of the ligands to MraY was also estimated with Prime Molecular Mechanics and Generalized Born Surface Area (MM-GBSA) (prime) using the best poses as input structures and a Variable-dielectric Generalized Born (VSGB) model as implicit solvation model.
3.3 RESULTS AND DISCUSSION

3.3.1 Structure Elucidation

3-Benzylchroman-4-ones (compounds A1-6)

This class of compounds is characterized by the appearance of two pairs of double doublets at δ\(H\) 4.2/4.0 (C-2) and 63.1/2.6 (C-9) due to the geminal proton resonances, and a multiplet at δ\(H\) 2.7-2.6 (C-3). Resonances for C-2, C-3 and C-9 were consistent in all these compounds, and they appeared at δ\(C\) 69, 48, and 32, respectively.

![Structure of A1-6]

Figure 3.1: Structures of compounds A1-6 isolated from the bulbs and leaves of S. nervosa.

The \(^1\)H NMR spectra of compounds A1-3 showed a centrosymmetric multiplet indicating a 4’-oxygen substituted AA’BB’ system in the B-ring. For compound A1, two meta-coupled proton signals at δ\(H\) 6.1 and δ\(H\) 6.0, indicated a 5,7-disubstituted ring A, with three methoxy signals at δ\(H\) 3.79 (H-7), 3.77 (H-5) and 3.73 (H-4’). From the \(^{13}\)C NMR spectrum, the placement of the methoxy group at C-5 was further supported by the upfield chemical shift of the carbonyl to δ\(C\) 193.7 (C-4) compared to its chemical shift (δ\(C\) 197-198) when a hydroxy group is at C-5. The upfield shift of (C-4) can also be due to the absence of chelation between the OH group at C-5
and C=O (C-4). Compound A1 has previously been isolated from S. nervosa, and the reported spectra compare well with those in literature; therefore, compound A1 was identified as 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one (Figure 3.1) (Silaoy et al., 1999; Bangani et al., 1999; Bezahib et al., 2009).

Compounds A2 and A3 differed from compound A1 in that there was only one proton resonance in ring A at δ_H 6.38. The 13C NMR spectrum of compound A2 agrees with the spectra of the previously isolated compound 11 from S. nervosa (Silaoy et al., 1999), and of compound A3 agrees with the data reported for zebrinin C, which was isolated from Scilla zebrina (Mulholland et al., 2005) and compound 1 from S. nervosa (Bezahib et al., 2009). Therefore, compound A2 was identified as 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one and compound A3 as 3-(4-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one (Figure 3.1).

The 1H NMR spectra of compounds A4-6 indicated that they all contain a chelated OH group at C-5 due to resonances at δ_H ~ 12. The chelated hydroxy group was also confirmed by the carbonyl signals observed in the 13C NMR at δ_C 197-198. The 1H NMR spectra also indicated an ABC system of protons in the B-ring. This system was confirmed by the 13C NMR spectra, which showed two non-protonated carbon resonances at δ_C 145.4 and 145.7, a characteristic of a 3',4'-dioxygenated ring B. Compound A4 has previously been reported, and our spectral data compare well with those in literature (Bangani et al., 1999; Silaoy et al., 1999). Therefore, compound A4 was identified as 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one (Figure 3.1).

Compounds A5 and A6 were isolated from the MeOH extract of the leaves. The 13C NMR spectra had similarities with that reported for compound A8 by Silaoy et al. (1999) (Table 3.1), with differences in the number of methoxy groups. Compound A5 has one methoxy, while
compound 6 had two compared to three reported for compound 8 by Silayo et al. (1999). For compound A6, ring A has three substituents, 2 OHs, and one OCH$_3$. One OH substituent was placed at C-5 for two reasons; one is due to a phenolic proton resonance at $\delta_H$ 12.3 and 12.2 for compounds A5 and A6, respectively. Secondly, the C-4 carbonyl group occurred downfield at $\delta_C$ 198.7 for both compounds. The appearance of the resonance due to H-8 downfield of $\delta_H$ 6.00 is an indication of a methoxy substituent at C-7. In addition, it has been established that resonances for C-6 and C-8 appear at $\delta_C$ 97.1-97.3 and $\delta_C$ 95.8-96 with an OH group at C-7 and a higher field (-1.3 ppm) if it carried an OCH$_3$ substituent (Adinolfi et al., 1986). Therefore, the OCH$_3$ was placed at C-7. The second OH group was placed at C-6 due to the HMBC correlation of the only proton in the ring with C-7 and C-8a; the proton was assigned to C-8 and the OH to C-6. Ring B had an ABX system, for compound A5, the following chemical shifts were observed $\delta_H$ 6.78 (d, $J_2 = 2.0$ Hz), 6.76 (d, $J_5 = 8.0$ Hz), 6.60 (dd, $J_6 = 8.0, 2.0$ Hz) for H-2’, H-5’, H-6’, respectively, while resonances at $\delta_H$ 6.82 (d, $J_2 = 2.0$ Hz), 6.78 (d, $J_5 = 8.2$ Hz), and 6.67 (dd, $J_6 = 8.2, 2.0$ Hz), which were assigned to H-2’, H-5’, H-6’, respectively was observed for compound A6. Both compounds had two substituents in the ring B, an OH, and an OCH$_3$. The OCH$_3$ was placed at C-3 due to its HMBC correlation with $\delta_C$ 145.4 for both compounds, while OH was placed at C-4.

Based on the spectral data, compounds A5 and A6 were identified as 3-(4-hydroxy-3-methoxybenzyl)-5,6,7-trihydroxychroman-4-one, and 3-(4-hydroxy-3-methoxybenzyl)-5,7-dihydroxy-6-methoxychroman-4-one respectively (Figure 3.1). From the literature survey, we found no compounds to have the same chemical shifts as ours. Although the proposed structures for our compounds are similar to that of compound 1 isolated from Scilla zebrina (Mulholland et al., 2006), the chemical shifts are slightly different.
Table 3.1: \(^1\)H and \(^{13}\)C NMR data for compound A5 and A6 compared to literature.

<table>
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<tr>
<th>Compound A5 in CDCl(_3)</th>
<th>Compound A6 in CDCl(_3)</th>
<th>Reference(^b) (Silaoy et al., 1999)</th>
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<td>(^1)H</td>
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<td>157.3</td>
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3-Benzyldienechroman-4-one (A7) and rhabontigenin (A8)

This group of homoisoflavonoids has two configurations, E or Z, which can be determined from the \(^1\)H NMR. For the E-geometry, the proton for C-9 resonates downfield at \(\delta_H 7.6-7.9\), while it resonates upfield at \(\sim\delta_H 5.5\) for Z-geometry (Silaoy et al., 1999). Compound A7 had a
resonance for H-9 at δH 7.67, establishing that it has an E configuration. It also presented with an AA'BB' system for the B-ring and one downfield proton at δH 12.85 that indicates a chelated 5-OH group. The 1H NMR spectrum of compound A8 showed two vinylic proton signals with a trans geometry due to the large coupling constant (J=16.2 Hz). Comparison with literature showed compound A7 to be 3-benzylidenechroman-4-one and compound A8 to rhapontigenin, a stilbene (Figure 3.2).

![A7 and A8](image)

**Figure 3.2: Structures of compounds A7 and A8 isolated from the bulbs and leaves of S. nervosa.**

*Structure elucidation of spinasterol-3-O-D-glucopyranoside (A9)*

Compound A9 was obtained as white flakes, having a molecular formula of C33H58O6. The 1H NMR showed resonance for five methyls at δ 0.54, 0.79, 0.80, 0.85 and 1.02, each integrating to 3 protons. Two double bonds were observed between C7 and C8, and C22 and C23. This is supported by the resonance of the 1H chemical shift at δH 5.14 (H-7), δH 5.20 (H-22) and δ 5.09 (H-23). The 13C NMR showed resonances due to an anomeric carbon at δC 101.0, which correlates to a doublet at δH 4.24 in the 1H NMR. Other resonances are a methylene for the sugar moiety at δC 61.4, four methine between δC 70.4-76.9, and a quaternary at δC 139.1 (C-8). The HMBC experiment showed the chemical shift for C-3 to correlate to the anomeric protons and this established the sugar linkage at position 3. By comparing the spectral data with those in literature, it was concluded that compound A9 is spinasterol-3-O-β-D-glucopyranoside (Figure 3.3) (Henry and Chantalat-Dublanche, 1985).
Figure 3.3: Structure of compound A9 isolated from the bulbs and leaves of S. nervosa.

**Compound A10 and A11**

Compound A10 was isolated as brown spikes with its HRMS calculated as m/z 483.31 (M-H) and its molecular formula was determined to be C_{30}H_{44}O_{5}. The IR spectrum showed absorption bands at 3282 and 1686 cm\(^{-1}\) for hydroxyl and carbonyl functional groups. In the \(^1\)H NMR spectrum (Table 3.2), six methyl signals at \(\delta_H\) 0.72 (s), 0.93 (s), 1.01 (s), 1.22 (s) and 1.89 (s), two oxygenated methylene protons (\(\delta_H\) 3.40, d, \(J =11.1\) Hz; 4.18, d, \(J =11.1\) Hz), one oxygenated methine proton (\(\delta_H\) 3.36), and a non-oxygenated methine proton (\(\delta_H\) 6.5, dd, \(J =10.5, 7.9\) Hz) were observed.

The \(^{13}\)C and DEPT spectrum (Table 3.2) showed 30 carbon signals, which includes two methine carbons at \(\delta_C\) 81.2 (C-3) and \(\delta_C\) 145.0 (C-24), a methylene carbon at \(\delta_C\) 65.1 (C-29), an ether linkage \(\delta_C\) 97.0 (C-17) and \(\delta_C\) 115.4 (C-23) forming a heterocyclic ring, two substituted alkene carbons at \(\delta_C\) 135.8 and 135.7, and an ester carbonyl at \(\delta_C\) 171.5. The HBMC spectrum showed a correlation from the resonance of the methyl protons at H-27 to the carbonyl at C-26, the methine carbon at C-24 and the quaternary carbon at C-25. The spectral data is consistent with lanostane-type triterpenes that have been isolated from Scilla natalensis (Moodley, 2011; Moodley et al, 2004) and Scilla scilloides (Ren et al, 2015). The first report of lanostane-triterpenes from the Hyacinthaceae family was from Eucomis autumnalis (Sidwell et al, 1975: Ziegler and Tamm, 1976), and this was used as the basis for the analysis of the
isolated triterpenes from our plant. The only difference was that our compound had a double bond between C-24 and C-25. A literature survey showed that lanostane-type triterpenes from the Abies family (Wang et al., 2015), have a double bond at that position, which helped in our elucidation. Compound A10 was determined to be the novel 17α,23α-epoxy-3β,29-dihydroxy-nor-lanost-8,24-dien-26-one (Figure 3.4).

![Figure 3.4: Structures of compounds A10 and A11 isolated from the bulbs and leaves of S. nervosa.](image)

Compound A11 was isolated as a brown powder; five methyl protons at δH 0.90, 0.96, 0.99, 1.13 and 1.79 were detected from its 1H NMR spectrum as well as two pairs of oxygenated methylene protons for H-28 and H-29 (Table 3.3). Compound A11 was similar to compound A10, the major difference being the presence of two pairs of oxygenated methylene protons in A11 as opposed to one pair in A10, that was placed at C-28 and C-29 due to the HMBC experiment showing correlations by H-28 and H-29 with C-3. Therefore, compound A11 was determined to be 17α,23α-epoxy-3β,28,29-trihydroxy-nor-lanost-8,24-dien-26-one (Figure 3.4). Similar to compound A10, this compound has not previously been isolated and identified.
Table 3.2: $^1$H, $^{13}$C, COSY and HMBC data for compound A10.

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Table 3.3: $^1$H, $^{13}$C, COSY and HMBC data for compound A11.

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<td>13</td>
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<td>47.9</td>
<td>3H-18; 3H-30</td>
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<td>14</td>
<td></td>
<td>50.0</td>
<td>3H-18; 3H-30</td>
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<tr>
<td>15</td>
<td>H15α 1.27 (m)</td>
<td>31.2</td>
<td>H16β</td>
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<tr>
<td></td>
<td>H15β 1.66 (m)</td>
<td></td>
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<tr>
<td>16</td>
<td>H16α 1.92 (m)</td>
<td>36.7</td>
<td>H15β</td>
<td></td>
</tr>
<tr>
<td></td>
<td>H16β 2.29 (m)</td>
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<tr>
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<td>18</td>
<td>0.96 (s)</td>
<td>19.3</td>
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<td>19</td>
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<td>43.8</td>
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<td>21</td>
<td>1.14, d, 7.14</td>
<td>14.7</td>
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<tr>
<td>22</td>
<td>H22α 1.08 (d, 6.9)</td>
<td>35.2</td>
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<td></td>
<td>H22β 1.64 (d, 6.9)</td>
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<tr>
<td>23</td>
<td></td>
<td>113.2</td>
<td>H-24</td>
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</tr>
<tr>
<td>24</td>
<td>7.1, d, 1.6</td>
<td>148.4</td>
<td>H-27</td>
<td>3H-27</td>
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<tr>
<td>25</td>
<td></td>
<td>129.2</td>
<td>3H-27</td>
<td></td>
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<tr>
<td>26</td>
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<td>171.4</td>
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<td>27</td>
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<td>9.7</td>
<td>H-24</td>
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<tr>
<td>28</td>
<td>H28α 3.47 (dd, 10.9, 6.3 Hz)</td>
<td>60.7</td>
<td>H28β</td>
<td>H-29</td>
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<tr>
<td></td>
<td>H28β 3.82 (dd, 10.9, 5.9 Hz)</td>
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<td></td>
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<tr>
<td>29</td>
<td>3.89, dd, 11.3, 2.66 Hz</td>
<td>61.6</td>
<td>H29β</td>
<td>H-5, H-28</td>
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<tr>
<td></td>
<td>3.38, dd, 11.3, 4.2 Hz</td>
<td></td>
<td>H29α</td>
<td></td>
</tr>
<tr>
<td>30</td>
<td>1.02, d, 6.9</td>
<td>25.5</td>
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</table>

### 3.3.2 Chemotaxonomy Significance

Homoisoflavonoids, first reported from *Eucomis bicolor*, are a small group of secondary metabolites that are restricted in their distribution (Bohler, 1967; Castelli and Lopez, 2017). These compounds are divided into five categories, 3-benzyl-4-chromanones, 3-hydroxy-3-
benzyl-4-chromanones, 3-benzylidene-4-chromanones (E or Z), 3-benzyl-chrom-2-en-4-ones, and scillascillins (Mulholland et al., 2013). They have been reported in all species of the *Scilla* genus, making them excellent taxonomic markers for the genus. They have also been reported in other families, including the Fabaceae, but remain a powerful taxonomic marker for the Hyacinthaceae family because most reported outside this family lack oxygenation at C-5, such as intricatin and bonducelin from *Caesalpinia bonducella* (Fabaceae). The isolation of 3-benzyl-4-chromanones from *S. nervosa* is consonant with other work that has been done on this plant (Bangani et al., 1999; Silayo et al., 1999). The *Scilla* species indigenous to South Africa contain mostly the 3-benzyl-4-chromanone-type homoisoflavonoids, and few contain 3-benzylidene-4-chromanones and 3-hydroxybenzyl-4-chromanones. Additionally, of the *Scilla* species indigenous to South Africa, only *S. nervosa* has been reported to biosynthesize the 3-benzylidene-4-chromanone-type homoisoflavonoids.

### 3.3.3 Antibacterial Activity

The antibacterial susceptibility results for the DCM and MeOH extracts of the bulbs, and MeOH extracts of the leaves, and isolated compounds are presented in Table 3.4. Only those compounds that exhibited antibacterial activity are presented. Test samples were screened against three Gram-positive bacterial strains (*B. subtilis* ATCC 6653, *S. aureus* ATCC 43000 and *M. smegmatis* mc² 155). No significant antibacterial activity against the methicillin-resistant *S. aureus* was observed for the MeOH leaf extracts. In contrast, antibacterial activity was observed against *B. subtilis* and *M. smegmatis* for the bulb extracts with the MeOH extract showing higher activity than the DCM extract. Compound A₂ (3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one) demonstrated greater efficacy against *B. subtilis* and *M. smegmatis* compared to compound A₁ (3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one), which could be attributed to the additional hydroxy substituent in compound A₁.
Test samples were screened against four Gram-negative bacterial strains (E. coli ATCC 35218, P. aeruginosa ATCC 27853, K. pneumoniae ATCC 700603, and C. violaceum ATCC 12472). Although antimicrobial activity against C. violaceum was observed with the MeOH and EtOAc extracts from the bulbs and compounds A1 and A2, no quorum sensing inhibition was observed. Antibacterial activity was observed against the β-lactam resistant E. coli for the DCM extract of the bulbs and compound A1. No antibacterial activity was observed with extracts and phytocompounds against multidrug-resistant P. aeruginosa and extended-spectrum beta-lactamase-producing K. pneumoniae therefore the results were omitted from the table.

The structures of the isolated compounds that showed moderate antibacterial activity (compounds A1 and A2) were observed to have three methoxy substituents each, also at the same positions, C-5, C-7, and C-4’ while the compounds without activity showed methoxy substitutions at C-7 and C-3’ (compound A4) or no methoxy substitution (compound A7). In isoflavones, hydroxy groups at C-5 or C-7 increase their antibacterial activity (Mukne et al., 2011), but this was not observed in homoisoflavanones. The results indicate that 5,7,4’-trisubstituted homoisoflavanones ameliorated the antibacterial activity as disubstituted and unsubstituted homoisoflavanones exhibited no antibacterial activity. Nevertheless, our findings indicate that homoisoflavanones that are one carbon more than the ubiquitous flavonoids, in general, have lower antibacterial activity compared to flavonoids (Mukne et al., 2011).

Previous studies have shown that the MeOH and DCM extracts of S. nervosa inhibited certain strains of microorganisms (S. aureus and K. pneumoniae) in a dose-dependent manner with average MIC values of 40 µg/mL (du Toit, 2011). du Toit (2011), postulated that the extracts would exhibit better activity as the compounds present in them would likely work synergistically to potentiate the bacteriostatic or bactericidal activity of the individual molecules. Our study counters this assumption as, in all cases, the individual molecules demonstrated greater antibacterial activity than their extracts (Table 1). Famuyiwa et al. (2013)
also demonstrated that 3-(4′-methoxybenzyl)-6-hydroxy-5,7-dimethoxycroman-4-one isolated from the yellow deposit on the surface of *S. nervosa* bulbs has significant antibacterial activity against *E. coli* when screened.

Table 3.4: Antibacterial susceptibility test of *S. nervosa* compounds and crude extracts against selected Gram-negative and Gram-positive bacterial strains.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Conc</th>
<th>B. subtilis</th>
<th>M. smegmatis</th>
<th>S. aureus</th>
<th>C. violaceum</th>
<th>E. coli</th>
</tr>
</thead>
<tbody>
<tr>
<td>MeOH Bulb</td>
<td>20</td>
<td>10 14</td>
<td>8 16</td>
<td>0 10</td>
<td>7 12</td>
<td>0 10 (hazy)</td>
</tr>
<tr>
<td>DCM Bulb</td>
<td>20</td>
<td>8 10</td>
<td>10 16</td>
<td>0 10 (hazy)</td>
<td>0 0</td>
<td>7 17</td>
</tr>
<tr>
<td>MeOH Leaves</td>
<td>20</td>
<td>0 8</td>
<td>0 0</td>
<td>0 0</td>
<td>0 0</td>
<td>0 0</td>
</tr>
<tr>
<td>Compound A1</td>
<td>10</td>
<td>8 11</td>
<td>9 19</td>
<td>0 10 (hazy)</td>
<td>9 16</td>
<td>15 21</td>
</tr>
<tr>
<td>Compound A2</td>
<td>10</td>
<td>18 22</td>
<td>14 18</td>
<td>0 8 (hazy)</td>
<td>9 14</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**CONTROL (µg per disc)**

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<table>
<thead>
<tr>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Ampicillin</td>
<td>10</td>
<td>12</td>
<td>12</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Tetracycllin</td>
<td>30</td>
<td>18.9</td>
<td>18.9</td>
<td>22</td>
<td>22</td>
<td>19</td>
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</tbody>
</table>


### 3.3.4 Molecular Docking

In order to rationalize the antibacterial effects displayed by 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one (A1) and 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxycroman-4-one (A2), the homoisoflavones were docked into the cytoplasmic active site of integral membrane protein, phospho-MurNAc-pentapeptide translocase (MraY) (Figure 3.5). The choice of MraY for docking studies was hinged on its role as a catalyst for the first step in the second stage of lipid-linked reaction cascade for the biosynthesis of the bacterial cell wall peptidoglycan layer (Egan et al., 2020; Chung et al., 2013). The docking calculations predict that the ligands (Figure 3.2) bind in the same active site region, highlighting the similarity in
their structure and antibacterial potency. Nonetheless, slight differences in the binding pattern exist, attributed to the hydroxy unit in compound A2 and consequently, the positioning of ring-A in the active site. The polarity of the hydroxy unit seems to impair the fitness and stability of the molecule in the active site, as evidenced by the ligand’s inferior docking results compared to compound A1 (Table 3.5).

Table 3.5. Molecular docking results of homoisoflavones with MraY.

<table>
<thead>
<tr>
<th>Ligand</th>
<th>Docking score</th>
<th>XPG score</th>
<th>IFD score</th>
<th>Glide emodel</th>
<th>Glide energy (kcal mol⁻¹)</th>
<th>MM-GBSA ΔG bind (kcal mol⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Compound A1</td>
<td>-6.909</td>
<td>-6.909</td>
<td>-668.50</td>
<td>-53.353</td>
<td>-44.413</td>
<td>-68.87</td>
</tr>
<tr>
<td>Compound A2</td>
<td>-5.173</td>
<td>-5.173</td>
<td>-665.85</td>
<td>-50.541</td>
<td>-40.280</td>
<td>-51.54</td>
</tr>
</tbody>
</table>

A1:3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, A2:3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one.

Analysis of the MraY-3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one complex revealed the hydrogen bond (H-b) interactions of the A-ring with Lys70, Asp196, Asn255, and Met263 via its methoxy groups as well as aromatic H-b and π-π stacking interactions with Asp196 and Phe262, respectively. The carbonyl oxygen of the B-ring also furnishes H-b interaction with Gly264 while the C-ring engages in π-cation and hydrophobic interactions with Lys133 and Asp265, respectively. On the other hand, the MraY-3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one complex is characterized by H-b interactions of the A-ring methoxy groups with Thr75 and Lys121, the hydroxy unit with Asp118 as well as the B-ring carbonyl oxygen with Gly264. The A- and C-rings also provided π-cation and π-π stacking interactions with Lys121 and Phe262, while hydrophobic contacts with Leu195, Asp196, and Asp262 presumably stabilized the complex in the active site.
Figure 3.5. 3D representation of the modeled MraY complexes of SNL-17 (a) and SNL-34 (b).

Protein interactions are shown as dashed lines; H-bond (yellow), aromatic H-bond (cyan), π-π stacking (light blue), and π-cation (green). Atoms: carbon (3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one: green; 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxycroman-4-one: cyan; protein: orange), nitrogen (blue), oxygen (red), hydrogen (white).

Furthermore, the modest antibacterial potency of these biomolecules is traceable to their lack of solid and requisite interactions with active site residues, particularly the aspartic triad (Asp117, Asp118, and Asp265), His324 (of the HHH motif), and Leu191 in the hydrophobic groove (Chung et al., 2013; Fer et al., 2015). We believe that synthetic manipulations of the homoisoflavanones framework would help attenuate these shortcomings for enhanced antibacterial potency.
3.4 CONCLUSION

This report described the isolation of two new homoisoflavonoids from the leaves, five known homoisoflavonoids, one stilbene, and a sterol glucoside from the bulbs of *S. nervosa*, thus confirming that all the plant parts are rich in homoisoflavonoids. The screening of the organic extracts and isolated compounds for antibacterial activity showed that homoisoflavonoids do not have good antibacterial activity compared to other classes of flavonoids but their activity was better than that of extracts. Furthermore, this study explored the potential of homoisoflavonoids that showed moderate antibacterial activity. The result showed poor binding with the active site of the protein. Although homoisoflavonoids have been isolated from different plant families, little information exists on their biological activities concerning their structures. Future work will be to synthetically modify the structures of homoisoflavonoids reported to have moderate antibacterial activity to enhance their activity and study their structure-activity relationships.
REFERENCES


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SUPPORTING INFORMATION

NMR data of isolated compounds

Compound A1 (3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one): Yellow sticky oil.

IR ν_{\text{max}} \text{ (cm}^{-1}\text{): } 2934 \text{ (C-H)}, 1636 \text{ (C=O)}, 1602, 1568, 1510, 1453 \text{ (C=C)}, 212 \text{ (C-O)}.

HR-ESI-MS m/z 351.1213 (measured) for [M+Na]^+ corresponding to C_{19}H_{20}O_{5}Na and 351.1208 (calculated) with a mass error of 1.4 ppm.

^{1}H-NMR (CD_{3}OD, 400 MHz) δ ppm 7.10 (2H, d, H-2’, H-6’, J=8.35 Hz), 6.83 (2H, d, H-3’, H-5’, J=8.35 Hz), 6.10 (1H, d, H-6, J=2.10 Hz), 6.04 (1H, d, H-8, J=2.10 Hz), 4.21 (1H, dd, H-2a, J=15.52 Hz, 7.07 Hz), 4.03 (1H, dd, H-2b, J=15.52 Hz, 3.71 Hz), 3.06 (1H, dd, H-9a, J=18.29 Hz, 9.15 Hz), 2.69 (1H, m, H-3), 2.59 (1H, dd, H-9b, J=18.29 Hz, 3.77 Hz), 3.79 (3H, s, OCH_{3}-7), 3.77 (3H, s, OCH_{3}-5), 3.73 (3H, s, OCH_{3}-4’)

^{13}C-NMR (CD_{3}OD, 400 MHz) δ ppm 193.7 (C-4), 167.7 (C-7), 166.5 (C-5), 163.9 (C-8a), 159.8 (C-4’), 131.8 (C-1’), 131.1 (C-5’, 3’), 115.1 (C-6’, 2’), 94.6 (C-6), 93.8 (C-8), 70.1 (C-2), 49.6 (C-3), 33.1 (C-9), 56.3 (OCH_{3}-5), 56.2 (OCH_{3}-7), 55.7 (OCH_{3}-C4’).

Compound A2 (3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxycroman-4-one): Brown gum

IR ν_{\text{max}} \text{ (cm}^{-1}\text{): } 3326(-OH), 2941, 1646 \text{ (C=O)}, 1607 \text{ (C=C)}, 1513, 1453 \text{ (C-H)}.

LRMS m/z 367.1070 (measured) for [M+Na]^+ corresponding to C_{19}H_{20}O_{6}Na and 367.11576 (calculated) with a mass error of -22.360828 ppm

^{1}H-NMR (DMSO, 400 MHz) δ ppm 7.00 (2H, d, H-2’, 6’, J=8.46 Hz), 6.69 (2H, d, H-3’, 5’, J=8.46 Hz), 6.38 (1H, s, H-8), 4.20 (1H, dd, H-2a, J= 11.32 Hz, 4.28 Hz), 4.00 (1H, dd, H-2b, J= 11.32 Hz, 8.32 Hz), 2.71 (1H, m, H-3), 2.97 (1H, dd, H-9a, J= 13.89 Hz, 5.16 Hz), 2.54 (1H, dd, H-9b, J= 13.89 Hz, 9.42 Hz), 3.81 (3H, s, OCH_{3}-5), 3.71 (3H, s, OCH_{3}-7), 3.68 (3H, s, OCH_{3}-4’).
\(^{13}\)C-NMR (DMSO, 400 MHz) δ_c ppm 191.1 (C-4), 158.9 (C-5), 156.3 (C-7), 155.2 (C-4'), 147.2 (C-8a), 134.8 (C-6), 130.3 (C-2', 6'), 129.0 (C-1'), 115.6 (C-3', 5'), 108.4 (C-4a), 96.3 (C-8), 69.3 (C-2), 31.7 (C-9), 60.7 (C-5 OCH\(_3\)), 56.4 (C-7 OCH\(_3\)), 56.0 (C-4' OCH\(_3\)).

Compound A\(_3\) (3-(4-hydroxybenzyl)-5,7-dimethoxy-6-hydroxychroman-4-one): Orange

IR \(\nu_{\text{max}}\) (cm\(^{-1}\)): 3345 (-OH), 2936 (C-H), 1744 (C=O), 1652 (C=C), 1606 (C=C), 1514, 1496, 1452 (C-H).

LRMS \(m/z\) 331.0805 (measured) for [M+H]+ corresponding to C\(_{18}\)H\(_{18}\)O\(_6\)H and 331.1103

\(^1\)H NMR (MeOD, 400 MHz) δ_h ppm 7.01 (2H, d, H-2', 6', \(J=8.1\) Hz), 6.73 (2H, d, H-3', 5', \(J=8.2\) Hz), 6.29 (1H, s, H-8), 4.18 (1H, dd, H-2a, \(J=11.3\) Hz, 3.8 Hz), 4.12 (1H, dd, H-2b, \(J=11.3\) Hz, 7.0 Hz), 3.02 (1H, dd, H-9a, \(J=13.2\) Hz, 4.0 Hz), 2.58 (1H, dd, H-9b, \(J=13.2\) Hz, 10.0 Hz), 3.84 (3H, s, OCH\(_3\)-7), 3.78 (3H, s, OCH\(_3\)-5)

\(^{13}\)C-NMR (MeOD, 400 MHz) δ_c ppm 192.9 (C-4), 157.1 (C-8a), 155.5 (C-4'), 155.3 (C-7), 146.6 (C-5), 134.3 (C-6), 129.8 (C-2', 6'), 129.1 (C-1'), 115.0 (C-3', 5'), 107.7 (C-4a), 95.6 (C-8), 68.8 (C-2), 48.3 (C-3), 31.8 (C-9), 60.2 (C-5 OCH\(_3\)), 55.4 (C-7 OCH\(_3\)).

Compound A\(_4\) (5-hydroxy7-methoxy-3-(3'-hydroxy-4'-methoxybenzyl)chroman-4-one): Light yellow

IR \(\nu_{\text{max}}\) (cm\(^{-1}\)): 3426 (-OH), 2917 (C-H), 1636 (C=O), 1574, 1512 (C=C), 1462 (C-H).

HR-ESI-MS \(m/z\) 353.1003 (measured) for [M-H]+ corresponding to C\(_{18}\)H\(_{18}\)O\(_6\)Na and 353.1001 (calculated) with a mass error of 0.6 ppm.

\(^1\)H-NMR (CDCl\(_3\), 400MHz) δ_h ppm 12.08 (1H, s, OH-5), 6.78(1H, broad s, H-2'), 6.76 (1H, s, H-5'), 6.68 (1H, dd, H-6', \(J=10.24\) Hz, 6.12 Hz), 6.03 (1H,d, H-6, \(J=2.30\) Hz), 5.94(1H, d, H-8, \(J=2.30\) Hz), 4.26 (1H, dd, H-2a, \(J=15.63\) Hz, 7.21 Hz), 4.10 (1H, dd, H-2b, \(J=15.63\) Hz, 4.2
Hz), 3.15 (1H, dd, H-9a, J=18.49 Hz, 9.48 Hz), 2.79 (IH, m, H-3), 2.64 (1H, dd, H-9b, J=18.49 Hz, 3.37 Hz)

13C-NMR (CDCl₃, 400 MHz) δH ppm 197.9 (C-4), 167.8 (C-7), 164.4 (C-5), 162.8 (C-8a), 145.7 (C-4'), 145.4 (C-3'), 131.0 (C-1'), 120.6 (C-6'), 115.2 (C-5'), 102.6 (C-4a), 94.9 (C-6), 93.8(C-8), 69.0 (C-2), 46.6 (C-3), 31.9 (C-9). 55.9 (OCH₃-7), 55.6 (OCH₃-3').

Compound A₅ (5,6,7-trihydroxy-3-(4'-hydroxy-3'-methoxybenzyl) chroman-4-one): Light yellow. IR νmax (cm⁻¹): 3606(-OH) 2916 (C-H), 1720 (C=O), 1668, 1606 (C=C), 1573, 1512, 1475, 1462 (C-H).

HR-ESI-MS m/z 331.0802 (measured) for [M]^+ corresponding to C₁₇H₁₅O₇ and 331.0818 (calculated) with a mass error of -4.8 ppm.

³¹H-NMR (CDCl₃, 400 MHz) δH ppm 6.78 (1H, H-2', d, J=1.92 Hz), δ6.76(1H, H-5', d, J=), 6.6(1H, H-6', dd, J=8.05Hz, 2.06 Hz), 6.01(1H, s, H-8), 4.21(1H, dd, H-2a, J=11.3 Hz, 4.14 Hz), 4.06 (1H, dd, H-2b, J=11.3 Hz, 8.3 Hz), 3.12 (1H, dd, H-9a, J=13.6Hz, 4.3 Hz), 2.6 (IH, dd, H-9b, J=13.6 Hz, 10.5 Hz), 2.68 (1H, m, H-3), 3.89 (3H, s,OCH₃-3').

³¹C-NMR (CDCl₃, 400 MHz) δ198.7(C-4), 158.2 (C-5), 157.4 (C-7), 154.7 (C-8a), 145.7 (C-4'), 145.4 (C-3'), 130.6 (C-1'), 130.2 (C-6), 120.6 (C-6'), 110.8 (C-5'), 102.5 (C-4a), 94.2 (C-8), 69.0 (C-2), 46.7 (C-3), 31.9 (C-9), 61(OCH₃-3'). IR νKBr max (cm⁻¹): 3606(-OH) 2916, 1720 (C=O), 1668, 1606, 1573, 1512, 1475, 1462, 1246, 1214

Compound A₆ (5,6-dihydroxy-7-methoxy-3-(4'-hydroxy-3'-methoxybenzyl)chroman-4-one): Light yellow. IR νmax (cm⁻¹): 3602 (-OH), 2915, 2849 (C-H), 1729 (C=O), 1607 (C=C), 1512, 1469 (C-H).

HR-ESI-MS m/z 347.1114 (measured) for [M]^+ corresponding to C₁₈H₁₉O₇ and 347.1131 (calculated) with a mass error of -4.9 ppm.
$^1$H-NMR (CDCl$_3$, 400MHz) δH ppm 6.81 (1H, d, H-2’, J=2.0 Hz), 6.7 (1H, d, H-5’, J= 8.2 Hz), 6.67 (1H, dd, H-6’, J=8.2 Hz, 2.0 Hz), 6.01 (IH, s, H-8), 4.20 (1H, dd, H-2a, J=12.6 Hz, 4.17 Hz), 4.06 (1H, dd, H-2b, J= 12.6 Hz, 7.2 Hz), 3.12 (1H, dd, H-9a, J= 13.9 Hz, 2.0 Hz), 2.76 (1H, m, H-3), 3.90 (3H, s, OCH$_3$-6), 3.85 (3H, s, OCH$_3$-3’), 12.2 (1H, s, OH-5)

$^{13}$C-NMR (CDCl$_3$, 400MHz) δC ppm 198.7 (C-4), 158.7 (C-5), 157.3 (C-7), 154.7 (C-8a), 145.7 (C-4’), 145.4 (C-3’), 130.1 (C-6), 120.6 (C-6’), 115.1 (C-2’), 110.8 (C-5’), 102.5 (C-4a), 94.1 (C-8), 69.0 (C-2), 46.7 (C-3), 31.9 (C-9) 61. (OCH$_3$-6), 56.2 (OCH$_3$-3’).

Compound A7 (3-(4'-hydroxybenzylidene)-5,7-dihydroxychroman-4-one: Yellow powder

IR ν$_{\text{max}}$ (cm$^{-1}$): 3399 (OH), 1638 (C=C), 1464 (C-H).

HR-ESI-MS m/z 283.0607 (measured) for [M]$^+$ corresponding to C$_{16}$H$_{11}$O$_5$ and 283.0606 (calculated) with a mass error of 0.4 ppm.

$^1$H-NMR (DMSO, 400MHz) δH ppm 7.32 (2H, dd, H-2’, 6’ J=8.6 Hz), 7.67 (1H, d, H-9, J=2.0 Hz), 6.88 (2H, dd, H-3’ 5’, J=8.6 Hz), 5.9 (1H, d, H-6, J=2.0 Hz), 5.8 (1H, d, H-8, J=2.0 Hz), 5.31 (1H, d, H-2a, J=1.7 Hz), 5.31 (1H, d, H-2b, J=1.7 Hz), 12.85 (1H, s, OH-5)

$^{13}$C-NMR (DMS0, 400 MHz) δC ppm 184.8 (C-4), 167.2 (C-7), 164.9 (C-5), 162.3 (C-8a), 159.8 (C-4’), 137.1 (C-9), 133.3 (C-2’ 6’), 126.5 (C-1’), 125.2 (C-3), 116.3 (C-3’, 5’), 102.1 (C-4a), 96.6 (C-6), 95.2 (C-8), 67.6(C-2).

Compound A8 (Rhapontigenin): Yellow powder

IR ν$_{\text{max}}$ (cm$^{-1}$): 3443 (OH), 2251 (C-H), 1661 (C=O), 1224 (C=C).

HR-ESI-MS m/z 259.0972 (measured) for [M]$^+$ corresponding to C$_{15}$H$_{15}$O$_4$ and 259.0970 (calculated) with a mass error of 0.8 ppm.
$^1$H NMR (MeOD, 400MHz) $\delta$H ppm 3.82 (3H, s, OMe), 6.14 (1H, d, J = 1.8 Hz, H-4’), 6.41 (2H, br, J = 1.8 Hz, H-2’ and H-6’), 6.85 (1H, d, J = 8.3Hz, H-5), 6.94 (1H, d, J = 16.2 Hz, H-a’), 7.02 (1H,dd, J = 8.3, 1.8 Hz, H-6), 7.04 (1H, d, J = 16.2 Hz, H-b), 7.17 (1H, d, J = 1.8 Hz, H-2);

$^{13}$C NMR (MeOD) $\delta$C ppm 158.9 (C-3’, C-5’), 148.2 (C-3), 147.0 (C-4), 139.6 (C-1’), 130.5 (C-1), 128.3 (C-a), 126.9 (C-b), 120.6 (C-6), 116.0 (C-5), 110.3 (C-2), 104.9 (C-2’and C-6’), 102.3 (C-4’), 56.0 (OMe).

Compound A9 (Spinasterol-3-O-β-D-glucopyranoside): white flakes

IR $\nu_{max}$ (cm$^{-1}$): 3403.06 (OH), 2939.08 (CH$\_2$ -CH), 1377.54 (C=C), 1027.70 (CH).

$^1$H NMR(400 MHz, DMSO) $\delta$ 5.14 (1H, br s, H-7), 5.20(1H ,dd, J = 15.4, 8.5 Hz, H-22), 5.09 (1H, dd, J = 15.4 8.5 Hz, H-23), 4.25 (1H, d, J = 7.6 Hz, H-1’), 3.57 (1H, br s, H-3’), 3.68 (1H, d, J = 5.6 Hz, Hβ-6’), 3.47 (1H, d, J = 5.6 Hz, Hα-6’), 3.17 (1H, m, H-5’), 3.10 (1H, m, H-3), 3.10 (1H, m, H-4’), 2.94 (1H, m, H-2’), 0.54 (3H, s, H-18), 0.79 (3H, s, H-19), 1.02 (3H, d, J = 6.7 Hz, H-21), 0.80 (3H, s, H-26, 29), 0.85 (3H, d, J = 6.7 Hz H-27).

$^{13}$C-NMR (100MHz, DMSO), $\delta$ 36.7 (C1), 29.1 (C2), 76.6 (C3), 34.1 (C4), 40.2 (C5), 29.3(C6), 117.1 (C7), 139.1 (C8) 48.9 (C9), 33.9 (C10), 21.1 (C11), 38.9 (C12), 42.9 (C13), 54.5 (C14), 22.5 (C15), 27.8 (C16), 55.5 (C17), 11.8 (C18), 12.6 (C19), 40.2 (C20), 21.0 (C21), 137.6 (C22), 129.2 (C23), 50.5 (C24), 31.2 (C25), 18.8 (C26), 20.7 (C27), 24.6 (C28), 11.9 (C29). 101.0 (C-1’), 73.6 (C-2’), 76.6 (C-3’), 70.4 (C-4’), 76.9 (C-5’), 61.4 (C-6’).
CHAPTER 4

Evaluation of the cytotoxic potential of homoisoflavonoids and extracts from *Scilla nervosa* (Burch.) Jessop

ABSTRACT

*Scilla nervosa* (Burch.) Jessop is a bulbous plant indigenous to South Africa with various uses in traditional medicine, especially as an anti-inflammatory. A phytochemical investigation of *S. nervosa* has led to the isolation of more than twenty homoisoflavonoids. This study investigated the *in-vitro* cytotoxicity of isolated homoisoflavonoids and crude extracts from the plant against two cancer cell lines, Caco-2 (human colorectal adenocarcinoma) and HepG2 (human hepatoma), and the normal cell line, Hek-293 (human embryonic kidney), using the MTT assay. Cells were treated with half-maximal inhibitory concentrations (IC\textsubscript{50}) of the samples to investigate ATP, LDH, and mitochondrial membrane potential by JC-1 staining. All tested samples decreased the viability of Caco-2 and HepG2 cell lines in a dose-dependent manner, with a U-shaped cytotoxicity graph in some cases. The IC\textsubscript{50} values were between 13-71 µg/mL in Caco-2 cell lines and 36-520 µg/mL in HepG2 cell lines for the homoisoflavonoids. The methanol extract of leaves also demonstrated promising activity in both Caco-2 and HepG2 cell lines with an IC\textsubscript{50} value of 7.79 and 9.29 µg/mL, respectively. Treating the different cell lines at the IC\textsubscript{80} and IC\textsubscript{50} concentrations of the pure compounds and crude extracts resulted in a decrease in intracellular ATP level for the compounds and crude extracts, an increase in LDH releases, and no significant change in mitochondrial membrane potential for HepG2 and Hek-293 cell lines. These results showed that homoisoflavonoids and organic extracts of *S. nervosa* induced cytotoxicity via ATP depletion and disruption of plasma.
In addition, their effect on mitochondrial membrane potential is concentration and cell line dependent.

**Keywords**: cytotoxicity, homoisoflavonoids, MTT, ATP, LDH, MMP

### 4.1 Introduction

Traditional medicine has proven valuable in the treatment and management of different kinds of ailments among South Africans. The World Health Organization (WHO) reported that 80% of the world's population still rely on traditional medicine for their primary healthcare needs. While in South Africa, about three million people are reported to be using indigenous, traditional medicine for primary healthcare purposes (Van Wyk and Gericke, 2000). The country is a host to 30,000 flowering plants, which account for 10% of the world's higher plant species (Street and Prinsloo, 2013); among these, only a few have been exploited and studied for their pharmacological potential. There is a growing interest in screening traditionally used medicinal plants for pharmacological activities and their chemical profiling. Plants herbal preparations have been used since antiquity to treat different ailments, including cancer. Despite the success recorded in exploring natural products in drug research, most plant species have not been researched (Amaral et al., 2009).

About 25% of drugs in the modern pharmacopeia are derived from plants, while 60% of drugs used as anticancer agents are from nature (Ramawat and Goyal, 2009). Cancer is the second leading cause of death globally and accounts for 8.8 million deaths annually in humans (Om et al., 2019). The search for cancer therapeutics with good efficacy with minimal side effects and toxicity is an integral part of drug discovery and development. Also, bioactive constituents of plants have served as leads in the development of new drug candidates due to their structural
diversity. Additionally, their biological activities could be ameliorated by modification of their molecular structure.

The genus *Scilla* (Hyacinthaceae), sub-family Hyacinthoideae, represents about 80 taxa globally, of which six species are native to South Africa (Crouch et al., 1999), including *Scilla krausii* Bak., *Scilla natalensis* Planch., *Scilla nervosa* Burch., and *Scilla dracomontana* Hilliard and Burch. *S. nervosa* is one of the bulbous plants that are of importance to traditional healers in South Africa (Louw et al., 2002). *Scilla* species are widely used in traditional medicine; a decoction from the bulb is used as an enema for internal tumors by the Sotho’s (Hutchings et al., 1996) and as an antimicrobial (Lee and Lee, 2013). Phytochemical investigations of *S. nervosa* have been carried out extensively; however, there is a dearth of information on the scientific evaluation of the anticancer potential of the homoisoflavonoids and organic extracts of the plant.

Homoisoflavonoids are a naturally occurring form of flavonoids, and unlike the other forms of flavonoids, they are limited in occurrence (Castelli and Lopez, 2017). They differ from other flavonoids by an additional carbon (C₆-C₄-C₆) to the C₆-C₃-C₆ carbon skeleton of flavonoids. They have been reported to possess good antioxidant (Youichirou et al., 2013), antiangiogenic (Schwikkard et al., 2018), antibacterial (Du Toit et al., 2007), and chemoprotective properties (Machala et al. 2001).

In our previous work, we isolated and identified the major constituents of *S. nervosa* and screened selected isolated homoisoflavonoids and crude extracts for their antibacterial activity against various Gram-positive and Gram-negative bacteria. This study explored the anticancer potential of the crude extracts and selected homoisoflavonoids previously isolated from the plant on two tumor cell lines (Caco-2 and HepG2) and one standard cell line (Hek-293).
4.2 MATERIALS AND METHODS

4.2.1 Plant Material and Isolation of Compounds

*S. nervosa* was purchased from the Berea muthi market. The taxonomist in the School of Life Sciences, University of KwaZulu Natal, authenticated the species, and a voucher specimen was lodged in the ward herbarium of the School. Extraction, isolation, purification, and identification of pure compounds was conducted, as previously reported.

4.2.2 Chemicals and Reagents

Dulbecco’s minimum essential medium (DMEM), L-Glutamine, penstrep-fungizone, and trypsin were procured from Whitehead Scientific. The lactate dehydrogenase (LDH) cytotoxicity detection kit and CellTitre-Glo ® reagent were purchased from Merck and prepared according to the manufacturer's instructions.

4.2.3 Cell Lines and Cultures

Human CaCo-2, HepG2 tumor cell lines, and Hek-293 normal kidney cell lines were procured from America Tissue Culture Collection (ATCC) (Virginia, USA). The cells were propagated in 25 mL tissue culture flasks in complete culture medium (CCM) which consists of 500 mL DMEM supplemented with 1% L-glutamine, 1% penicillin-streptomycin-fungizone and 10% FCS at 37°C and 5% CO₂ until 100% confluence. The cells were rinsed three times with phosphate buffer saline (PBS), Caco-2, and Hek-293 were harvested by trypsinizing with 1 mL of trypsin-EDTA. Cells were counted using the trypan blue method.

4.2.4 Cell Viability

*MTT (3-[4,5-Dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide) assay*

Test samples (25 mg of pure compounds and 50 mg of crude extracts) were dissolved in 100 µL of dimethyl sulfoxide (DMSO), then made up to 10 mL with dichloromethane (DCM) to
make stock solutions of 2.5 mg/mL and 5 mg/L, respectively. The final concentration of DMSO in the stock solution was 1%. Working concentrations of 50 µg/mL - 5 mg/mL were prepared from the stock solutions for the MTT cell viability assay, while IC₈₀ and IC₅₀ concentrations from the MTT assay were used for other assays. The ability of the cells to reduce MTT (3-(4, 5-dimethylthiazol-2-yl) 2, 5-diphenyltetrazolium bromide) after exposure to test samples was used to evaluate their cytotoxicity. Briefly, CaCo-2, HepG2, and Hek-293 cells were seeded in a 96-well microtiter plate (2 × 10⁵ cells/ well) and incubated overnight (37°C in 5% CO₂) to allow cells to adhere to the well. Cells were treated with varying concentrations of test samples at a volume of 100 µL for 24 h. After cultivation for 24 h, the media was removed, and 10 µl MTT solution at a concentration of 5 mg/mL and 100 µL of phosphate buffer saline (PBS) were added to each well. The plates were incubated at 37°C for 4 h. Thereafter, the MTT solution was removed, and DMSO (100 µL) was added to solubilize the formed formazan crystal. The cell viability was measured using a Bio-Tek µQuant plate spectrophotometer at 540 nm (Winooski, Vermont, United States). All experiments were done in triplicate.

Cell viability (%) = (Average OD of treated cells / Average OD of control cells) × 100

_Mitochondria membrane potential (MMP) assay_

Cells were cultured in a humid environment at 37°C and 5% CO₂, 2 × 10⁵ were plated into each well of a 96-well plate reader. Cells were treated with the concentration of IC₈₀ and IC₅₀ values obtained from the MTT assay for each test sample and incubated for 24 h to induce apoptosis. JC-10 dye was prepared according to the manufacturer's description. Treatment media was removed and stored for other assays. Thereafter, 50 µL of PBS and 25 µL of JC-10 dye were added to each well and incubated at 37°C for 1 h. The plates were protected from light by wrapping in foil before being placed into the incubator. After incubation, fluorescent intensity was read at 490 nm excitation and 525 nm emission for green fluorescent monomers, and 540
 nm excitation and 590 nm emission for red fluorescence aggregates. Data were presented as a ratio of red (590 nm) to green (525 nm) fluorescence.

*Adenosine triphosphate (ATP) assay*

The effect of the IC$_{50}$ and IC$_{80}$ doses of test samples obtained from the MTT assay against the viability of Caco-2, HepG2, and Hek-293 cell lines after 24 h treatment was evaluated using the CellTiter-Glo® reagent (Promega), which was prepared according to the manufacturer's instructions. Immediately after the mitochondria membrane potential assay was carried out, 25 µL of CellTitre-Glo® reagent was added to each well after 50 µL of PBS. Luminescent reading was done on a Modulus™ microplate luminometer (Turner Bio-Systems, California, USA).

*Lactate dehydrogenase (LDH) release*

LDH is released into the culture medium when cell lysis occurs during apoptosis and necrosis, and this can be used as a measure of cytotoxicity. IC$_{50}$ and IC$_{80}$ concentrations obtained from the MTT cell viability assay for each test sample were used to evaluate their cytotoxicity after exposure in Caco-2, HepG2, and Hek-293 cell lines. A volume of 50 µL of supernatant of cell treatment was pipetted into a 96-well plate in triplicate. After that, 25 µL of a reagent consisting of catalyst (diaphorase) and the INT/sodium lactate dye solution was added to each well and kept at room temperature for 30 min then 12.5 µL of stop solution was added. Readings were recorded on a spectrophotometer (Biotek µQuant spectrophotometer, Winooski, Vermont, United States) at a wavelength of 480 nm.

**4.2.8 Statistical Analysis**

Data analyses were done on Microsoft Excel. IC$_{50}$ values were calculated using the non-linear regression dose versus response curve on GraphPad Prism 5 software (GraphPad Software Inc., San Diego, California, United States). IC$_{80}$ values were extrapolated from the graph. Statistical difference (p < 0.05) from control was determined by student t-test.
4.3 RESULTS

*MTT (3-[4,5-Dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide) assay*

The cells were treated with different working concentrations (50 µg mL\(^{-1}\) to 5 mg mL\(^{-1}\)) of the test samples (3 homoisoflavonoids and 3 crude extracts) for 24 h. The viability of the cells was determined using the MTT assay. Cell viability was presented relative to the untreated cells (control). The toxic effects were observed at IC\(_{50}\) concentrations that were extrapolated from the graph. Cell viability was reduced following 3-(4-methoxybenzyl)-5, 7-dimethoxychroman-4-one (compound 1) administration from 100% in the control to 48% at 25 µg mL\(^{-1}\) in Caco-2, 69% in HepG2, and 14% in Hek-293 cell lines at the same concentration, giving an IC\(_{50}\) concentration of 17.65 µg mL\(^{-1}\), 51.66 µg mL\(^{-1}\) and 8.577 µg mL\(^{-1}\), respectively. A U-shaped cytotoxicity curve was observed for compound 1 across all cell lines (Figure 4.1).

A stimulatory effect was observed after treatment with 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one (compound 2), in HepG2 cells (Figure 4.1). Cell viability increased from 100% to 118% at 25.12 µg mL\(^{-1}\). Thereafter, cell viability decreased to 110% at 50.12 µg mL\(^{-1}\) and 76% at 251.19 µg mL\(^{-1}\). Cell viability was reduced to 55% and 35% in Caco-2 and Hek-293 at 25.12 µg mL\(^{-1}\), respectively. Furthermore, it was reduced to 35% and 14% at 50.12 µg mL\(^{-1}\) for both cell lines. After the administration of 3-(4-hydroxybenzylidene)-5,7-dihydroxychroman-4-one (compound 3), cell viability in Caco-2 was reduced to 44% at 25.12 µg mL\(^{-1}\) and 8% at 50 µg mL\(^{-1}\); a dose-dependent reduction was observed with an IC\(_{50}\) value of 13.57 µg mL\(^{-1}\). In HepG2 cells, there was no decrease in cell viability across the concentrations from 0-25 µg mL\(^{-1}\). A sharp decrease in cell viability from 100% to 11% was observed at 50.12 µg mL\(^{-1}\), and the IC\(_{50}\) value was 36.34 µg mL\(^{-1}\). Cytotoxicity was observed in Hek-293 cells at a concentration of 25.12 µg mL\(^{-1}\), where cell viability was reduced from 100% to 11% with a generated IC\(_{50}\) value of 6.71 µg mL\(^{-1}\).
A reduction in cell viability for Caco-2 (61%), HepG2 (95%), and Hek-293 (65%) were observed after treatment with the DCM extract (50.12 µg mL⁻¹) (Figure 4.1). At a higher concentration (1000 µg mL⁻¹), a further reduction in cell viability was observed; Caco-2 (9%), HepG2 (14%), and Hek-293 (6%). Cell viability reduced from 100% (control) to 47% (Caco-2), 82% (HepG2), and 19% (Hek-293) after treatment with the ethyl acetate (EtOAc) extract (50.12 µg mL⁻¹). The cytotoxicity profile for the EtOAc extract was U-shaped, similar to the DCM extract (Figure 4.1). Cytotoxicity was most prominent with the methanol (MeOH) extract against Caco-2 and HepG2 cells, with IC₅₀ concentrations of 7.79 µg mL⁻¹ and 9.29 µg mL⁻¹, respectively. Additionally, a reduction in cell viability from 100% (control) to 4% (Caco-2), 7% (HepG2), and 12% (Hek-293) was noted at a concentration of 50.12 µg mL⁻¹.
Mitochondrial membrane potential (MMP, ΔΨm)

Caco-2, HepG2, and Hek-293 cells were treated with IC\textsubscript{80} and IC\textsubscript{50} concentrations of the three homoisoflavonoids and three crude extracts. The results are expressed as fold change (Figure 4.2). The MMP did not significantly decrease in Caco-2 (1.01-fold) and the increase in HepG2 (1.09-fold), and Hek-293 (1.07-fold) was also not significant after treatment with an IC\textsubscript{80} dose of compound 1. At the IC\textsubscript{50} concentration of compound 1, 1.01 and 1.05-fold increase in MMP was observed in Caco-2 and HepG2 cell lines respectively, while a 1.02-fold decrease was seen in Hek-293. Treatment with an IC\textsubscript{80} dose of compound 2 resulted in a 1.09, 1.52 (\(p = 0.023\)) and 1.03-fold increase in Caco-2, HepG2, and Hek-293. In addition, the IC\textsubscript{50} dose showed a 1.40 (\(p = 0.0436\)) and 2.19 (\(p = 0.0008\)) fold increase in Caco-2 and HepG2 cells, with a 1.03-fold decrease in Hek-293 cells. The IC\textsubscript{80} dose of compound 3 showed a 1.05-fold increase in Hek-293 but a 1.45 (\(p = 0.0061\)) and 1.02-fold decrease in Caco-2 and HepG2 cells. Furthermore, the IC\textsubscript{50} dose showed a 1.15, a 1.01, and 1.14-fold decrease in Caco-2, HepG2, and Hek-293 cells. Among the three pure compounds, compound 2 showed a significant increase in the MMP of HepG2 cell lines at both IC\textsubscript{80} and IC\textsubscript{50} concentration, while compound 3 significantly decrease MMP in Caco-2 cell at IC\textsubscript{80} concentration.

The DCM extract at an IC\textsubscript{80} dose showed a significant decrease in Caco-2 (1.82-fold, \(p = 0.0337\)), no significant decrease in Hek-293 (1.02-fold), but a significant increase (\(p = 0.0173\)) was observed in HepG2 (1.44-fold). At the IC\textsubscript{50} dose, a decrease was observed in Caco-2 (1.11-fold), a significant increase (\(p = 0.0285\)) in HepG2 (1.52-fold) and 1.05-fold increase in Hek-293. Exposure to the EtOAc extract at an IC\textsubscript{80} dose showed a decrease in MMP in Caco-2 (1.30-fold), a significant increase (\(p = 0.0056\)) in HepG2 (1.20-fold) and a 1.01-fold increase in Hek-293 cell lines. The IC\textsubscript{50} dose showed a significant decrease (\(p = 0.0377\)) in Caco-2 (1.32-fold) a significant increase (\(p = 0.0013\)) in HepG2 (1.40-fold) and a 1.04-fold increase in Hek-293. Treatment with the methanol extract at the IC\textsubscript{80} dose showed a significant
decrease ($p = 0.0135$) in Caco-2 (1.64-fold), 1.03-fold decrease in HepG2, and a significant increase ($p = 0.0369$) in Hek-293 (1.10-fold) and at the IC$_{50}$ dose, an increase in MMP was observed in Caco-2 (1.02-fold) and Hek-293 (1.02-fold), but a significant decrease ($p = 0.0306$) in the HepG2 (1.04-fold).

Figure 4.2 Effect of IC$_{80}$ and IC$_{50}$ concentrations of tested samples on the mitochondrial membrane potential (MMP) of Caco-2, HepG2 and Hek-293 cell lines.

 Compound 1: 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, Compound 2: 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, Compound 3 3-(4-hydroxybenzylidene)-5,7-dihydroxycrohan-4-one. DCM – dichloromethane, EtOAc – ethyl acetate and MeOH – methanol.

Adenosine triphosphate (ATP) assay

Caco-2, HepG2, and Hek-293 cells were treated with IC$_{80}$ and IC$_{50}$ concentrations of the three homoisoflavonoids and three crude extracts. The ATP value for the controls was calculated to be 1, and those for treated cells were calculated as a ratio against the control (Figure 4.3). ATP
decreased to 91% in Caco-2, and 74% in HepG2 ($p = 0.0270$), but a significant increase to 144% in Hek-293 ($p = 0.0706$) after exposure to the IC$_{80}$ concentration of compound 1. At the IC$_{50}$ concentration, a significant reduction to 66% ($p = 0.0080$) and 61% ($0.0028$) was observed in Caco-2 and HepG2, respectively, while a significant increase ($p = 0.0014$) to 120% was seen in Hek-293. Treatment with an IC$_{80}$ concentration of compound 2 decreased ATP to 95% in Caco-2, and 83% in HepG2, while an increase ($p = 0.0322$) to 131% was observed in Hek-93. The IC$_{50}$ concentration decreased ATP in Caco-2 to 70% ($p = 0.0115$) and 32% ($p = 0.0008$) in HepG2, with a 122% ($p = 0.0396$) increase for Hek-293. For treatment with the IC$_{80}$ dose of compound 3 (Figure 3), a 6% and 19% decrease in ATP was observed in Caco-2 and HepG2, respectively, with a 40% ($p = 0.0339$) increase for Hek-293. The IC$_{50}$ concentration of the same compound resulted in a 19% ($p = 0.0229$) and 29% ($p = 0.0103$) decrease of ATP in Caco-2 and HepG2, respectively, and 30% increase in ATP for Hek-293.

Treatment with the DCM extract at IC$_{80}$ concentration decreased ATP to 77% in Caco-2 and 68% ($p = 0.0176$) in HepG2, but increased ATP to 175% ($p = 0.0180$) in Hek-293, while IC$_{50}$ treatment decreased ATP to 81% ($p = 0.0251$) in Caco-2 and 39% ($p = 0.0010$) in HepG2 but increased to 142% in Hek-293. The EtOAC extract at IC$_{80}$ concentration decreased ATP to 95% in Caco-2 and 70% ($p = 0.0163$) in HepG2 but increased it to 216% in Hek-293. At the IC$_{50}$ concentration, ATP was reduced to 72% ($p = 0.0075$) in Caco-2 and 65% ($p = 0.0044$) in HepG2 but increased to 216% in Hek-293. ATP decreased to 71% ($p = 0.0168$) in HepG2 after treatment with the MeOH extract at the IC$_{80}$ concentration but increased to 105%, and 337% ($p = 0.0422$) in Caco-2 and Hek-293, respectively. At the IC$_{50}$ concentration, ATP decreased to 72% ($p = 0.0051$) in Caco-2 and 67% ($p = 0.0106$) in HepG2 but increased to 167% ($p = 0.0087$) in Hek-293.
Figure 4.3. Effect of IC₈₀ and IC₅₀ concentrations of tested samples on the intracellular ATP levels of Caco-2, HepG2 and Hek-293 cell lines.

Compound 1: 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, Compound 2: 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, Compound 3 3-(4-hydroxybenzylidene)-5,7-dihydroxychroman-4-one. DCM – dichloromethane, EtOAc – ethyl acetate and MeOH – methanol.

**Lactate dehydrogenase (LDH) release assay**

Caco-2, HepG2, and Hek-293 cells were treated with IC₈₀ and IC₅₀ concentrations of the three homoisoflavonoids and three crude extracts (Figure 4.4). An increase in LDH level from 100% in the control to 143%, 259% (p =0.0469) and 148% (p =0.0173) was observed in Caco-2, HepG2 and Hek-293 cell lines after treatment with compound 1 at IC₈₀ concentration. At IC₅₀ concentration the increase was 225% (p =0.0158) in Caco-2, 133% (p =0.0311) in HepG2 and 160% (p =0.0251) in Hek-293 cell lines. Treatment with compound 2 IC₈₀ concentration caused significant increase (p =0.0218) in LDH released to 217% in Caco-2, and non-significant increase to 103% and 127% in HepG2 and Hek-293 cell lines, respectively. At IC₅₀ treatment of compound 2, significant increase of 295% (p =0.0243) and 168% (p =0.0199) was observed in Caco-2 and HepG2 cell lines, and non-significant increase of 162% in Hek-
Treatment with IC$_{80}$ value of compound 3 significantly reduced LDH in Caco-2 to 88% ($p = 0.0498$) and in HepG2 to 48% ($p = 0.0047$) but an increase to 116% was observed in Hek-293.

Figure 4.4. Effect of IC$_{80}$ and IC$_{50}$ concentrations of tested samples on the plasma membrane of Caco-2, HepG2 and Hek-293 cell lines.

Compound 1: 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, Compound 2: 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, Compound 3 3-(4-hydroxybenzylidene)-5,7-dihydroxychroman-4-one. DCM – dichloromethane, EtOAc – ethyl acetate and MeOH – methanol.

Treatment with the DCM extract at the IC$_{80}$ concentration resulted in 132% increase in LDH for Caco-2, 87% significant decrease ($p = 0.0113$) in HepG2, and 114% increase in Hek-293 cell lines. AT IC$_{50}$ DCM extract treatment, LDH was increased to 107% in Caco-2, 106% in HepG2 and a significant increase ($p = 0.0067$) of 117% in Hek-293. IC$_{80}$ concentration of EtOAc increased LDH to 109%, 120% and 133% in Caco-2, HepG2 and Hek-293 cell lines, respectively. At IC$_{50}$ treatment LDH was significantly increased ($p = 0.0377$) to 150% in Caco-2, significantly reduced ($p = 0.0190$) to 72% in HepG2 and non-significant increase to 127% in
Hek-293. Treatment with IC\textsubscript{80} concentration of MeOH extract significantly increase LDH in Caco-2 ($p = 0.0185$) to 161% and in HepG2 ($p = 0.0174$) to 164%. No change was observed in LDH level in Hek-293 at the same concentration. IC\textsubscript{50} concentration significantly increase ($p = 0.0008$) LDH to 204% in Caco-2, with non-significant increase of 146% in HepG2 and a non-significant decrease to 75% in Hek-293. All tested samples showed an increase in LDH for Hek-293 at both IC\textsubscript{80} and IC\textsubscript{50} except for the methanol extract.

4.3 DISCUSSION

A phytochemical investigation of \textit{Scilla nervosa} revealed it to be rich in homoisoflavonoids (Bangani, 1998; Crouch et al.,1999). The cytotoxic potential of \textit{Scilla} species indigenous to South Africa has not been well studied. \textit{Scilla} species from other regions have demonstrated potential cytotoxic activity against different cell lines (Mimaki et al., 1994; Lee et al., 2002). Therefore, this report describes the \textit{in vitro} cytotoxic effect of homoisoflavonoids and crude extracts from \textit{S. nervosa} on three different cell lines.

The MTT assay revealed the cytotoxic potential of homoisoflavonoids and extracts against Caco-2 with IC\textsubscript{50} values within the NCI (US National Cancer Institute) recommendation (IC\textsubscript{50} < 30 \textmu g/mL) for anticancer activity (Begüm and Emine, 2013). For the pure compounds, the IC\textsubscript{50} values against Caco-2 were in the order compound 3 (13.57 \textmu g/mL) > compound 1 (17.65 \textmu g/mL) > compound 2 (70.79 \textmu g/mL) while for the crude extracts, it was MeOH (7.79 \textmu g/mL) > EtOAc (37.96 \textmu g/mL) > DCM (178.4 \textmu g/mL). The MeOH extract displayed synergism due to the attenuation of cell viability for Caco-2 and HepG2 compared to the individual compounds and antagonism for the DCM and EtOAc extracts.

All tested homoisoflavonoids showed good activity against Caco-2 compared to HepG2. This selective activity was also reported for (3S)-3,5,7-trihydroxy-3-(3' -hydroxy-4'-
methoxybenzyl)-4-chromanone, isolated from *Pseudoprospero firmifolium* (Sihra et al., 2020). The IC$_{50}$ value of scillasillin, a homoisoflavonone isolated from *Ledebouria hyderabadensis*, was significant against MCF-7 (breast cancer cell line, 9.59 µg/mL) and DU-145 (prostate cancer cell line, 11.32 µg/mL), compared to the IC$_{50}$ value of the MeOH extract, 36.22 µg/mL and 44.86 µg/mL, respectively (Chinthala *et al*., 2014). Scillasillin was also shown to significantly reduce DU-145 compared to the standard doxorubicin (Chinthala *et al*., 2014).

Figure 4.5 Structure of compounds 1-3.

An investigation of the cytotoxicity of homoisoflavonoids isolated from *Scilla persica* led to the ascription of cytotoxic activity to the low energy gaps between the first ionization potentials and highest occupied molecular orbital (Salar et al., 2016). The cytotoxicity of several homoisoflavonoids against various cancer cell lines demonstrated the influence of the substitution pattern on the B-ring with the 4'-OH group reducing cytotoxicity and the 3',4'-dihydroxy substituents improving activity (El Elimat et al., 2018). Likewise, reducing the polarity of the B-ring substitution enhances activity. Homoisoflavonoids isolated from the bulbs of *Bellevalia eigii* showed that highly oxygenated homoisoflavonoids with high polarity are less active against colon cancer cell lines than those with methoxy substituents (Alali et al., 2015). The presence of exocyclic double bonds also increased activity against Caco-2 and HepG2 cell lines (Alali et al., 2015). Introduction of the hydroxy group to position 3', methoxy group to position 7 or methoxy/hydroxy groups to position 4' of 3-benzylidene chromanone derivatives were found to increase tumor specificity, which was found to be better than
doxorubicin and 5-fluorouracil (5-FU) (Yoshihiro et al., 2016). Studies done by Dai et al. (2013) showed that methylation and hydroxylation at position 3 of homoisoflavonoids increased their cytotoxicity. Compound 3 which is a 3-benzylidenechroman-4-one with OH substituent at 4’showed a good cytotoxicity activity against Caco-2 and HepG2 cell lines compared to other two compounds which are 3-benzylchroman-4-one type homoisoflavonoids (Figure 4.5).

Other evidence that homoisoflavonoids can be considered as anticancer drug candidates could be seen in isobonducellin, a homoisoflavonoid that showed good cytotoxic activity in Jurkat and HepG2 cells but moderate activity in colon205 cells (Rao et al., 2007). In addition, naturally occurring homoisoflavonoids have showed estrogen inhibition, while another study using synthesized homoisoflavonoids showed that it is inactive against MCF-7 cell lines at 50 µM after 24 h treatment (Gan et al., 2017).

A stimulatory effect at a low dose of compound 2 was observed in HepG2, with compound 1 and the EtOAC extract giving a U-shaped curve. U-shaped cytotoxicity was also observed for compound 2, compound 3, the DCM extract, and MeOH extract. This observation has been described as hormesis. Hormesis is when a toxic substance to a biological system at high doses produces a stimulatory effect at low doses (Borriello et al., 2013). The hormesis curve is an optimality trajectory of a multi-dimensional homeostatic system responding to rising perturbation intensity, which is manifested by a change in structure (Wan et al. 2015). Several in vitro studies have reported hormesis in different compounds and plant extracts. Toxic effects are monitored as inhibition of some biological processes above a threshold level occurs with increasing concentration of the chemical agent. However, in some cases, it has been reported that harmful or inhibiting biological processes at high concentrations could also be stimulatory at lower concentrations. The hormetic reaction was first observed with antibiotics, where a low dose of antibiotics caused cell growth; it was also observed in yeast and was later observed and
confirmed in other substances. Some reports also suggested resveratrol can stimulate cell growth at a low concentration (Adrianna et al., 2013).

In contrast to the linear dose-response curve, hormesis is usually represented by a J, U, or inverted U-shaped curve. For the determination of treatment dosage, its biphasic function needs to be understood. Regulatory bodies such as the Food and Drug Administration (FDA) and Environmental Protection Agency (EPA) in the USA have implemented guidelines to consider the hermetic effects of therapeutics. A cell or organism's hormesis effects could be an adaptive response to stress induced by a physical, chemical, or biological stressor (factors). Though hormetic effects have been shown to have some health benefits, including enhanced immunity and injury resistance, it also has potential adverse effects in cancer treatment (Bao et al., 2015).

In a study carried out by Bao et al. (2015), it was noted that berberine, a phytochemical with promising anticancer activity, caused cell proliferation at a low dose but inhibited cell growth at a higher concentration. Further, it was observed that co-treatment of a low dose of berberine attenuates the anticancer activity of cancer chemotherapeutics such as 5-FU, camptothecin (CPT), and paclitaxel (TAX).

ATP is a measure of cell viability and proliferation, and a change of intracellular ATP could also be a biomarker for cellular proliferation A decrease in intracellular ATP was observed in Caco-2 and HepG2 across all tested samples at both IC_{80} and IC_{50} concentrations, but more significantly at IC_{50} concentrations for both cell lines (Table 4.1. and 4.2). The depletion in ATP observed in Caco-2 and HepG2 cell lines, correlated with decrease in cell viability (Plackal et al., 2015). In contrast to our result, Pillay et al., (2013), reported an increase in intracellular ATP of HepG2 after treatment with aqueous extract of S. nervosa. In Hek-293, an increase in intracellular ATP was observed, this means cell proliferation was observed at both IC_{80} and IC_{50} concentrations of all tested samples. Studies have shown that the level of intracellular ATP determines if a cell dies by necrosis or apoptosis. Apoptosis is programmed cell death that plays
an important role in the elimination of cancerous cells. This process requires energy, depletion of cellular ATP has been shown to switch cell death from apoptosis to necrosis. Lower concentrations of glucose cause ATP to decrease and cell death via necrosis. As the glucose level is increased, apoptosis replaces necrosis as a form of cell death (Tsujimoto, 1997; Tatsumi et al., 2003; Leist et al., 1997).

The LDH assay was used to measure cytotoxicity, membrane integrity and necrosis. An increase in the release of LDH was observed for all tested samples in Caco-2 and HepG2 cell lines (Table 4.1 and 4.2). When the cell membrane is compromised or damaged due to external stressors, LDH, a soluble enzyme found in the cytoplasm, is released into the surrounding extracellular environment. Cells undergoing necrosis are known to swell and lose membrane integrity before releasing their intracellular content into the surrounding environment. Therefore, the release of LDH can be used to quantify necrosis, as well as cytotoxicity (Chan, Moriwaki, De Rosa., 2013; Maes et al., 2015). Increase in LDH release was observed for tested samples at both IC80 and IC50 concentrations, except for compound 3, that showed a decrease in LDH at both concentrations. The same effect was observed in HepG2 cell lines after treatment with compound 3. In Hek-293, all tested samples increased LDH at IC80 and IC50, except MeOH extract, which showed a decrease.

Apoptosis and necrosis are two forms of cell death; an increase in intracellular oxidation may determine the selection between the two (Vairetti et al., 2005). In our study, loss of ATP with an increase in LDH released was observed for the cancerous cells. This phenomenon could mean that the tested samples induced cell death via necrosis instead of apoptosis. Treatment of MCF-7 and HFS cell lines with the aqueous extract of Lepidium sativum seed was observed to induce apoptosis at low concentrations (25 and 50%) and necrosis at high concentrations (75%) (Mahassni and Al Reemi, 2013).
Mitochondria are involved in apoptosis (Wang and Youle, 2009); in some apoptotic cells, loss of membrane potential is thought to be an early event in the apoptotic process. The mitochondrial membrane potential (MMP, ΔΨm) can be used to evaluate cell health and apoptosis. Disruption of mitochondrial function such as changes that leads to the loss of MMP could be an early apoptotic event in some apoptotic systems (Ly, Grubb and Lawen, 2003). The opening of the mitochondria, which leads to a reduction in the electrochemical gradient, decreases MMP and activates the pro-apoptotic factor. The MMP is investigated using cationic fluorescent dyes that accumulate in the negative mitochondrial matrix. A healthy cell accumulates more dye to emit a red fluorescence, while an apoptotic cell accumulates less to emit green fluorescence. Mitochondrial depolarization is indicated by a decrease in the red: green fluorescence intensity ratio. However, some studies suggest that the MMP may either decrease or increase (Ly, Grubb and Lawen, 2004).

In this study, the homoisoflavonoids and crude extracts tested did not significantly reduce the MMP in Hek-293 cell lines, while increase in MMP was observed in HepG2 cell lines (Table 4.1). In agreement with our findings, a previous study showed that the aqueous extract from S. nervosa decreased cell viability in HepG2 in a concentration-dependent manner with an IC50 value of 30 µg/mL, with no significant change in the MMP (Pillay et al., 2013). In Caco-2 cell lines, compound 3 significantly reduced MMP at the IC80 concentration, while the reduction at IC50 was not significant. The same was observed for the DCM and MeOH extracts. All extracts caused MMP depolarization in Caco-2 cells, but among the pure compounds, only compound 3 depolarized MMP. Curcumin was reported to inhibit the growth of HepG2 and cause disruption of MMP (Wang et al., 2011). Depolarization of MMP, with the release of cytochrome c has been reported to be an early event in apoptosis, however, some data also suggests that MMP may decrease, increase, or remain unchanged during apoptosis (Pillay et al., 2013).
Table 4.1: Analysis of the results obtained for the cytotoxicity assays (LDH, MMP and ATP) after treatment with the IC_{80} and IC_{50} concentrations of pure compounds and extracts (DCM, EtOAc and MeOH) of *S. nervosa* using the cell lines, Caco-2, HepG2 and Hek-293.

<table>
<thead>
<tr>
<th>Cell Lines</th>
<th>Assay</th>
<th>Compound 1</th>
<th>Compound 2</th>
<th>compound 3</th>
<th>DCM</th>
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Compound 1– 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, compound 2– 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one, compound 3 – 3-(4-hydroxybenzylidene)-5,7-dihydroxychroman-4-one, DCM – Dichloromethane, EtOAc – Ethyl acetate, MeOH – Methanol.

The DCM, EtOAc and MeOH extracts induced cytotoxicity on Caco-2 cell lines by the depletion of intracellular ATP, depolarization of MMP, disruption of plasma membrane with the release of LDH at both IC_{80} and IC_{50} concentrations (Table 4.1). Among the pure compounds, compound 3 induced cytotoxicity on Caco-2 cell lines by depletion of ATP, dissipation of MMP, and LDH release. This agrees with the report on eugenol, a secondary metabolite from cloves, which decreased viability in MCF-7 cell lines, caused ATP depletion.
in intracellular ATP and dissipation of the MMP with a release of cytochrome c into the culture media. Additionally, a release of LDH indicating a disruption of plasma membrane integrity was observed (Al-wafai et al., 2017).

4.4 CONCLUSION

Homoisoflavonoids and different organic extracts from S. nervosa showed good to moderate cytotoxic activity towards Caco-2 and HepG2 tumor cell lines using the MTT assay. The low IC\textsubscript{50} concentrations for some plant extracts indicated potential anticancer properties, but there is a need for some modification in the structure of the homoisoflavonoids to improve their efficacy and selectivity, without toxicity on normal cell lines. ATP and LDH assays showed that the tested samples can exert cytotoxicity through depletion of intracellular ATP, increase ATP to facilitate apoptotic death, disrupt plasma membrane for LDH release as well as support necrotic and late apoptotic death.
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CHAPTER 5

Phytochemical, antibacterial and cytotoxicity studies of

*Helichrysum panduratum* O.Hoffm.

ABSTRACT

The leaves of the medicinal plant, *Helichrysum panduratum*, are used in traditional medicine to treat febrile convulsions, while the sap is used to treat malaria in children. In this study, we aimed to isolate and identify the bioactive constituents in the plant, which we found to be the homoisoflavanone (3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one), which is a class previously unreported in the species, two sterols (stigmasterol, and stigmasterol glucoside), three triterpenes (oleanolic acid; ursolic acid; and 3-acetyl ursolic), and a phenol glucoside (α-arbutin). The cytotoxic activity of selected compounds and extracts were assayed *in vitro* against two human cancer cell lines, liver hepatoblastoma (HepG2) and colorectal adenocarcinoma (Caco-2), with the human embryonic kidney cell line (Hek-293) as the non-transformed control. The mitochondrial membrane potential, lactate dehydrogenase (LDH) release, and adenosine triphosphate (ATP) levels were also evaluated using IC$_{50}$ and IC$_{80}$ concentrations obtained from the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) assay. The cytotoxicity assay showed a dose-dependent response. Ursolic acid demonstrated moderate cytotoxic effects at 24 h with a half inhibitory concentration (IC$_{50}$) of 132.2 µg mL$^{-1}$ in Caco-2, 350.9 µg mL$^{-1}$ in HepG2, and 107.8 µg mL$^{-1}$ in Hek-293 compared to the other test samples. The dichloromethane extract from the leaves and ethyl acetate extract from the stems were toxic to Hek-293, with IC$_{50}$ values of 52.76 µg mL$^{-1}$ and 54.86 µg mL$^{-1}$, respectively. Isolated compounds and crude extracts demonstrated insufficient antibacterial
activity to Gram-positive and Gram-negative bacteria and weak quorum sensing (QS) inhibition. The methanol extract from the leaves was most effective at inhibiting both short-chain (45.3%) and long-chain (35.7%) N-acyl homoserine lactone (AHL)-mediated QS and showed potential at inhibiting Gram-negative AHL-based QS. The results demonstrated moderate antibacterial and anticancer activity for *H. panduratum*, with the methanol extract from the stems showing promising anti-QS ability.

**Keywords:** phytochemistry; quorum sensing inhibition; cytotoxicity; spectroscopy; arbutin; homoisoflavonoid

### 5.1 INTRODUCTION

The dearth of therapeutic agents in treating and managing diseases and the emergence of new diseases is a significant threat to the human population. This paucity necessitated the need to search for new drugs with better efficacy and low side effects or make the existing ones more effective. Bacteria possess the ability to act individually and collectively (Windsor, 2020). Quorum sensing (QS) is cell-to-cell communication, and it regulates some coordinated processes such as bioluminescence, virulence factor production, biofilm formation, production of secondary metabolites, and competence for DNA uptake (Muhkerge and Bassler, 2019; Rutherford and Bonnie, 2012).

Autoinducers (AI) are chemical signal molecules produced by bacteria and released into the environment, which can be detected at high cell density. At low cell density, AIs are present in low concentrations that are below the detection threshold. Gram-negative bacteria use acylated homoserine lactones (AHLs) as AIs, and Gram-positive bacteria use processed oligopeptides or auto-inducing peptides (AIPs) to communicate (Miller and Bassler, 2001). QS allows intra-species and inter-species communication between bacteria with the help of AIs.
Transmembrane domains that connect the inside of the cell to the environment detect the AIs at high cell density. This information initiates a phosphorylation cascade within the cell, which activates the response gene that regulates various characteristics such as virulence, biofilm formation, and horizontal gene transfer (Hugo, 2016). QS-controlled virulence has been considered a new target for therapeutic development in the fight against infectious diseases (Jiang et al., 2019). In the quest for developing novel and alternative antibacterial therapeutics, there is a need to explore other mechanisms of bacterial control, such as QS inhibition rather than bacteriostatic or bactericidal control.

Nature has remained one of the primary sources of structurally diverse chemical compounds for humankind, facilitating the discovery and development of novel and potent therapeutics to combat different ailments plaguing the human population. Traditional medicinal plants provide an alternate source of therapeutic modalities, from artemisinin for the treatment of malaria to paclitaxel for cancer management.

The *Helichrysum* genus of about 400 species is widely distributed in South Africa, with over 234 species naturalized in South Africa. It is well known for various secondary metabolites, including flavonoids, triterpenes, diterpenoids, phloroglucinol, pyrone, sterols, and sesquiterpenoids. Likewise, several biological activities have been reported for plants in the genus (Lourens, Viljoen, & Van Heerden, 2008; Aiyegoro & Okoh, 2010; Meyer, Afolayan, Taylor, & Engelbrecht, 1996; Matic et al., 2013). *Helichrysum panduratum* O.Hoffm. var. *panduratum* is a shrub that grows on grasslands. The leaves of the plant have been reported to be used in traditional medicine to treat febrile convulsions in children, and the sap for treating malaria (Lourens, Viljoen, & Van Heerden, 2008). The leaves are also used as herbal tea. According to Hilliard (1983) morphological classification of *Helichrysum* genus, *H. panduratum* is in group 18 with other plants including *H. pantulum*, *H. pandurifolium*, and *H. petiolare*.
Previously, a thio-derivative, helipandurin, was isolated from *H. panduratum* (Bohlman and Abraham, 1979), and the antibacterial activity of its essential oil was evaluated. There is no literature report on the phytochemistry, antibacterial activity, and cytotoxicity of *H. panduratum*. Therefore, in this study, we phytochemically investigate *H. panduratum* and assess the extracts and isolated secondary metabolites for their antibacterial activity and cytotoxicity.

5.2 MATERIALS AND METHODS

5.2.1 General Experimental Procedures

$^1$H, $^{13}$C and 2D NMR were recorded using either deuterated chloroform (CDCl$_3$), methanol (MeOD), and dimethyl sulfoxide (DMSO) at room temperature on a Bruker Avance$^{iii}$ 400 MHz spectrometer, standardized against tetramethyl silane (TMS). Infrared spectroscopy (IR) was performed using a Perkin Elmer Spectrum 100 Fourier-transform infrared spectrophotometer with ATR sampling techniques. High-resolution mass spectra were obtained on a Waters Micromass LCT Premier TOF-MS instrument. Separation was done using Merck silica gel 60 (0.040-0.063 mm) packed in column. Fractions were monitored with Merck 20 cm × 20 cm silica gel 60 F$_{254}$ aluminum sheets TLC plates, visualization was done with UV at 254 and 366 nm before spraying with 5% sulfuric acid in methanol (MeOH) solution followed by heating.

5.2.2 Materials

Plant material was collected from the botanic garden University of KwaZulu Natal (UKZN), Pietermaritzburg campus, in May 2019. Authentication was performed by the curator Mr. E Khathi from the School of Life Sciences, UKZN, Westville Campus, Durban, and a voucher specimen (*18273 03 9006000*) was lodged in the herbarium.
Dried and crushed leaves (350 g) and stems (530 g) of *H. panduratum* were exhaustively extracted with hexane, dichloromethane (DCM), ethyl acetate (EtOAc), and methanol (MeOH) at room temperature using an orbital shaker. The extracts were concentrated using a rotary evaporator under reduced pressure, and excess solvent was recovered. All crude extracts were subjected to column chromatography using Merck silica gel 60. Thin-layer chromatography (TLC) was carried out using Merck 20 cm × 20 cm silica gel 60 F$_{254}$ aluminum sheets to monitor collected fractions. Visualization was done under UV with 254 and 366 nm wavelength lamp and spraying with 5% sulfuric acid in a 95% MeOH solution heated with a hot air jet.

The DCM extract from the leaves (5.05 g) was loaded on silica and eluted with hexane: EtOAc gradient (100:0 - 0:100, v/v) to give 60 fractions of 100 mL each. Fraction 15 was crystallized to give compound **B1** (24 mg), while fractions 21-23 were purified to give compound **B3** (10 mg). The MeOH extract of the leaves (27 g) was subjected to column chromatography; elution was done using hexane: EtOAc, starting with 100% hexane that was stepped by 10% to 100% EtOAc. Fractions of 100 mL each were collected, and fractions with similar TLC profiles (retention factor) were combined. Fractions 14-18 gave compound **B1**, and compound **B4** (15 mg) was obtained from fractions 31-33. The MeOH extract of the leaves was rich in compound **B7** (7 g), which was obtained from fractions 61-90 as crystals.

The MeOH extract (5.36 g) from the stems was purified using hexane: EtOAc, starting with 100% hexane that was stepped by 10% EtOAc to 100% EtOAc to give 70 fractions of 100 mL each. Fractions 24-26 gave a purple color after treatment with acid and heat on TLC; these were combined to give compound **B6**. Compound **B4** was obtained from fractions 35-40. Compound **B2** (6 mg) was obtained from fractions 64-65 after purifying with MeOH while
fractions 69-70 gave compound B7 (5 mg) in low yield. The EtOAc extract of the stem (4.9 g) was purified using column chromatography, with silica gel as stationary phase and hexane:EtOAc as mobile phase. Fraction 14 gave compound B5 (5 mg), while fractions 21-23 yielded B6 also.

5.2.4 Antibacterial Susceptibility Test

Plants extracts and isolated compounds at a concentration of 400 µg and 800 µg were tested against different strains of Gram-negative (Escherichia coli ATCC 25922, E. coli ATCC 35218, Klebsiella pneumoniae ATCC 70063, Pseudomonas aeruginosa ATCC 27583) and Gram-positive (Staphylococcus aureus ATTC 29213, S. aureus ATCC 43300, S. aureus ATCC700698, Staphylococcus epidermidis ATCC12228, Enterococcus faecalis ATCC 29212, E. faecalis ATCC 51299). Pure compounds and crude extracts of H. panduratum were subjected to antibacterial screening using the agar well diffusion method. Test samples were dissolved in 10% DMSO to a final concentration of 20 mg mL⁻¹.

5.2.5 Gram-negative Quorum Sensing Inhibition (QSI)

The QS inhibition activity of the four extracts and three phytochemicals were quantified using the quantitative violacein inhibition assay with Chromobacterium violaceum ATCC 12472 (long-chain AHL inhibition) and CV017 (short-chain AHL inhibition) as the bio-indicator organisms (McLean et al., 2004; Wang et al., 2016). C. violaceum was cultured in Luria-Bertani broth (3 mL) at 30 °C with the addition of increasing concentrations of test samples (200; 400; 600; 800; 1000 μg/mL). Vanillin was used as the QS inhibition-positive control (Chenia, 2013).

For this assay, growth (OD₆₀₀ nm) and violacein production (OD₅₈₅ nm) was determined following overnight incubation using the Glomax Multi+ Detection System (microtiter plate reader) (Promega). An overnight culture of C. violaceum ATCC 12472/CV017 (1 mL) was
then centrifuged (13 000 rpm; 10 min) to precipitate insoluble violacein. The culture supernatant was discarded, and the pellet was evenly resuspended in 1 mL of DMSO (Chenia, 2013). The solution was then centrifuged again at 13 000 rpm for 10 min to remove cells, and the percentage violacein inhibition was calculated as follows:

\[
\% \text{ Violacein inhibition} = \left( \frac{\text{control OD}_{560 \text{ nm}} - \text{test OD}_{560 \text{ nm}}}{\text{control OD}_{560 \text{ nm}}} \right) \text{ (Chenia, 2013).}
\]

The assays were performed in triplicate on two separate occasions. Samples at any given concentration that exhibited a percentage growth inhibition ≥40% were considered bactericidal rather than QS inhibitors. Samples that exhibited violacein inhibition (VI) ≥50% with ≤40% growth inhibition were taken as suitable QS inhibitors.

5.2.6 Autoinducer-2 Inhibition Assay

Extracts and phytochemicals were screened for their ability to inhibit the QS-controlled phenotype of bioluminescence in *Vibrio harveyi* BB120 as described by Teasdale et al. (2011). Marine soft agar (8 mL) was seeded with 150 μL of an overnight culture of *V. harveyi* BB120 and poured over a pre-warmed LB agar plate. Blank discs (6 mm; Oxoid) were loaded with 400 and 800 μg (20 and 40 μL of 20 mg/mL stock, respectively) of the extracts and phytochemicals. Cinnamaldehyde, a known AI-2 inhibitor, was used as the positive inhibition control. Plates were incubated at 30 °C for 16 h. Using the Syngene GBOX F3 in chemiluminescence mode, the luminescence from each agar plate was captured. The appearance of zones lacking luminescence but not growth inhibition was indicative of autoinducer-2 inhibition (AI-2) inhibition

5.2.7 Cytotoxicity Assay

*In-vitro* growth inhibition of human cancer cell lines is usually done on natural products or isolated pure compounds to test for their cytotoxic activities. Cytotoxicity assay was conducted
on pure compounds and extracts using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-2H-
tetrazolium bromide (MTT), lactate dehydrogenase (LDH), and adenosine triphosphate (ATP) 
assays.

Cell culture

Cryopreserved Caco-2 and HepG2 tumor cells and Hek-293 normal kidney cells were 
recovered by thawing and centrifugation. Cells were cultured in the laboratory in a 25 mL tissue 
culture flask in CCM (complete culture medium) consisting of (DMEM) Dulbecco's modified 
eagle's medium (Lonza Biowhittaker, Walkersville, USA), 1% L-glutamine, 1% penicillin-
streptomycin-fungizone and 10% fetal calf serum (FCS) at 37°C and 5% CO₂ until they were 
at 100% confluence. Cells were washed thrice with phosphate buffered saline (PBS) and 
detached from the flask using trypsin.

MTT (3-(4,5-dimethylthiazol-2-yl)-2–5-diphenyltetrazolium bromide) assay

MTT is a colorimetric assay that assesses cell metabolic activity, which can be MTT (yellow) 
to formazan (purple) (Riss et al, 2016). This color change can be explored to measure cell 
viability. MTT assay measures the metabolic activity of cells and their ability to reduce MTT 
salt to formazan ells in 100 µL DMEM were plated in a 96-well microtiter plate at a density of 
2 × 10⁴ cells per well and then incubated overnight at 37°C and 5% CO₂ for the cells to attach 
to the plate. The medium was removed, and test compounds (100 µL) were added to each well 
in triplicate. Test compounds were prepared by first dissolving in DMSO, before making it up 
to 10 mg/mL using CCM. The final concentration of DMSO was less than 0.5%. Different 
working concentration between 50 µg/mL to 5 mg/mL was prepared from the stock before 
treatment. Untreated cells in CCM served as control. After 24 h incubation, the medium was 
removed, and 20 µL of MTT at 5 mg/mL in PBS and 100 µL of CCM was added to each well 
and incubated for 4 h. The formazan salt from the reduction of MTT was solubilized with 100
µL DMSO and reading was done on a microplate reader, a Bio-Tek µQuant plate spectrophotometer (Winooski, Vermont, United States) at 570 nm with a reference wavelength of 690nm. The tests were conducted in triplicate, and IC$_{50}$ values were generated using GraphPad Prism V5.0 software (GraphPad Software Inc., La Jolla, USA).

*Mitochondrial membrane potential (MMP) assay ($\Delta \psi_m$)*

Mitochondria regulate metabolism and cell death and are involved in the apoptotic process of cells. During apoptosis, the collapse of the mitochondrial membrane potential (MMP, $\Delta \psi_m$) correlates with the opening of the mitochondrial permeability transition pore. Mitochondrial function can be accessed for cell health by monitoring changes in the MPP (Sakamuru et al, 2016). The effect of chemicals on the mitochondrial function can be assessed by measuring the MPP using a cationic dye, JC-10, a cationic, lipophilic dye, which is preferable to JC-1 due to its solubility, was used in this study. Cells were seeded to a 96-well microplate and incubated overnight in a humid environment (37°C and 5% CO$_2$). Treatment was done using a concentration of the IC$_{80}$ and IC$_{50}$ doses obtained from the MTT assay for 24 h. After that, the treatment was removed and stored for other assays. Approximately 50 µL of PBS and 25 µL of JC-10 dye were added to each well. The plate was protected from light and incubated at 37°C for 1 h. After incubation, JC-10 dye and PBS solution were removed, and 80 µL of PBS was added before reading was done.

JC-10 dye enters the mitochondria due to its lipophilicity, where it accumulates to form J-aggregates, a reversible complex. JC-10 forms reversible red-fluorescent aggregates in healthy cells, while in apoptotic cells with MMP collapse, failure to retain JC-10 in the mitochondria leads to the formation of green-fluorescent aggregates (Sivandzade et al, 2019). The green aggregates have absorption/emission of 510/527 nm, and the red aggregates have absorption/emission of 585/590 nm. Results were analyzed using the red-green ratio.
Adenosine triphosphate (ATP) assay

ATP is produced in the mitochondria. Immediately after taking reading for the MMP assay, CellTitre-Glo® for ATP assay was used to evaluate cell viability in all three cell lines. According to the manufacturer's instructions, the reagent was prepared; 25 µL CellTitre-Glo® and 50 µL of PBS were added to each well. The plates were protected from light and incubated at room temperature for 30 mins. The luminescent signal was measured on a Modulus™ microplate luminometer (Turner Bio-Systems, California, USA).

Lactate dehydrogenase (LDH) assay

LDH is a stable enzyme found in the cell cytoplasm released when cell plasma is damaged (Kumar et al, 2018), which is used to measure cytotoxicity. The supernatant of the treatment done during the MPP assay was stored for use in the LDH assay. The treatment was thawed at room temperature, and 50 µL was pipetted into a non-sterile 96-well plate reader in triplicate. The LDH cytotoxicity detection kit was prepared according to the manufacturer's instruction, and 25 µL was added to each well and incubated at room temperature before 12.5 µL stop reagent was added. Reading was done at 490 nm using a Biotek µQuant spectrophotometer (Winooski, Vermont, United States).

5.2.8 Statistical Analysis

Data were expressed as mean and standard deviation of the mean from three readings. Linear regression was used to calculate the IC₅₀ and IC₈₀ on GraphPad prism. Statistical differences between the control and treated cells were determined using the Student t-test and P < 0.05 were considered significant.
5.3 RESULTS

5.3.1 Identification of Isolated Compounds from *H. panduratum*

Seven compounds were isolated from the leaves and stem of *H. panduratum* (Figure 5.1). The compounds, which are a sterol, sterol glucoside, triterpenes, homoisoflavanone, and phenol glucoside, were identified using their $^1$H, $^{13}$C, and 2D-NMR spectra and comparison with literature values. The DCM and MeOH extract of the leaves yielded stigmasterol B1 (Habib et al., 2007), ursolic acid B3 (Labib et al., 2016), and oleanolic acid B4 (Seebacher et al., 2003). Stigmasterol glucoside B2 was obtained from the MeOH extract of the stem (Khatun, 2012). The EtOAc and MeOH extract of the stem both yielded compound B6, a homoisoflavonoids, 3-(4-methoxybenzyl)-5,7-dimethoxycroman-one, which is the first report of this class of secondary metabolite from *Helichrysum* species (Silayo et al., 1999). Compound B5, 3-acetyl ursolic acid, was obtained from the EtOAc extract of the stem (Endo et al., 2019). Both the MeOH extract of the stem and leaves yielded compound B7, α-arbutin, but the leaves had a higher yield than the stem.

![Chemical structures of compound B1-B7](image)

Figure 5.1: Chemical structures of compound B1-B7 isolated from the leaves and stems of *Helichrysum panduratum*. 
Compound **B6**, 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one (Silayo et al., 1999), was obtained as sticky yellow oil. Its molecular formula $C_{19}H_{20}O_{5}$ was established by NMR spectroscopy and HRMS, which massed as 351.1213 (calc. for $C_{19}H_{20}O_{5}$Na 351.1208). The $^1$H-NMR spectrum showed characteristic peaks of a 3-benzylchroman-4-one homoisoflavanone with a pair of double doublets at $\delta_H$ 4.24-4.06 and $\delta_H$ 3.16-2.60 for protons at H-2 and H-9, respectively. The signal for aromatic protons resonated at $\delta_H$ 6.84 ($J$=8.75 Hz H2’, 6’) and $\delta_H$ 7.13 ($J$=8.75, H3’, 5’) for the B-ring; their integration and coupling constant showed that the ring is 1,4 di-substituted. The peak at $\delta_H$ 6.04 ($J$=2.34 Hz, H6, 8), a doublet, integrated to two protons on the A-ring, indicating that the ring is di-substituted at positions C-5 and C-7. Three methoxy signals were observed between $\delta_H$ 3.7-3.9, each integrating to 3 protons each. The $^{13}$C NMR spectrum showed 17 peaks for 19 carbons; the carbonyl peak resonating at $\delta_c$ 191.46 indicated that C-5 has a methoxy substituent as downfield resonation between $\delta_c$ 198-200, would indicate a hydroxy substituent at C-5. Resonances at $\delta_c$ 114.05 are due to C2’ and 6’; $\delta_c$ 93.25 (C-6), 93.0 (C-8), 68.95 (C-2), 48.56 (C-3), 32.02 (C-9), 105.47 (C-4a), 165.84 (C-5), 164.98 (C-7), 162.62 (C-8a), and 158.30 (C-4’). Resonances due to three methoxy carbons were found at $\delta_c$ 56.06, 55.53 and 55.26. HMBC correlations confirmed the placement of the methoxy groups at positions 5, 7, and 4’.

Compound **B7** (β-hydroquinone glucoside), known as α-arbutin (Nycz et al., 2010), was obtained as a crystal. The $^1$H NMR spectrum for α-arbutin showed a para-disubstituted aromatic ring with the appearance of a doublet at $\delta_H$ 6.72 ($J$= 8.92 Hz), which integrated to two protons assigned to H-2 and H-6 and another doublet at $\delta_H$ 6.87 ($J$= 8.92 Hz), which integrated to two protons assigned to H-3 and H-5. The resonances for H-3 and H-5 appeared downfield, indicating that they are deshielded, so they were placed in an electron-deficient environment where the hydroxyl is attached to the aromatic ring. Resonances for H-2 and H-6 were upfield so they were placed close to the sugar moiety. The sugar moiety presented a doublet at $\delta_H$ 4.76
(J=7.12 Hz), assigned as 1' (anomeric proton). The signals due to the four methine carbons and one methylene carbon on the sugar moiety were assigned the δC 76.6 (CH, C-3'), 76.5 (CH, C-5'), 73.4 (CH, C-2'), 70.0 (CH, C-4') and 61.2 (CH₂, C-6'). The ¹³C NMR spectrum showed 2 quaternary carbons (δC 151.0 (C-1) and δC 152.4 (C-4)), and two aromatic carbons (δ118.01 (C3, 5), δ115.3 (C2, 6)) that correlated with the aromatic protons at δH 6.98, and 6.72, respectively. The methine protons resonated between δH 3.33-3.45, while the methylene protons resonated at δH 3.90 (1H, dd, J=12.1, 1.8 Hz), 3.72 (1H, dd, J=12.1,4.5 Hz). The spectral data corresponds with that in literature (Nycz et al., 2010).

5.3.2 Antibacterial Activity

The result of the susceptibility test for the isolated compounds and crude extract are presented in Table 5.1 and 5.2. Tested samples of compounds and crude extracts did not demonstrate any notable antimicrobial activity at 400 and 800 µg/mL against antimicrobial-sensitive and antimicrobial-resistant bacterial isolates.

Homoisoflavonoids showed an intermediated activity against susceptible Gram-positive S. aureus ATCC 29213, while both susceptible E. faecalis ATCC 29212 and resistant E. faecalis ATCC 51299 were resistant to it. Methicillin-resistant S. aureus ATCC 43300 demonstrated intermediate susceptibility to EtOAc extract of the stems but resistance to both the MeOH extract of the leaves and stems. Resistant E. faecalis ATCC 51299 demonstrated an intermediate susceptibility to α-arbutin and the DCM extract of the leaves but resistance to other extracts, MeOH and EtOAc. All tested samples showed no activity at 400 µg/mL against all Gram-negative strains. The MeOH extract of both stems and leaves showed intermediate susceptibility towards E. coli ATCC 25922 at 800 µg/mL.
Table 5.1: Antibacterial susceptibility assay against selected Gram-positive bacteria.

<table>
<thead>
<tr>
<th>Test samples</th>
<th>S. aureus&lt;sup&gt;b&lt;/sup&gt;</th>
<th>S. aureus&lt;sup&gt;c&lt;/sup&gt;</th>
<th>S. aureus&lt;sup&gt;d&lt;/sup&gt;</th>
<th>S. epidermidis</th>
<th>E. faecalis&lt;sup&gt;e&lt;/sup&gt;</th>
<th>E. faecalis&lt;sup&gt;f&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concentration (µg/mL)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 mg/mL</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homoisoflavanone&lt;sup&gt;a&lt;/sup&gt;</td>
<td>0</td>
<td>12</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>α-Arbutin</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
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<td>8</td>
<td>10</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>EtOAc acetate extract stems</td>
<td>7</td>
<td>10</td>
<td>8</td>
<td>11</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MeOH extract leaves</td>
<td>0</td>
<td>7</td>
<td>0</td>
<td>8</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MeOH extract stems</td>
<td>0</td>
<td>7</td>
<td>0</td>
<td>8</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Controls</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amoxicillin-clavulanate AMC 30</td>
<td>13.5</td>
<td>13</td>
<td>0</td>
<td>14</td>
<td>20</td>
<td>15 with RC</td>
</tr>
<tr>
<td>Gentamicin CN10</td>
<td>21.5</td>
<td>10</td>
<td>0</td>
<td>29</td>
<td>14</td>
<td>8</td>
</tr>
<tr>
<td>Norfloxacin NOR10</td>
<td>23</td>
<td>23</td>
<td>0</td>
<td>30</td>
<td>15</td>
<td>19.5 RC</td>
</tr>
<tr>
<td>Tetracycline TE30</td>
<td>20</td>
<td>19</td>
<td>7</td>
<td>0</td>
<td>7</td>
<td>28</td>
</tr>
<tr>
<td><strong>10% DMSO</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup> - 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, Resistant ≤ 10 mm, Intermediate 11-15, Susceptible >15,
<sup>b</sup> - S. aureus ATTC 29213, <sup>c</sup> - S. aureus ATCC 43300, <sup>d</sup> - S. aureus ATCC 700698, <sup>e</sup> - E. faecalis ATCC 29212, <sup>f</sup> - E. faecalis ATCC 51299, S. epidermidis ATCC12228.
DCM – dichloromethane, EtOAc – ethyl acetate, MeOH – methanol.

Table 5.2: Antibacterial susceptibility assay against selected Gram-negative bacteria.

<table>
<thead>
<tr>
<th>Test samples</th>
<th>E. coli&lt;sup&gt;b&lt;/sup&gt;</th>
<th>E. coli&lt;sup&gt;c&lt;/sup&gt;</th>
<th>K. pneumonia&lt;sup&gt;d&lt;/sup&gt;</th>
<th>P. aeruginosa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concentration (µg/mL)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 mg/mL</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homoisoflavanone&lt;sup&gt;a&lt;/sup&gt;</td>
<td>0</td>
<td>7</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>α-Arbutin</td>
<td>0</td>
<td>8</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>DCM extract leaves</td>
<td>0</td>
<td>7</td>
<td>0</td>
<td>7</td>
</tr>
<tr>
<td>EtOAc acetate extract stems</td>
<td>0</td>
<td>8</td>
<td>0</td>
<td>8</td>
</tr>
<tr>
<td>MeOH extract leaves</td>
<td>0</td>
<td>10</td>
<td>0</td>
<td>9</td>
</tr>
<tr>
<td>MeOH extract stems</td>
<td>0</td>
<td>11</td>
<td>0</td>
<td>7</td>
</tr>
<tr>
<td><strong>Controls</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amoxicillin-clavulanate AMC 30</td>
<td>0</td>
<td>0</td>
<td>11</td>
<td>0</td>
</tr>
<tr>
<td>Gentamicin CN10</td>
<td>17</td>
<td>20</td>
<td>12</td>
<td>19</td>
</tr>
<tr>
<td>Norfloxacin NOR10</td>
<td>32</td>
<td>18</td>
<td>20</td>
<td>30</td>
</tr>
<tr>
<td>Tetracycline TE30</td>
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<td>0</td>
</tr>
<tr>
<td><strong>10% DMSO</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup> - 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, <sup>b</sup> - E. coli ATCC 25922, <sup>c</sup> - E. coli ATCC 35218, <sup>d</sup> - K. pneumonia ATCC 70063, <sup>e</sup> - P. aeruginosa ATCC 27583.
DCM – dichloromethane, EtOAc – ethyl acetate, MeOH – methanol.
5.3.3 Quantitative QSI Assay

Neither the extracts nor the isolated phytochemicals were potent QS inhibitors (Figure 5.2 and 5.3). Varying levels of inhibition were observed for short-chain (CV017) and long-chain (ATCC 12472) AHL inhibition. The MeOH leaf extract was the most effective at inhibiting both short and long-chain AHL-mediated QS, with 45.26% and 35.68%, respectively.

Figure 5.2. Quantitative analysis of the concentration-dependent, violacein production inhibitory effects of isolated compounds from *H. panduratum* at 200–1000 µg mL⁻¹ against *Chromobacterium violaceum* ATCC 12472 (long-chain AHL inhibition) and CV017 (short-chain AHL inhibition). HP/1 - ursolic acid, HP/2 - 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, HP/3 - arbutin. Bacterial growth at OD 600 nm; violacein production at OD 560 nm and blue line graph represents percentage violacein inhibition. Data represents the mean of two independent experiments done in triplicate.
Figure 5.3. Quantitative analysis of the concentration-dependent, violacein production inhibitory effects of four *Helichrysum panduratum* crude extracts and three isolated compounds at 200–1000 µg mL\(^{-1}\) against *Chromobacterium violaceum* ATCC 12472 (long-chain AHL inhibition) and CV017 (short-chain AHL inhibition).

DL - DCM leaves extract, ES - EtOAc stem extract, ML - MeOH leaves extract, MS - MeOH stem extract. Bacterial growth at OD 600 nm; violacein production at OD 560 nm and blue line graph represents percentage violacein inhibition. Data represent the mean of two independent experiments done in triplicate.

For short-chain inhibition, the order of inhibition of extracts was MeOH extract leaves > DCM extract leaves > MeOH extract stems > EtOAc acetate extract stems, while for long-
chain inhibition it was MeOH extract leaves > MeOH extract stems > EtOAc acetate extract stems > DCM extract leaves. With respect to the isolated phytochemicals, short-chain AHL inhibition was observed as follows α-arbutin > 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one > ursolic acid, while for long chain inhibition: 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one > ursolic acid > α-arbutin.

![Image of plates with bacterial growth inhibition](image_url)

Figure 5.4. Inhibitory effect of extract and isolates from *H. panduratum* against QS-controlled phenotype of bioluminescence, with cinnamaldehyde as a positive control.

HP/1 = ursolic acid, HP/2 = 3, HP/3 = α-arbutin, HP/DL = DCM (dichloromethane) leaves extract, HP/ES = EtOAc (ethyl acetate) stem extract, HP/ML = MeOH (methanol) leaves extract, HP/SM = MeOH (methanol) stem extract.

Of the four extracts, the MeOH extract leaves appears to have compounds that might be able to inhibit autoinducer-2, as evidenced by the halo of decreased bioluminescence (both 400 and 800 µg) (Figure 5.4). The DCM extract leaves and EtOAc extract stems also exhibited minor AI-2 inhibition at 800 µg (Table 5.3). However, the MeOH extract stems were bactericidal. Of the three phytochemicals, the homoisoflavonone (3-(4-methoxybenzyl)-5,7-
dimethoxychroman-4-one) and α-arbutin exhibited minor inhibition at 800 µg, with some bactericidal activity. The MeOH extract leaves might be a promising source of compounds with the ability to inhibit not only Gram-negative AHL-based QS but may also be inhibitory to global crosstalk AI-2-based QS.

Table 5.3: Autoinducer inhibitory profile of compounds and extracts from _H. panduratum_ against Gram-negative AHL-based quorum sensing inhibition (QSI).

<table>
<thead>
<tr>
<th>Test sample</th>
<th>Vibrio harveyi B120</th>
<th>400 µg</th>
<th>800 µg</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total zone</td>
<td>Clear zone</td>
<td>QSI zone</td>
</tr>
<tr>
<td>Ursolic acid</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Homoisoflavanone&lt;sup&gt;a&lt;/sup&gt;</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>α-Arbutin</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>DCM extract leaves</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>EtOAc acetate extract stems</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MeOH extract leaves</td>
<td>8</td>
<td>0</td>
<td>8</td>
</tr>
<tr>
<td>MeOH extract stems</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Cinnamaldehyde</td>
<td>26</td>
<td>25</td>
<td>1</td>
</tr>
</tbody>
</table>

<sup>a</sup>-3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
DCM – dichloromethane, EtOAc – ethyl acetate, MeOH – methanol.

**5.3.4 Cytotoxicity Assay**

Caco-2, HepG2, and Hek-293 cells were treated with different concentrations of the tested samples, including some selected compounds and crude extracts for 24 h. The MTT assay was used to determine cell viability and obtain IC<sub>50</sub> values. Also, a dose-dependent curve was generated from the plate reader data. Their cell viability results were presented relative to the untreated cells (control).

**MTT cell viability assay**

Exposure to ursolic acid after 24 h showed no significant decline in viability at a concentration of 0 - 100 µg mL<sup>-1</sup> for HepG2 and 0 - 25.11 µg mL<sup>-1</sup> for Caco-2 cell lines. At a concentration of 100 µg mL<sup>-1</sup>, cell viability was reduced to 43% and 32% for Caco-2 and Hek-293, respectively, and 49% for HepG2 at 251.19 µg mL<sup>-1</sup>. Results from Figure 5.5 showed that cell
viability was reduced in proportion to administered dose in all cell lines, with a pronounced U-shaped curve for Hek-293, while Caco-2 and HepG2 showed slight U-shaped toxicity. α-Arbutin (Figure 5.5) showed insufficient activity across all cell lines, even at higher concentrations. At a concentration of 2511.89 µg mL\(^{-1}\), cell viability was reduced to 78%, 94%, and 87% for Caco-2, HepG2, and Hek-293, respectively. A stimulatory effect was observed in Caco-2 and HepG2 cell lines after treatment with the DCM extract leaves at a concentration of 50.12 µg mL\(^{-1}\). Cell viability increased from 100% in the control to 124% and 114% for Caco-2 and HepG2 cell lines. Between 100 - 1000 µg mL\(^{-1}\), there was a significant decrease in cell viability to 29% for Caco-2 and 49% for Hek-293. Cell viability was reduced to 53% in Hek-293 at a treatment concentration of 50.12 µg mL\(^{-1}\) for the DCM extract leaves. The lowest cell viability was observed at 2511.89 µg mL\(^{-1}\) for the three cell lines, 6% for Caco-2 and HepG2, and 5% for Hek-293. The dose-response curve showed that the DCM extract leaves decreased viability in a dose-dependent manner.

Treatment with the MeOH extract stems increased cell viability from 100% in the control to 118% in HepG2 at a concentration of 50.12 µg mL\(^{-1}\) but was reduced to 95% in Caco-2 and 84% in Hek-293 at the same concentration. At 1000 µg mL\(^{-1}\), cell viability was reduced by 96%, 58%, and 37% in Caco-2, HepG2, and Hek-293, respectively. The lowest viability was observed at 2511.89 µg mL\(^{-1}\), 40% for HepG2, 37% for Caco-2, and 17% for Hek-293. Between concentrations of 0 - 31.62 µg mL\(^{-1}\), there was no significant change in cell viability after treatment with the MeOH extract leaves. At 50.12 µg mL\(^{-1}\), a stimulatory effect was observed, cell viability was increased from 100% in the control to 105% in Caco-2 and 107% in hepG2 cell lines, while no effect was observed in Hek-293, the cell viability was still at 100% at the same concentration. A sharp decline in cell viability was observed from 1000 - 2511.89 µg mL\(^{-1}\); cell viability was reduced to 27% in Caco-2, 64% in HepG2, and 24% in Hek-293.
Figure 5.5 Dose-dependent decrease in cell viability of Caco-2, HepG2, and Hek-293 cell lines after exposure to varying concentrations of tested samples using MTT assay.

ABT – α-arbutin, URS - ursolic acid, HPLD - DCM leaves extract, HPSE - EtOAc stem extract, HPSM - MeOH stem extract, HPLM - MeOH leaves extract.

**ATP assay**

The number of viable cells after exposure to IC$_{80}$ and IC$_{50}$ (Table 5.4) doses of each test was evaluated using the CellTitre-Glo®. ATP fold change value for the control in all the cell lines was 100%. The result for all tested samples is presented in Figure 5.6.

Table 5.4: IC$_{50}$ values in µg mL$^{-1}$ of tested compounds and extracts of *H. panduratum*.

<table>
<thead>
<tr>
<th>Test samples</th>
<th>Caco-2</th>
<th>HepG2</th>
<th>Hek-293</th>
</tr>
</thead>
<tbody>
<tr>
<td>α-Arbutin</td>
<td>8016</td>
<td>28863</td>
<td>11408</td>
</tr>
<tr>
<td>Ursolic acid</td>
<td>132.2</td>
<td>350.9</td>
<td>107.8</td>
</tr>
<tr>
<td>DCM extract leaves</td>
<td>604</td>
<td>868.1</td>
<td>52.76</td>
</tr>
<tr>
<td>EtOAc acetate extract stems</td>
<td>3196</td>
<td>5945</td>
<td>1181</td>
</tr>
<tr>
<td>MeOH extract leaves</td>
<td>1056</td>
<td>1699</td>
<td>54.86</td>
</tr>
<tr>
<td>MeOH extract stems</td>
<td>1207</td>
<td>3155</td>
<td>583.8</td>
</tr>
</tbody>
</table>

DCM – dichloromethane, EtOAc – ethyl acetate, MeOH – methanol.
After treatment with IC₈₀ concentrations of ursolic acid, ATP was reduced from 100% in the control to 78% in Caco-2 and 70% in HepG2 but increased to 126% in Hek-293. At the IC₅₀ dose of ursolic acid, ATP was reduced to 54% in Caco-2, 36% in HepG2, and increased to 137% in Hek-293. Exposure to IC₈₀ concentrations of α-arbutin reduced ATP to 99%, 50%, and 98% in Caco-2, HepG2, and Hek-293, respectively. IC₅₀ concentrations reduced ATP in Caco-2 and HepG2 to 75% and 32%, respectively, with an increase observed in Hek-293 to 132%.

A decrease in ATP from 100% in the control to 89%, 73%, and 80% in Caco-2, HepG2, and Hek-293, respectively was observed after treatment with IC₈₀ concentrations of the DCM extract leaves. While at IC₅₀ concentrations, ATP reduction was observed in Caco-2 to 65% and HepG2 to 58% but increased to 125% in Hek-293. Treatment with an IC₈₀ dose of the EtOAc extract stems, reduced ATP to 99% and 70% in Caco-2 and HepG2, respectively but was increased to 126% in Hek-293. At the IC₅₀ dose in Caco-2 and HepG2, ATP was reduced to 53% and 60%, while a significant increase in ATP to 212%, was observed in Hek-293. A slight decrease in ATP from 100% in the control to 94% and 93% in Caco-2 and HepG2 and an increase to 156% in Hek-293 was observed after treatment with the IC₈₀ dose of the MeOH extract stems. At IC₅₀ concentrations, ATP decreased to 58% in Caco-2 and 75% in HepG2 and a significant increase to 227% in Hek-293. The IC₈₀ dose of MeOH extract leaves extract decreased ATP to 85% in Caco-2 and 89% in HepG2, but an increase to 166% was observed in Hek-293. The IC₅₀ dose reduced ATP to 54% and 36% in Caco-2 and HepG2, respectively, while an increase to 159% was seen in Hek-293.
Figure 5.6: Results of the ATP assay.

Compound 1: α-Arbutin, compound 2: ursolic acid, DCM (dichloromethane) leaves extract, EtOAc (ethyl acetate) stem extract, MeOH (methanol) leaves extract, MeOH (methanol) stem extract.

Mitochondrial membrane potential (MMP) evaluation

The mitochondrial membrane potential was used to evaluate mitochondrial toxicity by the test samples using JC-10 dye. The ratio of the red and green aggregate in the control was 1 using fold change. Treatment was done at IC₈₀ and IC₅₀ of tested samples. Results are presented in Table 5.5 and Figure 5.7.

Table 5.5: Mitochondria membrane potential (MPP) result for tested samples.

<table>
<thead>
<tr>
<th>Treatment</th>
<th>IC₈₀</th>
<th>IC₅₀</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Caco-2</td>
<td>HepG2</td>
</tr>
<tr>
<td>Ursolic acid</td>
<td>80%</td>
<td>177%</td>
</tr>
<tr>
<td>α-Arbutin</td>
<td>96%</td>
<td>127%</td>
</tr>
<tr>
<td>DCM extract leaves</td>
<td>94%</td>
<td>121%</td>
</tr>
<tr>
<td>EtOAc acetate extract stems</td>
<td>57%</td>
<td>193%</td>
</tr>
<tr>
<td>MeOH extract leaves</td>
<td>102%</td>
<td>169%</td>
</tr>
<tr>
<td>MeOH extract stems</td>
<td>78%</td>
<td>194%</td>
</tr>
</tbody>
</table>
Figure 5.7: Results for the mitochondrial membrane potential (MPP).

Compound 1: α-Arbutin, compound 2: ursolic acid, DCM - dichloromethane, EtOAc – ethyl acetate, MeOH - methanol.

**Quantification of LDH released**

LDH released into the medium was monitored with the LDH detection kit. IC\textsubscript{80} and IC\textsubscript{50} concentrations of test samples were used. Results are presented in Figure 5.8. After exposure to IC\textsubscript{80} concentrations of ursolic, LDH increased in all cell lines compared to the control. An increase to 169%, 137%, and 144% was observed in Caco-2, HepG2, and Hek-293, respectively. IC\textsubscript{50} concentrations also increased LDH for the three cell lines; 190%, 171%, and 199% for Caco-2, HepG2, and Hek-293, respectively. Treatment with the IC\textsubscript{80} dose of α-arbutin increased LDH to 116% in Caco-2 and decreased HepG2 to 50% and Hek-293 to 87%. IC\textsubscript{50} concentrations increased LDH to 121% in Caco-2 and decreased it to 51% and 67% in HepG2 and Hek-293, respectively.

Exposure to IC\textsubscript{80} concentrations of the DCM extract leaves reduced LDH to 89% and 91% in Caco-2 and HepG2 and increased it to 126% in Hek-293. At IC\textsubscript{50} concentrations, an increase in LDH was observed for Caco-2, HepG2, and Hek-293 to 127%, 137%, and 149%,
respectively. LDH was significantly increased in Hek-293 to 257% after treatment with IC$_{80}$ concentrations of the EtOAc extract stems. At the same treatment, a slight increase to 126% was observed for Caco-2 cells and a slight decrease to 98% for HepG2. At IC$_{50}$ treatment, a significant increase to 290% was also observed for Hek-293, 135% for Caco-2, and a decrease to 78% for HepG2. At IC$_{80}$ and IC$_{50}$ concentrations of the MeOH extract leaves, treatment significantly increased LDH to 254% and 253% in Hek-293, a slight increase for Caco-2 cell lines to 102% and 139%, and a decrease to 96% at IC$_{80}$ treatment was observed for HepG2, but an increase to 159% at IC$_{50}$ treatment. Treatment with IC$_{80}$ concentrations of the MeOH extract stems, increased LDH to 118% and 115% in Caco-2 and HepG2 and decreased it to 66% in Hek-293. IC$_{50}$ concentrations increased LDH in all cell lines; 139% for Caco-2, 159% for HepG2 but a significant increase to 253% was observed for HepG2.

![Graphs showing LDH release](image)

Figure 5.8: Results for LDH (lactate dehydrogenase) release.

Compound 1: α-Arbutin, compound 2: ursolic acid, DCM - dichloromethane, EtOAc – ethyl acetate, MeOH - methanol.
5.4 DISCUSSION

The phytochemical investigation of *H. panduratum* led to the isolation of seven compounds: the ubiquitous triterpenes, a homoisoflavonoid, and α-arbutin, a natural form of hydroquinone. These were identified based on their spectra and comparison to literature data. The DCM and MeOH extract of the leaves both yielded stigmasterol. The MeOH extract of the stem yielded stigmasterol glucoside and 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one. Other compounds from the DCM extract of the leaves were oleanolic acid and ursolic acid. The EtOAc extract of the stems yielded acetyl ursolic acid and 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one (6). The MeOH extract of the leaves yielded α-arbutin in large quantities, more than the MeOH extract of the stem. This is the first report of a homoisoflavonoid in *Helichrysum* species. Chalcones and phloroglucinol are precursors to homoisoflavonoids and have been reported in the Helichrysum genus (Rawal and Cava, 1983; Jakupovic, 1986; Mathekga, 2001). The homoisoflavonone, 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one, has been isolated from other plants such as *Eucomis*, *Scilla*, and *Ledebouria* (Du Toit et al., 2010; Castelli and Lopez, 2017). α-Arbutin has been isolated from *H. patulum* (Swartz, 2006) in the same morphological group with *H. panduratum*.

Antibiotic resistance occurs when pathogens acquire genes that make them evade antimicrobial action. These genes are transferred to other members of the same species leading to a public health concern. Plants and their constituents have been explored as a source of new and effective antimicrobials. Inhibiting the activity of disease-causing bacteria could be achieved by QS inhibition. Our study showed that *H. panduratum* and its isolates have minimal antibacterial activity against Gram-positive and Gram-negative bacteria. Ursolic acid is a pentacyclic triterpene found in plants and has been reported to exert some biological activities such as antibacterial, anticancer, antioxidant (Do Nascimento et al., 2014), anti-inflammatory, hepatoprotective (Sultana et al., 2010), and antimycobacterial (Wolska et al., 2010). Chandramu
et al (2003) reported that ursolic acid has no activity against *E. coli* but showed minimal effect against *B. subtilis* with a zone diameter of 30.6 mm at a high concentration of 1000 µg/disc compared to the standard kanamycin, with an inhibitory zone of 207.2 mm at a concentration of 0.05 µg mL⁻¹. This finding agrees with our findings. Antibacterial activity of pentacyclic triterpenoids is dependent on a double bond in the γ or β-position to a carboxylic group and a ketone functional group in ring A of an oleanane-skeleton. In ursolic acid, a double bond is present at the γ-position of the carboxylic group, but no keto group in ring A, a hydroxy group is present instead. This could be the reason for the low antibacterial activity of ursolic acid. Derivatives of ursolic acid have proven to have better antibacterial activity than ursolic acid itself.

Ursolic acid demonstrated better cytotoxic activity against Caco-2 and HepG2 cell lines among all tested samples with an IC₅₀ of 132.2 and 350.9 µg mL⁻¹ respectively. Its mechanism of action in cancer treatment has been reported to suppress nuclear factor kappa B signaling in cancer cells (Seo et al., 2018). It was also reported that it inhibits the growth of some cancer cell lines by inhibiting the signal transducer and activator of the transcription (STAT3) activation pathway (Ashutosh et al., 2007). Good cytotoxic activity in triterpenes has been linked to the presence of hydroxy or monosaccharide groups at the C-3 position, hydroxy at C-19, or conjugation with the double bond at C-12, 13 (Shou et al., 2018).

α-Arbutin is a natural polyphenol and a natural form of hydroquinone making it an essential and preferable ingredient in cosmetology due to its non-toxic properties, as it inhibits melanin production via tyrosinase inhibition. α-Arbutin has demonstrated good anti-inflammatory and antioxidant activity in vitro (Lee and Kim, 2012; Khadir et al., 2015). In our study, we observed deficient cytotoxic activity against Caco-2 and HepG2 cell lines. Tilak et al. (2011) reported that α-arbutin did not induce cytotoxicity at a concentration of 2 mM against HepG2, neither was there any LDH release at the same concentration compared to hydroquinone. They also
reported that cytotoxicity of α-arbutin is noticeable when it is metabolized to hydroquinone. A cytotoxicity study on UVB-irradiated α-arbutin and its derivative, deoxyarbutin, on Detroit 551 human fibroblast cells and B16-F10 mouse melanoma cells, showed that both have strong cytotoxicity towards the fibroblast cell (Chang et al., 2017). α-Arbutin at a concentration between 400-5000 μM showed no cytotoxic effect on fibroblast cells (Chang et al., 2017). For α-arbutin to be cytotoxic, intestinal bacteria play a major role in metabolizing it to hydroquinone (Kang et al., 2011). After 72 h of treatment, acetylated α-arbutin was reported to induce cell viability at a concentration of ~3.85 mM, while at a concentration of 5.4 mM, α-arbutin reduced cell viability to 45.8%, and its acylated form reduced viability to 89.9% (Jiang et al., 2017). From our study, no significant cytotoxic effect was observed after 24 h treatment. The reduced cytotoxicity could mean that time is a factor in the cytotoxic activity of α-arbutin against Caco-2 and HepG2 cell lines (Jiang et al., 2017).

Antibacterial activity of α-arbutin has been reported to be enhanced by polymerization. The MICs of α-arbutin and its polymer, poly(arbutin) and its 10% octylated derivative [poly(arb)-C810] against E. coli were reported to be 111, 15.2, and 52.6 mg/mL and 111, 1.00, and 1.00 mg/mL against S. aureus; this shows selectivity towards Gram-negative bacteria (Rika et al., 2019). This selectivity may be due to the difference in the cell wall structure of Gram-negative and Gram-positive bacteria.

More is known about the chemistry of homoisoflavonoids than their biological activities and structure-activity relationships (Castelli and Lopez, 2017). From our findings, 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one demonstrated moderate antibacterial activity against S. aureus ATTC 29213 compared to other isolated compounds and crude extracts but was resistant to all Gram-negative bacteria tested. The crude extracts demonstrated resistance and intermediate activity towards both Gram-positive and Gram-negative bacteria. Mathekga and Meyer (1998) investigated the antibacterial activity of the acetone extract of H.
callicomum, H. glomeraturn, H. hypoleucum, H. odoratissimum, B. subtilis, H. pilosellum and H. rugulosum against E. coli, K. pneumonia, and P. aeruginosa. The result showed that all extracts were active against E. coli and B. subtilis, except for H. glomeraturn and H. pilosellum; both had no activity against all tested Gram-positive and Gram-negative bacteria. This data indicates that some plants in the Helichrysum genus have no antibacterial activity.

The results of the violacein inhibition assay using C. violaceum ATCC 12472 showed a concentration-dependent inhibition of violacein produced by the bacterium. Growth inhibition at ≤40% and violacein inhibition ≥50% are taken as suitable QS inhibitors. All isolated compounds demonstrated quorum sensing inhibition (QSI) at 1000 µg between 5-18%, except for ursolic acid that demonstrated QSI of 20% for the short-chain AHL-mediated QS and 12% for the long-chain AHL-mediated QS at 1000 g. Flavonoids, coumarins, sulfur-containing compounds, benzoic acid derivatives, phenylpropanoids, and monoterpenes have been indicated as plant-derived compounds possessing good AHL-mediated QS in bacteria (Dervabin et al., 2019).

The crude extracts were better than the isolated compounds in inhibiting violacein, with QSI between 18-45% for the short-chain AHL-mediated QS and 14-35% for the long-chain AHL-mediated QS, with MeOH extract of the leaves demonstrating the highest with 45.26% and 35.68%. Similarly, crude extract of S. nigrescens inhibited QS-regulated violacein production in C. violaceum in a concentration-dependent manner, with a 28-48% QSI at 700 µg and 27-39% growth inhibition (Bodede et al., 2017). Crude plant extracts are more effective than their isolates at the same concentration; this could be attributed to synergies between the plant constituents. This synergy was seen in the MeOH extract of the leaves; the constituents in the extract played a synergistic role in its antibacterial activity and QSI. Different pathways exist for QSI, including inhibition of the AI pathway, AI receptor antagonism, and degradation of AIs using catalytic antibodies (Asfour, 2018).
LDH release is a marker for cytotoxicity and could also indicate apoptotic or necrotic cell death. Likewise, a reduction in MPP could indicate the initiation of the mitochondrial apoptotic pathway. ATP depletion has been implicated in the necrotic death of cells. A study showed that an elevated level of ATP is a requisite for apoptotic death (Zamaraeva et al., 2005).

α-Arbutin and ursolic acid demonstrated a decrease in ATP and mitochondrial membrane potential and LDH release. The reduction of the MMP was dose-dependent. Depolarization of the MMP may cause a reduction in ATP levels. Some secondary metabolites have demonstrated the ability to disrupt MMP, accompanied by reduced ATP levels after exposure to some cell lines. Eugenol, a secondary metabolite from cloves, decreases viability in MCF-7, caused ATP depletion (decrease in intracellular ATP), dissipation of membrane potential, and a release of cytochrome c into the culture media. Also, a release of LDH indicating disruption of plasma membrane integrity was observed (Al-wafai et al., 2017). Treatment with 1-(2-hydroxyphenyl)-4-methylpentan-1-one and 2-[(3-methylbutoxy) carbonyl] benzoic acid, two bioactive compounds from *Rubus fairholmianus* against MCF-7 breast cancer cell lines, resulted in changes in MMP accompanied by the release of cytosol-c, supporting intrinsic apoptotic death (George and Abrahmase, 2019). Curcumin, an active metabolite from the rhizome of turmeric inhibited the growth of HepG2 and disrupt the MMP; this resulted in the release of mitochondrial membrane apoptin and cell apoptosis (Wang et al., 2011).

Among the extracts, EtOAc disrupted the MMP, which led to ATP depletion. The integrity of the plasma membrane was compromised by the release of LDH by the extract. Methanolic and hexane extracts of *Bulbine frutescens* showed the same activity by disrupting the MMP of breast cancer cells, MDA-MB-231 and T47D (Prem et al, 2019). Loss of MMP happens during apoptosis due to the opening of the mitochondrial permeability pore and loss of electron gradient in the membrane (Sivandzade et al., 2019; Isenberg and Klaunig, 1999). The study showed that a decrease in MPP causes apoptosis by matrix condensation, exposure of
cytochrome c to the intermembrane space, which causes the release of cytochrome c and cell death (Gottlieb et al. 2003). Depolarization of MPP is due to collapse or decrease in the electrochemical gradient of the mitochondrial membrane. Our findings showed that the cytotoxicity of most of the test samples towards Caco-2 is necrotic due to increases in ATP levels and elevated LDH release due to disruption of the plasma membrane.

5.5 CONCLUSION

The isolation of seven secondary metabolites from H. panduratum was reported, including 3-(4-methoxybenzxy)-5,7-dimethoxychroman-4-one, a homoisoflavonoid, which was isolated for the first time from Helichrysum species. Our study showed that H. panduratum is rich in triterpenes and α-arbutin. α-Arbutin, a natural form of hydroquinone with no cytotoxic effect, would make the leaves of H. panduratum a potential source of the compound for the cosmetic industry. The isolated compounds and extract demonstrated low antibacterial activity to both Gram-positive and Gram-negative bacteria, with the methanol extract of the leaves showing potential as an effective inhibitor of short and long-chain AHL-mediated QS. The cytotoxic activity showed that the plant is relatively safe for consumption due to the selectivity of isolated compounds and some crude extracts towards the untransformed cell lines.
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ABSTRACT

*Helichrysum acutatum* from the Asteraceae family is a shrub that is indigenous to Southern Africa. The plant is used in traditional medicine as an enema for newborn babies. This study aimed to isolate and identify the bioactive constituents from *H. acutatum*. In addition, the crude extracts and isolated compounds were tested for their antioxidant, antibacterial and cytotoxic activities. The phytochemical investigation afforded the known compounds stigmasterol, stigmasterol glucoside, and caffeic acid. The antioxidant activity of the ethyl acetate extract was higher compared to other extracts, ascorbic acid, and butylated hydroxytoluene. Antibacterial profiling of all the extracts showed no activity against both Gram-negative and Gram-positive bacterial strains. The cytotoxic activity of the crude extracts was assayed *in vitro* against two human cancer cell lines, liver hepatoblastoma (HepG2) and colorectal adenocarcinoma (Caco-2). The human embryonic kidney cell line (Hek-293) was used as the non-transformed control. The plant extracts showed insufficient antiproliferative or cytotoxic activity to the tumor and regular cell lines tested, which signifies suitably for human consumption. Overall, this plant had better antioxidant activity than other plants in the genus, which needs to be explored further.

**Keywords:** caffeic acid, cytotoxicity, nuclear magnetic resonance, antioxidant.
6.1 INTRODUCTION

Plants are a storehouse for different therapeutic molecules, and they have played a significant and crucial role in modern drug discovery and development. The search for safe, effective, and affordable healthcare has made many trust traditional medicines. Coming from a natural source, people believe that these medicines are relatively safe for use. Also, the search for new molecular compounds in the treatment and management of diseases has led to research in natural products leads in drug discovery. Natural products account for more than 50% of modern drugs used clinically, with some possessing the ability to inhibit cancer cells (Rajendra et al., 2010)

Oxidative stress has been implicated in some degenerative diseases such as Parkinson's, Alzheimer's, and cancer. Oxidative stress occurs when there is a shift in the production and removal of reactive oxygen species (ROS), favoring the production rather than the removal. The National Cancer Institute (NCI) defined ROS as unstable molecules that contain oxygen that quickly reacts with other molecules in the cell. They are free radicals and could be referred to as oxygen radicals. Examples include peroxide, superoxide, hydroxyl radicals, and singlet oxygen species (Hayyan et al., 2006). The build-up of ROS in the cell may cause damage to the DNA, RNA, proteins, and even cell death. The imbalance of antioxidants and free radicals in the body leads to oxidative stress. Antioxidants react with free radicals in the body and terminate their chain reaction.

*Helichrysum* species are known to possess antimicrobial, antifungal, antiviral, and antioxidant activities. There are approximately 600 species in the genus *Helichrysum* (Asteraceae). Compounds including chalcones, diterpenes, phloroglucinol, and its derivatives have been isolated from the aerial parts and roots of some *Helichrysum* species (Jakupovic et al., 1985). Traditionally some of the species have found use in the treatment of diarrhea, wounds, colds, coughs, and
infection of the respiratory tract (Lourens et al., 2008). Essential oils from Helichrysum species have been used, with the most widely used essential oil being from *Helichrysum italicum*. *Helichrysum acutatum* DC. is a perennial woody herb with a flowering stem that grows in grasslands; Hillard (1983) classified it morphologically into group 21. Other plants in this group are *H. dasymallum* and *H. oreophylum*. Although *H. acutatum* is widely sold at the Muthi market, there is no literature for its ethnopharmacology relevance. The locals in the market provided anecdotal information on its use in traditional medicine, as an enema for newborns. Bohlmann and Abraham (1979) investigated the plant's roots and isolated fourteen compounds that included chalcones and diterpenes. In the current study, the roots of *Helichrysum acutatum*, widely sold at the Muthi market in Durban, were investigated to establish a rationale for its traditional use by the locals as no biological studies have previously been done on the plant.

6.2 MATERIALS AND METHODS

6.2.1 General Experimental Procedures

Infra-red spectra were obtained using Perkin Elmer Spectrum 100 FT-IR spectrometer with universal ATR sampling. NMR spectra (\(^1\)H, \(^{13}\)C, and 2D) were recorded on Bruker Avance\(^{III}\) 400Hz spectrometer, using deuterated chloroform, methanol or DMSO at room temperature, with TMS as internal standard. Column chromatography was carried out using Merck silica gel 60 (0.040-0063mm) as a stationary phase and solvents of different polarities as a mobile phase. Examination of separated fractions was done using Merck 20 cm × 20 cm silica gel 60 F\(_{254}\) aluminum sheets for TLC. The TLC plates were first visualized under UV (254 and 366 nm) before spraying with 10% H\(_2\)SO\(_4\) in methanol (MeOH) solution, followed by heating for the second
visualization. High-resolution mass spectra (HR-MS) were recorded on the Waters Micromass LCT Premier TOF-MS instrument. All reagents were of analytical grade and were sourced from either Merck (Darmstadt, Germany) or Sigma (St. Louis, USA) chemical companies.

6.2.2 Plant Collection

Plant material (root) of *H. acutatum* was purchased from Berea Muthi market. The taxonomist in the School of Life Sciences, UKZN, authenticated the sample, and a voucher specimen (18271 01 900600) was deposited in the ward herbarium. The plant was air-dried and then crushed with a metal mortar and pestle to a smaller fragment for extraction.

6.2.3 Extraction and Isolation

The roots of *H. acutatum* (1.3 kg) were oven-dried, crushed, and extracted sequentially with organic solvents of varying polarities, starting with the least polar to the most polar solvent in the order; DCM, EtOAc, and MeOH with the aid of a mechanical shaker. Each solvent was filtered and concentrated under reduced pressure using a rotary evaporator to give 5.4 g of DCM, 4.5 g of EtOAc, and 20 g of MeOH extracts.

The DCM extract was subjected to separation on a silica gel column, with hexane and EtOAc as solvents using gradient elution to give 50 fractions of 100 mL monitored on TLC. Fraction 18 gave compound C1 (20 mg) as white flakes. The MeOH extract was purified on a packed silica gel column using hexane: EtOAc as the mobile phase similar to the DCM extract. Fraction 20 gave compound C1, and fractions 40-45 were further purified by washing with MeOH to give compound C2 (5 mg), an orange powder. The EtOAc extract was fractionated on silica gel and eluted sequentially with hexane and EtOAc, starting from 100% hexane that was stepped by 10%
to 100% EtOAc. A total of 40 fractions of 100 mL were obtained. Fractions 26-28 gave compound C3 (15 mg), a yellowish powder.

6.2.4 Antioxidant Activity

2,2-Diphenyl-1-picryl-hydrazyl (DPPH) radical scavenging activity

The DPPH radical scavenging ability was determined using an established procedure (Rajurkar and Hande, 2011) with minor modifications. Approximately 1 mL of 0.1 mM of DPPH solution was added to an equal volume of the plant extracts at varying concentrations of 7.5-250 µL. Methanol and DPPH were used as blanks, while ascorbic acid and butylated hydroxytoluene (BHT) were used as controls. After incubation in the dark for 20 min, the DPPH reduction was measured at a wavelength of 517 nm with the aid of a UV-Vis spectrophotometer. All experiments were carried out in triplicate.

Ferric reducing antioxidant power (FRAP) assay

According to the FRAP assay, the reducing ability of the plant extracts was estimated (Fernande et al., 2016). Different concentrations of the test samples were prepared; 2.5 mL of each concentration was mixed with 2.5 mL phosphate buffer solution and 2.5 mL potassium ferricyanide. The solutions were incubated in a water bath for 30 min at 50°C. After that, trichloroacetic acid (TCA) (2.5 mL) was added to each solution before it was mixed. Next, 2.5 mL distilled water was used to dilute the mixture, after which 0.5 mL FeCl₃ solution was added and allowed to settle for 10 min at room temperature. Absorbance was measured at 700 nm using a UV-Vis spectrophotometer. BHT and ascorbic acid served as positive controls.
6.2.5 Antibacterial Susceptibility Test

Three Gram-positive indicator bacteria, *Bacillus subtilis* ATCC 6653, methicillin-resistant *Staphylococcus aureus* ATCC 43000 and *Mycobacterium smegmatis* mc² 155 and four Gram-negative indicator bacteria, beta-lactam-resistant *Escherichia coli* ATCC 35218, multidrug-resistant *Pseudomonas aeruginosa* ATCC 27853, extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* ATCC 700603 and the quorum sensing indicator *Chromobacterium violaceum*, were employed to evaluate the antibacterial activity. Three crude extracts of *H. acutatum* and two pure compounds were subjected to antibacterial screening using the agar well diffusion method. The test samples were dissolved in MeOH to a final concentration of 20 mg/mL for the crude extracts and 10 mg/mL for the compounds.

6.2.6 Cytotoxicity Testing

*Cell culture*

Cryopreserved cells were rapidly thawed in the incubator and centrifuged. The cell pellet was recovered and propagated to 100% confluency in a 25 mL tissue culture flask with the addition of CCM (complete culture medium), which consists of 500 mL DMEM, supplemented with 1% L-glutamine, 1% penicillin-streptomycin-fungizone and 10% FCS, in a humid environment (5% CO₂, 37°C). The media was removed, and the cells were washed thrice with PBS. Trypsin was added to the flasks with Caco-2 and HepG2 cells to remove the cells that had adhered to the flasks. The flask with Hek-293 was agitated to remove the cells that have adhered to it. The cells were resuspended in 2 mL for CCM and counted using the trypan blue method.
Sample preparation

Stock solutions of crude extracts were prepared by dissolving in DMSO and diluted with CCM to a concentration of 10 mg/ml, and eight different concentrations (0-5000 µg/mL) for the MTT assay were prepared from the stock. The final concentration of DMSO in each stock was less than 0.5%.

MTT assay

The viability of Caco-2, HepG2, and Hek-293 cells after exposure to varying concentrations of test samples for 24 h was evaluated using the MTT (tetrazolium salt reduction) assay. 2 × 10^5 cells in CCM were seeded in 96-well microplates and incubated at 37°C in 5% CO₂ overnight for adherence of cells to the plate. The medium was removed, and 100 µL of test samples prepared in CCM at varying concentrations were added to each well. The wells receiving only media served as the control. Treatment was done in triplicate for each test sample at 24 h. After 24 h, the medium was removed, 20 µL of MTT salt in CCM at a concentration of 5 mg/mL, and 100 µL PBS was added and incubated for 4 h at 37°C. After that, the MTT solution was removed, and the formazan crystals formed solubilized with 100 µL DMSO. The inhibition of cell growth by tested samples was measured using a Bio-Tek µQuant plate spectrophotometer (Winooski, Vermont, United States) at a wavelength of 570 nm. Results were presented as percentage cell viability.

\[
\% \text{ cell viability} = \frac{\text{average OD of treated cells}}{\text{average OD of control cells}} \times 100
\]

Analysis of mitochondrial membrane potential (MMP)

An increase in depolarization of the mitochondrial membrane with a subsequent decrease in mitochondrial membrane potential could result in the activation of pro-apoptotic factors. JC-10, a water-soluble dye, was used to probe the mitochondrial membrane potential in this assay. Cells
were seeded at $2 \times 10^4$ into each well and allowed to adhere to the plate. IC$_{80}$ and IC$_{50}$ concentrations were prepared from the stock and added to each well. After 24 h, treatment media was removed and stored for other assays. Each well received 25 µL of JC-10 dye and 50 µL PBS before incubation at 37°C for 1 h in the dark. After 60 min of incubation, the JC-10/PBS solution was removed, and 80 µL PBS was added before the plates were read.

**ATP quantification**

The intracellular ATP levels were monitored using a CellTiter-Glo® reagent (Promega) prepared according to the manufacturer's instructions. After taking the reading of the plate used for the mitochondrial membrane potential assay, 50 µL of PBS was added to each well, followed by 25 µL of CellTitre-Glo® reagent. Next, a luminescent reading was done on a Modulus™ microplate luminometer (Turner Bio-Systems, California, USA).

**LDH release assay**

LDH is a stable cytoplasmic enzyme found in the plasma of living cells. Release of LDH from the cytoplasm to the surrounding cell culture due to loss of the plasma-wall integrity can be used to quantify cell viability and necrosis *in-vitro*. 50 µL of the treatment media from the mitochondrial membrane potential assay was pipetted into a 96-well plate. Thereafter, 25 µL of the assay buffer (iodonitrotetrazolium (INT) chloride, nicotinamide adenine dinucleotide (NAD) sodium salt, and lithium lactate) was added. Plates were incubated at room temperature in the dark for 30 min. The reaction was stopped by the addition of 12.5 µL stop solution (acetic acid). LDH was quantified by measuring the absorbance at 500 nm using a Biotek µQuant spectrophotometer (Winooski, Vermont, United States).
6.2.7 Statistical Analysis

Data were exported to Microsoft Excel for analysis and processed on GraphPad Prism v5.0 (GraphPad Software Inc., San Diego, California, United States). All data were normalized to the untreated control, and the student's t-test was used to determine statistically significant differences (P < 0.05). All data were expressed as mean ± SD (n = 3).

6.3 RESULTS

6.3.1 Identification of Isolated Compounds

Three compounds were isolated and elucidated from the roots of *H. actutatum* (Figure 6.1). These include a sterol, a sterol glycoside, and a phenolic acid. The DCM and MeOH extract of the root yielded stigmasterol (compound C1). The spectral data compared well to that published in the literature for this compound (Habib et al., 2007). The MeOH extract yielded stigmasterol glucoside (compound C2) as confirmed by literature (Khatum et al., 2012). The EtOAc extract yielded caffeic acid (compound 3). The $^1$H NMR and $^{13}$C NMR spectral data are consistent with that of cinnamic acid (Silva et al., 2001) except for resonances in the aromatic region for three protons at $\delta_H$ 7.05 (H-2), 6.94 (H-6), and 6.79 (H-5), indicating an ABX ring system. The downfield chemical shift ($\delta_H$ 7.54 (H-7) and 6.23 (H-8)) of deshielded alkenyl hydrogens indicates unsaturation and the high coupling constant (15.9 Hz) indicates a trans arrangement. The HSQC spectrum showed correlations between the carbons at $\delta_C$ 115.1, 116.5, and 122.8 and the protons at $\delta_H$ 7.05, 6.79, and 6.94, respectively. Compound C3 was therefore identified as caffeic acid, which was confirmed by literature data (Jeong et al., 2011).
\[ ^{1}H\text{NMR (MeOD, 400 MHz)} \delta_{H}: 7.54 \text{ (1 H, d, } J = 15.9 \text{ Hz, H-7)}, \text{7.05 (1 H, d, } J = 2.0 \text{ Hz, H-2)}, \text{6.94 (1 H, dd, } J = 8.2, 2.0 \text{ Hz, H-6)}, \text{6.79 (1 H, d, } J = 8.2, \text{ H-5)}, \text{6.23 (1 H, d, } J = 15.9 \text{ Hz, H-8).}\]

\[ ^{13}C\text{-NMR (MeOD, 100 MHz)} \delta_{C}: 171.0 \text{ (C-9)}, \text{149.4 (C-4), 147.0 (C-7), 146.8 (C-3), 127.8 (C-1), 122.8 (C-6), 116.5 (C-5), 115.5 (C-8), 115.1 (C-2).}\]

Compound C1: R = H  

Compound C2: R = Glucose  

Figure 6.1: Compounds C1-C3 isolated from the root of *H. acutatum*.

### 6.3.2 Antibacterial Activity

The plant extracts were tested at 0.5 and 1 mg against three Gram-positive bacteria and four Gram-negative bacteria, while the two phytocompounds were tested at 0.25 and 0.5 mg due to limited mass of pytocompounds. No antimicrobial activity was observed against all three Gram-positive and all four Gram-negative indicator organisms with extracts as well as isolated phytocompounds. The following zone diameter criteria were used to assign susceptibility or resistance to compounds tested: Susceptible (S) \( \geq 15 \text{ mm} \), Intermediate (I) = 11–14 mm, and Resistant (R) \( \leq 10 \text{ mm} \) (Chenia, 2013).
6.3.3 Antioxidant Activity

The crude DCM, EtOAc, and MeOH extracts of the roots were subjected to antioxidant testing using DPPH and FRAP. Ascorbic acid and BHT were used as positive controls.

![Graph showing DPPH scavenging activity](image1)

Figure 6.2: Free radical scavenging activity of selected crude extracts measured by DPPH method. Values represent mean ± SD (n = 3). MeOH - methanol, EtOAc - ethyl acetate, DCM - dichloromethane, and BHT – butylated hydroxytoluene.

![Graph showing FRAP values](image2)

Figure 6.3: Ferric reducing antioxidant power (FRAP) of selected crude extracts. Values represent mean ± SD (n = 3). MeOH - methanol, EtOAc - ethyl acetate, DCM - dichloromethane, and BHT – butylated hydroxytoluene.
The results of the radical scavenging activity and reducing power of the crude extracts and the standards, ascorbic acid, and BHT are shown in Figures 6.2 and 6.3. The radical scavenging ability was in the order of BHT > EtOAc > ascorbic acid > MeOH > DCM. For the FRAP assay, the activity of the reference standards swapped and the order of reducing potential was ascorbic acid > EtOAc > BHT > MeOH > DCM.

6.3.4 Cytotoxicity Testing

MTT assay

The different crude extracts were exposed to the tumor cell lines (Caco-2 and HepG2) and the normal human kidney cell line, Hek-293 for 24 h, using the MTT assay to evaluate their cytotoxicity (Figure 6.4). Treatment with 50.12 µg/mL DCM extract decreased cell viability from 100% (control) to 89% in HepG2, 76% in Caco-2, and 62% in Hek-293. At 501.19 µg/mL cell viability decreased 14% for HepG2, 8% for Caco-2, and 52% for Hek-293. IC50 values (in µg/mL) were 126.9, 165.55, and 699.6 for Caco-2, HepG2, and Hek-293, respectively.

Exposure to the EtOAc extract (50.12 µg/mL) stimulated cell growth from 100% (control) to 105% and 114% in HepG2 and Hek-293, respectively, while there was no change in Caco-2. Differently, at 100 µg/mL, there was a sharp decrease in cell viability to 53% for Caco-2 and a slight decrease to 101% for HepG2 and Hek-293. Between 100- 501.19 µg/mL, no significant decrease in cell viability was observed in Caco-2 and Hek-293, but a decrease was recorded for HepG2 from 101% (100 µg/mL) to 77% (501.19 µg/mL). The results showed that the extract has no effect on Hek-293 compared to Caco-2 and HepG2.

Treatment with the MeOH extract produced a stimulatory effect at a concentration of 50.12 µg/mL in HepG2, increasing viability from 100% (control) to 114%. The exact concentration decreased
viability in Caco-2 from 100% (control) to 87%; no change in viability was observed in Hek-293. Between 50.12-1000 µg/mL, no significant change in viability was observed across all three cell lines. However, a sharp decrease in cell viability was observed in Caco-2 and HepG2 after 1000 µg/mL.

![MTT graphs](image)

Figure 6.4: MTT graphs; Effect of different concentrations of *H. acutatum* extracts on the viability of three cell lines; Caco-2, HepG2 and Hek293.

DCM - dichloromethane, EtOAc - ethyl acetate, and MeOH - methanol.

**LDH release assay**

The quantification of LDH released was used to determine cytotoxicity and necrosis. IC$_{80}$ and IC$_{50}$ concentrations of the DCM extract (Figure 6.5) increased LDH released by 1.27 and 1.38-fold in Caco-2; 1.33 and 1.35-fold decrease (P < 0.05) was observed for HepG2; 1.06 and 1.04-fold
increase for Hek-293 cell lines. Treatment with IC$_{80}$ and IC$_{50}$ concentration of the EtOAc extract caused a 1.36 and 1.65-fold increase (P < 0.05) in LDH released in Caco-2; 2.0-fold decrease for IC$_{80}$ and 1.14-fold increase for IC$_{50}$ in HepG2; and 1.67 and 1.61-fold decrease (P < 0.05) in Hek-293 cell lines. A 1.22 and 1.93-fold increase in LDH released was observed in Caco-2 after exposure to IC$_{80}$ and IC$_{50}$ concentrations of the MeOH extract; a 1.20 and 1.14-fold decrease was observed for HepG2 at IC$_{80}$ and IC$_{50}$ treatments, and a 1.49-fold decrease for IC$_{80}$ treatment and 1.22-fold increase for IC$_{50}$ treatment was observed for Hek-293.

![LDH graphs](image)

Figure 6.5: LDH graphs; Effect of IC$_{80}$ and IC$_{50}$ concentrations of *H. acutatum* extracts on the plasma membrane of Caco-2, HepG2 and Hek-293 cell lines.

DCM - dichloromethane, EtOAc - ethyl acetate, and MeOH - methanol.

**Mitochondrial membrane potential (MMP) assay**

Depolarization of the mitochondria that leads to the release of pro-apoptotic proteins was probed using JC-10 dye. Exposure of the different cell lines to the IC$_{80}$ and IC$_{50}$ values of the DCM extract (Figure 6.6) showed a 1.35 and 1.33-fold decrease (P < 0.05) in mitochondrial membrane potential in Caco-2, 1.42 and 1.82-fold increase (P < 0.05) in HepG2, and 1.09 and 2.22-fold increase (P < 0.05) in Hek-293 cell lines.
Figure 6.6: MMP graphs; Effect of IC₈₀ and IC₅₀ concentrations of *H. acutatum* extracts on the mitochondrial membrane potential of Caco-2, HepG2 and Hek-293 cell lines.

DCM - dichloromethane, EtOAc - ethyl acetate, and MeOH - methanol.

Treatment with IC₈₀ and IC₅₀ concentrations of the EtOAc extract resulted in 1.49 and 1.34-fold decrease (P < 0.05) in Caco-2, 1.44 and 2.51-fold increase (P < 0.05) in HepG2, and 1.32 and 2.33-fold increase (P < 0.05) in Hek-293 cell lines. The IC₈₀ and IC₅₀ values of the MeOH extract caused a 1.47-fold decrease (P < 0.05) for Caco-2, 1.64 and 2.09 increase in HepG2, and 1.96 and 2.55-fold increase (P < 0.00001) in Hek-293 cell lines. Treatment with the MeOH extract produced similar results to the EtOAc extract. The general trend was a decrease in mitochondrial membrane potential in Caco-2 and an increase in HepG2 and Hek-293 cell lines for all tested samples.
ATP assay

The amount of intracellular energy (ATP) was used to quantify cell viability and mitochondrial function. ATP was increased by 1.14-fold in Caco-2 after exposure to the IC\textsubscript{80} value of the DCM extract (Figure 6.7), but decreased by 1.72-fold (P < 0.05) with the IC\textsubscript{50} treatment. For the same extract, a 1.20 and 1.67-fold decrease was observed in HepG2 with the IC\textsubscript{80} and IC\textsubscript{50} treatments respectively, while a 3.25 and 1.79-fold increase (P < 0.05) was observed in Hek-293 cell lines.

![ATP graphs](image)

Figure 6.7: ATP graphs. Effect of IC\textsubscript{80} and IC\textsubscript{50} concentrations of *H. acutatum* extracts on ATP levels of Caco-2, HepG2 and Hek-293 cell lines.

DCM - dichloromethane, EtOAc - ethyl acetate, and MeOH - methanol.

Treatment with IC\textsubscript{80} and IC\textsubscript{50} concentrations of the EtOAc extract increased ATP by 1.22-fold and decreased it by 1.39-fold (P < 0.05) in Caco-2, respectively. In HepG2, a 1.18 and 2.56-fold decrease in ATP was observed at IC\textsubscript{80} and IC\textsubscript{50} concentrations of the EtOAc extract, respectively. In contrast, there was a 3.40 and 1.62-fold increase (P < 0.05) in Hek-293 at IC\textsubscript{80} and IC\textsubscript{50} values,
respectively. Exposure to IC\textsubscript{80} concentration of the MeOH extract increased ATP by 1.17-fold in Caco-2 cell, and decreased it by 1.28-fold (P < 0.05) at the IC\textsubscript{50} concentration. ATP was decreased by 1.09 and 1.43-fold in HepG2 cell lines at IC\textsubscript{80} and IC\textsubscript{50} values of MeOH extract, while a 3.32 and 1.71-fold increase was observed in Hek-293, respectively.

6.4 DISCUSSION

The phytochemical investigation of the roots of \textit{H. acutatum} led to the isolation of a sterol (stigmasterol) (Habib et al., 2007), a sterol glucoside (stigmasterol glucoside) (Khatum et al., 2012) and a phenolic compound (caffeic acid) (Jeong et al., 2011). Diterpenes, chalcones and phloroglucinol have been reported from the roots and aerial parts of \textit{H. acutatum} but these three compounds have not previously been isolated from the plant (Bohlman and Abraham, 1979). Caffeic acid is naturally present in many fruits and this compound and its derivatives have been known to possess antioxidant, anticancer and antibacterial activities. These activities are mainly attributed to the free phenolic acid that has high bioavailability and good water solubility, the position of the OHs in the catechol moiety and the double bond in the carbonic chain (Espindola et al., 2019).

This study showed \textit{H. acutatum} to have relatively good antioxidant activity compared to the standards. The strong antioxidant activity demonstrated by the EtOAc extract could be due to the presence of caffeic acid, which has been reported to be a good free radical scavenger (Magnani et al., 2004). Other \textit{Helichrysum} species reported to have good antioxidant activity include \textit{H. longifolium} that demonstrated good radical scavenging activity (Aiyegoro and Okoh, 2010), \textit{H. teretifolium}, and \textit{H. arenarium} (Popoola et al., 2015).
*H. acutaum* demonstrated poor antibacterial activity. Our results are consonant with the findings for the chloroform extract from *H. acutatum* by Lourens et al., (2011) that demonstrated low antibacterial activity against Gram-positive bacteria, and yeast strains, and the acetone extracts from *H. acutatum, H. glomeratum* and *H. piloselfum* that showed no effect against both Gram-negative and Gram-positive bacteria (Mathekga and Meyer, 1998). However, some plants in the genus have shown promising antimicrobial activity such as the acetone extract from *H. candolleanum, H. herbaceum, H. melanacme, H. psilolepis, H. rugulosum, H. simillimum* and *H. umbraculigerum* that significantly inhibited microorganism proliferation with MIC values of 0.10 mg/mL ((Kutluk et al., 2018; Mahekga and Meyer, 1998). In addition, *H. caespititum* showed good activity against four WHO *N. gonorrhea* strains (F, O, N, G) within the range 0.037-0.33 mg/mL (Mamabolo et al., 2018).

The cytotoxicity of the plant extracts using the MTT assay showed that they are less toxic against the two tumor cell lines (Caco-2 and HepG2), and the normal cell line (Hek-293) with IC₅₀ values within the range of 126-4533 µg/mL and 165-4719 µg/mL for Caco-2 and HepG2 cell lines, respectively. A previous study showed the chloroform: methanol extract (1:1) of *H. acutatum* to have cytotoxic activity against the cancerous cell line, MCF-7 (Lourens et al., 2011). This shows the selective cytotoxicity of *H. acutatum*. Plants in the genus that demonstrated good cytotoxic activity include *H. petiolare* that was cytotoxic to B16F10 and MeWo skin melanoma cell lines in a dose dependent manner (Sagbo and Otang-Mbeng, 2020). The results from our study suggest that *H. acutatum* is potentially safe for human consumption due to its low cytotoxicity towards the normal cell line tested. Despite showing relatively good antioxidant activity, the EtOAc extract demonstrated poor cytotoxic activity towards the two tumor cell lines tested. This contrasts with the results obtained for the EtOAc extract of the flowers of *H. plicatum* that showed good
antioxidant activity and cytotoxicity against K562 and PC3 cell lines with $IC_{50}$ values of 25.9 and 39.2 μg/mL, respectively (Bigovic et al., 2011).

Mitochondria have been known to play an important role in the maintenance of cell health and could be used to monitor cell viability (Sivandzade et al., 2019). A decrease in the mitochondrial membrane potential has been reported to be the first step in apoptosis, and apoptosis has been reported to require an increase in energy (Zamaraevea, 2005). In cytotoxicity evaluations, cells either undergo an apoptotic or necrotic death (Leist et al., 1997). In necrosis, cells swell, lose membrane integrity, and release their intracellular content into the external environment. LDH, a soluble enzyme found in the cell cytoplasm, is released when the cell membrane is compromised. The amount of LDH released can be used to quantify cell death.

Table 6.1: Results obtained for the cytotoxicity assays (LDH, MMP and ATP) after treatment with the $IC_{80}$ and $IC_{50}$ concentrations of the extracts (DCM, EtOAc and MeOH) using the cell lines, Caco-2, HepG2 and Hek-293.

<table>
<thead>
<tr>
<th>Cell Lines</th>
<th>Assay</th>
<th>Extracts at $IC_{80}$ concentration</th>
<th>Extracts at $IC_{50}$ concentration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>DCM</td>
<td>EtOAc</td>
</tr>
<tr>
<td>Caco-2</td>
<td>$LDH$</td>
<td>increase</td>
<td>increase</td>
</tr>
<tr>
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<td></td>
<td>$ATP$</td>
<td>increase</td>
<td>increase</td>
</tr>
<tr>
<td>HepG2</td>
<td>$LDH$</td>
<td>decrease</td>
<td>decrease</td>
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<tr>
<td></td>
<td>$MMP$</td>
<td>increase</td>
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<tr>
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<td>increase</td>
</tr>
<tr>
<td></td>
<td>$ATP$</td>
<td>increase</td>
<td>increase</td>
</tr>
</tbody>
</table>

LDH - Lactate dehydrogenase, MMP – Mitochondrial membrane potential, ATP - Adenosine triphosphate;
DCM – Dichloromethane, EtOAc – Ethyl acetate, MeOH - Methanol
At the IC$_{80}$ concentrations, all three extracts showed an increase in LDH release, a decrease in the mitochondrial membrane potential and increased ATP levels in Caco-2 cell lines (Table 6.1). While at the IC$_{50}$ concentrations, increase in LDH release, decrease in mitochondrial membrane potential, and decrease in ATP levels were observed for the same cell lines (Table 6.1). Generation of ATP takes place in the mitochondria, a disruption in mitochondrial membrane potential could lead to depletion of intracellular ATP and this was the case after treatment of Caco-2 tumor cell lines with IC$_{50}$ concentrations of the tested extract. These changes are concentration dependent and depletion of cellular ATP has been shown to switch cell death from apoptosis to necrosis. Apoptosis is a programmed cell death that requires energy (ATP), while necrosis is accidental cell death that does not require energy (Leist et al. 1997). The effect of concentration on the mode of cell death was observed when MCF-7 was treated with the aqueous extract of *Lepidium sativum* extracts; apoptosis was induced in the cell when treated with 25 and 50% of the extract but at a higher concentration of 75%, necrosis was induced (Mahassni and Al-Reemi, 2013). At both concentrations, the plasma membrane was disrupted leading to the release of LDH in Caco-2 cell lines. The high increase in LDH release observed for some extracts, is an indication of the extent of membrane damage, while those with slight increases in the release of LDH indicates minimal membrane damage.

Exposure of HepG2 cell lines to the IC$_{80}$ and IC$_{50}$ concentrations of the different extracts caused no depolarization in mitochondrial membrane potential nor was the plasma membrane disrupted but a depletion in ATP levels were observed. The cytotoxic activity of the extract towards HepG2 was through depletion of intracellular energy without effect on the plasma membrane.

From the results obtained, it is evident that the plant possesses the ability to initiate both apoptotic and necrotic cell death, depending on the concentration and the cell lines. Some plant extracts that
have decreased mitochondrial membrane potential with subsequent release of cytochrome c for apoptotic pathway initiation are Murraya koenigii, Annona reticulate, Moringa oleifera, Hibiscus sabdariffa, Lablab purpureus, and Euphorbia hirta (Verma and Singh, 2020).

6.5 CONCLUSION

Three compounds were successfully isolated from the roots of H. acutatum and this study is the first report of these compounds from the plant. The findings show H. acutatum extracts to have better antioxidant activity compared to antibacterial and anticancer activity for the microbes and cell lines tested, respectively. This study has been able to establish a toxicity profile and scientific basis for the use of H. acutatum as an antioxidant in traditional medicine and confirms its safety for human consumption. The study also highlights the lack of antibacterial activity of the species compared to others in the genus, which are well known for their use in traditional medicine in treating different infectious diseases.
REFERENCES


CHAPTER SEVEN

OVERALL SUMMARY

Medicinal plants have served mankind for centuries and compounds from natural sources have served as a foundation for new inventions or leads in drug discovery. These active metabolites have either been formulated into drugs or modified for better efficacy. Research on the active constituents and biological potential of plants used in conventional medicine will help in the discovery of chemical compounds with structural diversity and enhanced biological activities that will help solve some of the health issues plaguing the earth. *Scilla nervosa*, *Helichrysum panduratum* and *Helichrysum acutatum* are three South African species commonly used in traditional medicine. They are widely sold at the Muthi market for the treatment of different ailments. The phytochemistry and biological studies of these plants were presented in this study.

Homoisoflavonoids, triterpenes and stilbenes were isolated from the bulbs and leaves of *S. nervosa*. Lanostane-type triterpenes that have been isolated from other *Scilla* species were isolated and reported for the first time. Some homoisoflavonoids and organic crude extracts of the bulbs were evaluated for their antibacterial and anticancer properties. The homoisoflavonoids demonstrated low antibacterial activity compared to other classes of flavonoids but have good anticancer activity against Caco-2 and HepG2 tumor cell lines. Synergistic effects were observed in the anticancer activity of the methanol extract of the bulbs against Caco-2 and HepG2 cell lines. Molecular docking studies on the homoisoflavonoids showed that modification of the molecular structure could improve their antibacterial potential. Modification could also improve selectively towards normal cell lines because they showed high toxicity towards normal cell lines as well as tumor cell lines.
The phytochemical investigation of the leaves and stems *H. panduratum* led to the isolation of triterpenes, a sterol and sterol glycoside, a phenol glycoside and one homoisoflavonoid. This is the first report of homoisoflavonoids from *Helichrysum* species. The homoisoflavonoid was isolated from both the polar extracts of the stem but was not found in the leaves. Our study showed that the leaves are rich in α-arbutin more than the stems. The result from the antibacterial susceptibility testing showed that the test samples did not demonstrate any notable antimicrobial activity at 400 and 800 µg/mL. The quorum sensing inhibition assay using *Chromobacterium violaceum* ATCC 12472 as a bioindicator showed that the methanol extract of the leaves was the most effective at inhibiting both short and long-chain N-acyl homoserine lactone -mediated quorum sensing. The methanol extract of the leaves also demonstrated the ability to inhibit autoinducer-2. The test samples demonstrated low or medium cytotoxicity towards Caco-2 and HepG2 tumor cell lines and high cytotoxicity towards the normal cell line, Hek-293.

Three compounds were isolated from *H. acutatum*, one of it was caffeic acid. The ethyl acetate extract showed good antioxidant activity that was comparable to reference standards. The cytotoxicity assay showed that the plant is safe for use. Other plant in the genus have demonstrated good antibacterial activity, but *H. actutaum* showed no activity towards both Gram-positive and Gram-negative bacteria.

**CONCLUSION**

This study described the phytochemistry and biological activities of three plants used in South Africa traditional medicine, *S. nervosa, H. panduratum* and *H. acutatum*. Lanostane triterpenes was isolated from *S. nervosa* and reported for the first time. The homoisoflavonoids showed
potential as cytotoxic agents. Homoisoflavonoids though limited in their occurrence in nature was isolated for the first time from *H. panduratum*, which shows high diversity in secondary metabolites from this genus. The most significant finding from *H. panduratum* was the high yield of α-arbutin, a tyrosinase inhibitor, which provides a new natural source of this active biomolecule for inclusion in skincare formulations to treat hyperpigmentation. There is no record of the ethnomedicinal use of *H. acutatum* in literature. Therefore, this study provides a record and scientific justification for its use as a result of its potent antioxidant properties.

**RECOMMENDATIONS FOR FUTURE WORK**

- Evaluation of the anticancer properties of different homoisoflavonoids isolated from *S. nervosa* for better understanding of their structure-activity relationships.

- Phytochemical and biological study of the aerial parts of *H. acutatum* to establish a relationship between bioactive compounds present in the roots and the aerial parts of the plant.

- *H. panduratum* leaves are rich in α-arbutin; therefore, evaluation of its tyrosinase inhibitory potential is recommended as it has potential for use as a raw material of this biomolecule from a natural source.
APPENDICES
$^1$H NMR of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
Expanded $^1$H NMR of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
$^{13}$C NMR of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
DEPT of compound A1 3-(-4-methoxybenzyl)-5,7-dimethoxycroman-4-one
COSY of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
NOESY of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
HSQC of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
HRMS of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
IR of compound A1 3-(4-methoxybenzyl)-5,7-dimethoxylchroman-4-one
$^{1}H$ NMR of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
$^{13}$C of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
DEPT of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
COSY of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
HSQC of compound A2 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
HMBC of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxycroman-4-one
Mass spectrum of compound A2 3-(4-methoxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
IR of compound A2 3-(4-methoxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
$^1$H NMR of compound A3 3(3-hydroxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
Expanded $^{13}$CNMR of compound A3 3(3-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
DEPT of compound A3 3-(3-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
COSY of compound A3 3-(3-hydroxybenzyl)-6-hydroxy-5,7-dimethoxycrman-4-one
HSQC of compound A3 3-(3-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
HMBC of compound A3 3(3-hydroxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
IR of compound A3 3-(4-hydroxybenzyl)-6-hydroxy-5,7-dimethoxychroman-4-one
Mass of compound A3 3(3-hydroxybenzyl)-6-hydroxyl-5,7-dimethoxychroman-4-one
$^{1}$H NMR of compound A4 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one
Expanded $^1$H NMR of compound A4 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one
$^{13}$C NMR of compound A4 3-(4-hydroxyl-3-methoxybenzyl)-5-hydroxyl-7-methoxychroman-4-one
DEPT of compound A4 3-(4-hydroxyl-3-methoxybenzyl)-5-hydroxyl-7-methoxychroman-4-one
COSY of compound A4 3-(4-hydroxyl-3-methoxybenzyl)-5-hydroxyl-7-methoxychroman-4-one
HSQC of compound A4 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one
HMBC of compound A4 3-(4-hydroxy-3-methoxybenzyl)-5-hydroxy-7-methoxychroman-4-one
HRMS of compound A4 3-(4-hydroxyl-3-methoxybenzyl)-5-hydroxyl-7-methoxychroman-4-one
IR of compound A4 3-(4-hydroxyl-3-methoxybenzyl)-5-hydroxyl-7-methoxycroman-4-one
$^1$H NMR of compound (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and 5 (A5)3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
Expanded $^1$H NMR of compound A1 and A5
$^{13}$C NMR of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5)3-(4-hydroxy-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
DEPT of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5)3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
Expanded DEPT of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5)3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
Expanded DEPT of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one and (A5) 3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxycroman-4-one
COSY of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5) 3-(4-hydroxy-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
NOESY of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5)3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
HSQC of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5) 3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
HMBC of compounds 1(A) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and 5(B) 3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
HR-MS of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and (A5)3-(4-hydroxy-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
IR of compounds (A1) 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one and 5(A5)3-(4-hydroxyl-3-methoxybenzyl)-5,6,7-trimethoxychroman-4-one
$^1$H NMR of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
Expanded $^1$H NMR of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
Expanded $^1$H NMR of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxoychroman-4-one
\(^{13}\)C NMR of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxynorpan-4-one
DEPT of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
COSY of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
NOESY of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
HSQC of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
HMBC of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
HRMS of compound A6 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxycroman-4-one
IR of compound **A6** 3-(4-hydroxyl-3-methoxybenzyl)-5,6-hydroxyl-7-methoxychroman-4-one
$^1$H NMR of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxylchroman-4-one
Expanded $^1$H NMR of compound A7 3-(4-hydroxylbenzylidene)-5,7-dihydroxylchroman-4-one
Expanded $^1$H NMR of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxylchroman-4-one
$^{13}$C NMR of compound A7 3-((4-hydroxylbenzylidene)-5,7-dihydroxylchroman-4-one
DEPT of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxylchroman-4-one
COSY of compound A7 3-(4-hydroxylbenzylidene)-5,7-dihydroxylchroman-4-one
NOESY of compound A7 3-(4-hydroxylbenzylidene)-5,7-dihydroxylchroman-4-one
HSQC of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxylchroman-4-one
HMBC of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxychroman-4-one
HRMS of compound A7 3-(4-hydroxybenzylidene)-5,7-dihydroxylchroman-4-one
IR of compound A7 3-(4-hydroxylbenzylidene)-5,7-dihydroxychroman-4-one
\(^1\)H NMR of compound **A8** 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
Expanded $^1$H NMR of compound A8, 3', 5' -Trihydroxy-4-methoxystilbene, Rhapontigenin
Expanded $^1$H NMR of compound A8 3', 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
$^{13}$C NMR of compound A8 3, 3’, 5’-Trihydroxy-4-methoxystilbene, Rhapontigenin
13C NMR of compound A8 3', 3', 5' -Trihydroxy-4-methoxystilbene, Rhapontigenin
DEPT of compound A8 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
Expanded DEPT of compound A8 3, 3’, 5’-Trihydroxy-4-methoxystilbene, Rhapontigenin
COSY of compound A8 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
NOESY of compound A8, 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
HSQC of compound A8 3, 3’, 5’-Trihydroxy-4-methoxystilbene, Rhapontigenin
HMBC of compound A8 3, 3', 5' -Trihydroxy-4-methoxystilbene, Rhapontigenin
IR of compound A8 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
HRMS of compound A8 3, 3', 5'-Trihydroxy-4-methoxystilbene, Rhapontigenin
$^1$H NMR of compound A9 spinasterol glucopyranoside
\[^{13}\text{C}\] NMR of compound A9 spinasterol glucopyranoside
DEPT of compound \textbf{A9} spinasterol glucopyranoside
COSY of compound A9 spinasterol glucopyranoside
NOESY of compound A9 spinasterol glucopyranoside
HSQC of compound A9 spinasterol glucopyranoside
HMBC of compound A9 spinasterol glucopyranoside
HRMS of compound A9 spinasterol glucopyranoside
IR of compound A9 spinasterol glucopyranoside
$^1$H NMR of compound A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
$^{13}$C NMR of compound **A10** 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
DEPT of compound A10 17α, 23α-epoxy-3β,29-dihydroxy-lanost-8,24-dien-26-one.
Expanded $^{13}$C NMR of compound **A10** 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
Expanded DEPT of compound A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
COSY of compound A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
NOESY of compound A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
HSQC of compound A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
HMBC of compound A10 17α, 23α-epoxy-3β,29-dihydroxy-nor-lanost-8,24-dien-26-one.
IR spectrum of compound **A10 17α, 23α-epoxy-3β,29-dihydroxyl-nor-lanost-8,24-dien-26-one.**
HRMS of compound $\text{A10}$ $17\alpha, 23\alpha$-epoxy-3$\beta$,29-dihydroxyl-nor-lanost-8,24-dien-26-one.
1H NMR of compound **A11 17α, 23α-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one.**
$^{13}$C NMR of compound A11 17\(\alpha\), 23\(\alpha\)-epoxy-3\(\beta\), 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one.
Expanded $^{13}$C NMR of compound A11 17$\alpha$, 23$\alpha$-epoxy-3$\beta$, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
Expanded $^{13}$C NMR of compound A11 $17\alpha, 23\alpha$-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
COSY of compound A11 17\(\alpha\), 23\(\alpha\)-epoxy-3\(\beta\), 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
NOESY of compound A11 17α, 23α-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
HSQC of compound A11 17α, 23α-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
HMBC of compound A11 17α, 23α-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
IR spectrum of compound A11 17α, 23α-epoxy-3β, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
HRMS of compound \textbf{A11} 17\textalpha, 23\textalpha-epoxy-3\beta, 28,29-trihydroxyl-nor-lanost-8,24-dien-26-one
$^1$H NMR spectrum of B1 stigmasterol
$^{13}$C NMR spectrum of B1 stigmasterol
DEPT spectrum of B1 stigmasterol
Mass spectrum of B1 stigmasterol
IR spectrum of B1 stigmasterol
$^1$H NMR of B2 stigmasterol glucoside
$^{13}$C NMR of B2 stigmasterol glucoside
DEPT of B2 stigmasterol glucoside
COSY of B2 stigmasterol glucoside
NOESY of B2 stigmasterol glucoside
HSQC of B2 stigmasterol glucoside
HMBC of B2 stigmasterol glucoside
Mass spectrum of B2 stigmasterol glucoside
IR spectrum of B2 stigmasterol glucoside

IR spectrum of B2 stigmasterol glucoside

4000.0 3600 3200 2800 2400 2000 1800 1600 1400 1200 1000 800 600 380.0

%T

3375.08
2932.56
2866.05
1646.15
1458.04
1164.85
1366.77
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840.26
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$^1$H NMR of B3 oleanolic acid
$^{13}$C NMR of B3 oleanolic acid
DEPT of B3 oleanolic acid
COSY of B3 oleanolic acid
NOESY of B3 oleanolic acid
HSQC of B3 oleanolic acid
HMBC of B3 oleanolic acid
IR spectrum of B3 oleanolic acid
Mass spectrum of B3 oleanolic
$^1$H NMR of B4 Ursolic acid
$^{13}$C NMR of B4 Ursolic acid
Mass spectra of B4 Ursolic acid
IR spectrum B4 Ursolic acid
$^1$H NMR of B5 3-acetyl ursolic acid
$^{13}$C NMR of B5 3-acetyl ursolic acid
COSY spectrum of B5 3-acetyl ursolic acid
NOESY spectrum of B5 3-acetyl ursolic acid
HSQC spectrum of B5 3-acetyl ursolic acid
HMBC spectrum of B5 3-acetyl ursolic acid
IR spectrum of B5 3-acetyl ursolic acid
Mass spectrum of B5 3-acetyl ursolic acid
$^1$H NMR of B6 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one
$^{13}$C NMR of B6 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one
DEPT of B6 3-(4-methoxybenzyl)-5,7-dimethoxycroman-4-one
COSY of B6 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
NOESY of B6 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
HSQC of B6 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
HRMS of **B6** 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one
IR of compound B6 3-(4-methoxybenzyl)-5,7-dimethoxychroman-4-one (B6)
$^{1}$H NMR compound B7 of arbutin
$^{13}$C NMR of compound B7 arbutin
DEPT NMR compound B7 of arbutin
COSY of compound B7 arbutin
NOESY of compound B7 arbutin
HSQC of compound B7 arbutin
HMBC of compound B7 arbutin
Monoisotopic Mass, Even Electron Ions
3 formula(e) evaluated with 1 results within limits (up to 50 best isotopic matches for each mass)
Elements Used:
C: 10-15  H: 15-20  O: 5-10  Na: 1-1

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TOF MS ES+

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HRMS of compound B7 arbutin
IR of compound B7 Arbutin
$\text{H NMR of compound C3 caffeic acid}$
Expanded $^1$H NMR of compound C3 caffeic acid
$^{13}$C NMR of compound C3 caffeic acid
Expanded $^{13}$C NMR of compound C3 caffeic acid
IR of compound C3 caffeic acid
Monoisotopic Mass, Even Electron Ions
3 formula(e) evaluated with 1 results within limits (up to 50 best isotopic matches for each mass)
Elements Used:
C: 5-10  H: 5-10  O: 0-5
FM11 72 (1.211) Cm (1:119)
TOF MS ES-

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HRMS of compound C3 caffeic acid
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Maximum: 5.0  500.0  50.0

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